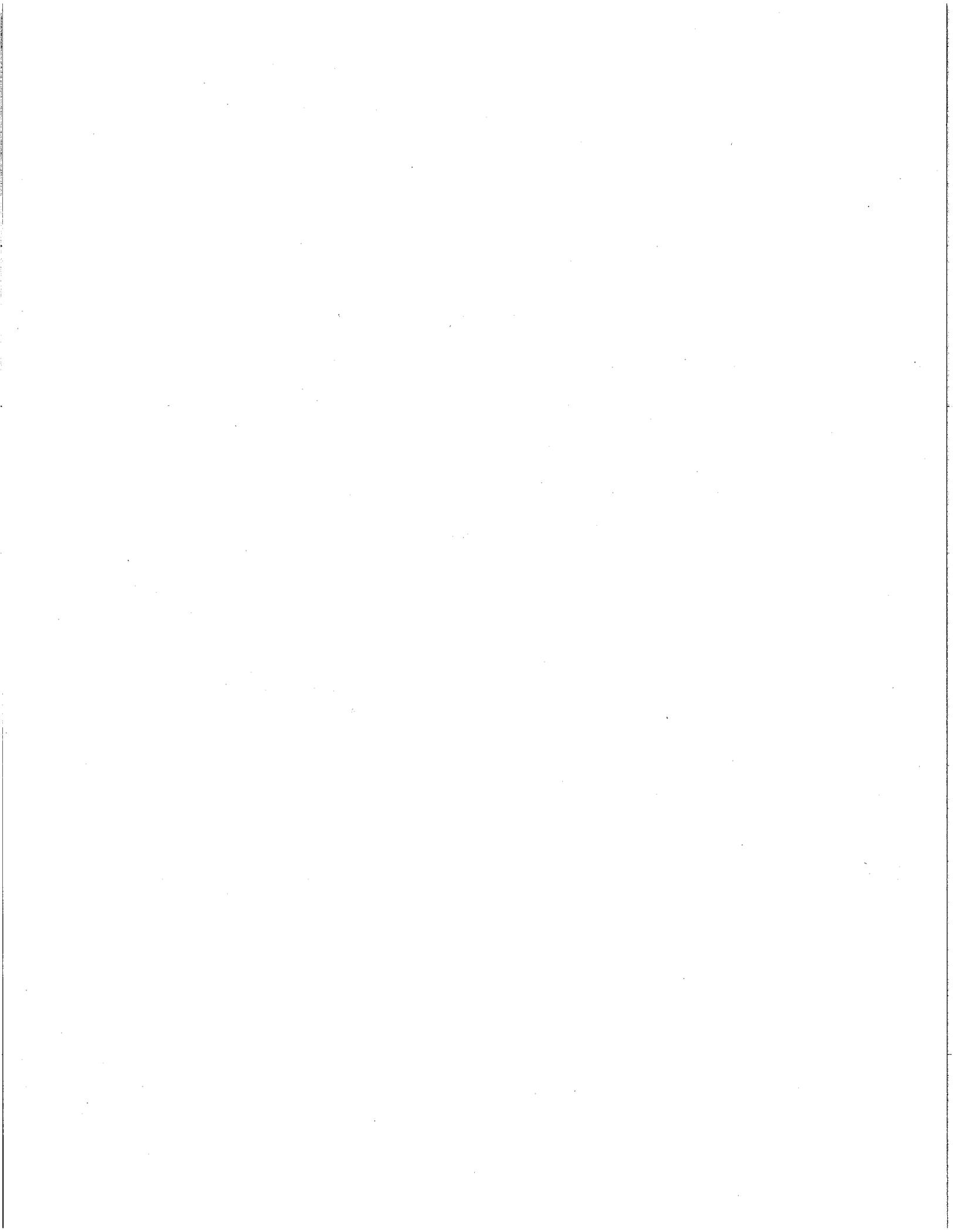


CITY OF ROCHESTER
CONSOLIDATED ANNUAL PERFORMANCE AND
EVALUATION REPORT
(CAPER)
2016-17



CR-05 - Goals and Outcomes

Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)

This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.

The City uses CDBG to fund a number of activities including loan and grant programs, technical assistance, and commercial rehabilitation. The goal is to attract new businesses and retain existing ones, thereby retaining and creating new jobs in the city. This year, the City funded PGH Kirstein, LLC (operating company is D4, LLC) with a \$100,000 CDBG interest rate subsidy grant. D4, LLC is a leading national discovery management, computer forensics and litigation support company. The project involved the purchase of a 6-story commercial building for expansion of existing operations. The total project investment was \$4,930,318. The City's \$100,000 CDBG interest rate subsidy grant leveraged additional financing, including \$3.4 million of bank financing, \$808,250 equity and a PILOT through the local industrial development agency, along with a sales and mortgage tax exemption. The development project resulted in 100 employees retained and a projected 20 new jobs to be created within 3 years.

Another project assisted by the City of Rochester's Business Development project was Empire Precision Plastics (500 Lee Road, Rochester, New York 14606), which was funded in 2017 utilizing a \$100,000 CDBG grant. Empire Precision Plastics specializes in precision molded parts and is an injection molders with full in-house optics capabilities. The total project investment was over \$3,000,000 within five years. The City's financial assistance leveraged other funding that included, \$800,000 in bank financing, a \$100,000 loan from Monroe County and landlord equity contribution of \$250,000. The company was also awarded a \$100,000 capital grant from Empire State Development. The assistance retained 67 current positions, and projects the creation of 26 new positions within 5 years.

The City also funds a number of housing programs designed to improve the quality of affordable rental and owner housing, improve access to affordable housing, and improve the availability of owner housing. The Home Buyer Assistance Program provides comprehensive services to prospective owner-occupants who wish to purchase homes through City programs. Services include eligibility screening for purchase subsidies and referrals to home buyer training and City housing programs. In addition, a variety of foreclosure prevention activities help prevent mortgage foreclosure by providing funding for professional housing specialists and legal staff to negotiate directly with lenders to mitigate pending foreclosures. CDBG funds are used to provide landlord training and tenant education courses along with mediation and individual counseling to create effective landlord/tenant relationships that are beneficial to both parties, the neighborhoods, and the community at large. The City's HOME Program is designed to preserve existing housing, develop affordable housing, and provide homeownership assistance.

Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee’s program year goals.

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
General Community Needs	Non-Housing Community Development	CDBG: \$	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	6000	0	0.00%			
General Community Needs	Non-Housing Community Development	CDBG: \$	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	2875	3679	127.97%	3115	9599	308.15%
Improve the Housing Stock & General Property Con	Affordable Housing Homeless Non-Homeless Special Needs	CDBG: \$ / HOPWA: \$ / HOME: \$ / ESG: \$ / CDF: \$	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	0	336		100	336	336.00%
Improve the Housing Stock & General Property Con	Affordable Housing Homeless Non-Homeless Special Needs	CDBG: \$ / HOPWA: \$ / HOME: \$ / ESG: \$ / CDF: \$	Public service activities for Low/Moderate Income Housing Benefit	Households Assisted	1700	0	0.00%	1167	725	62.13%
Improve the Housing Stock & General Property Con	Affordable Housing Homeless Non-Homeless Special Needs	CDBG: \$ / HOPWA: \$ / HOME: \$ / ESG: \$ / CDF: \$	Rental units constructed	Household Housing Unit	85	0	0.00%	18	0	0.00%

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Improve the Housing Stock & General Property Con	Affordable Housing Homeless Non-Homeless Special Needs	CDBG: \$ / HOPWA: \$ / HOME: \$ / ESG: \$ / CDF: \$	Rental units rehabilitated	Household Housing Unit	250	0	0.00%			
Improve the Housing Stock & General Property Con	Affordable Housing Homeless Non-Homeless Special Needs	CDBG: \$ / HOPWA: \$ / HOME: \$ / ESG: \$ / CDF: \$	Homeowner Housing Added	Household Housing Unit	95	91	95.79%	25	21	84.00%
Improve the Housing Stock & General Property Con	Affordable Housing Homeless Non-Homeless Special Needs	CDBG: \$ / HOPWA: \$ / HOME: \$ / ESG: \$ / CDF: \$	Homeowner Housing Rehabilitated	Household Housing Unit	1020	125	12.25%	149	137	91.95%
Improve the Housing Stock & General Property Con	Affordable Housing Homeless Non-Homeless Special Needs	CDBG: \$ / HOPWA: \$ / HOME: \$ / ESG: \$ / CDF: \$	Direct Financial Assistance to Homebuyers	Households Assisted	235	0	0.00%	70	124	177.14%
Improve the Housing Stock & General Property Con	Affordable Housing Homeless Non-Homeless Special Needs	CDBG: \$ / HOPWA: \$ / HOME: \$ / ESG: \$ / CDF: \$	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	0	0		154	445	288.96%
Improve the Housing Stock & General Property Con	Affordable Housing Homeless Non-Homeless Special Needs	CDBG: \$ / HOPWA: \$ / HOME: \$ / ESG: \$ / CDF: \$	Homeless Person Overnight Shelter	Persons Assisted	0	0		1095	2899	264.75%

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Improve the Housing Stock & General Property Con	Affordable Housing Homeless Non-Homeless Special Needs	CDBG: \$ / HOPWA: \$ / HOME: \$ / ESG: \$ / CDF: \$	Homelessness Prevention	Persons Assisted	16845	0	0.00%	0	0	
Improve the Housing Stock & General Property Con	Affordable Housing Homeless Non-Homeless Special Needs	CDBG: \$ / HOPWA: \$ / HOME: \$ / ESG: \$ / CDF: \$	Jobs created/retained	Jobs	0	0		0	0	
Improve the Housing Stock & General Property Con	Affordable Housing Homeless Non-Homeless Special Needs	CDBG: \$ / HOPWA: \$ / HOME: \$ / ESG: \$ / CDF: \$	Housing for People with HIV/AIDS added	Household Housing Unit	950	0	0.00%			
Improve the Housing Stock & General Property Con	Affordable Housing Homeless Non-Homeless Special Needs	CDBG: \$ / HOPWA: \$ / HOME: \$ / ESG: \$ / CDF: \$	HIV/AIDS Housing Operations	Household Housing Unit	120	94	78.33%	120	94	78.33%
Improve the Housing Stock & General Property Con	Affordable Housing Homeless Non-Homeless Special Needs	CDBG: \$ / HOPWA: \$ / HOME: \$ / ESG: \$ / CDF: \$	Buildings Demolished	Buildings	165	65	39.39%	24	117	487.50%
Other	Affordable Housing Homeless Non-Homeless Special Needs Non-Housing Community Development	CDBG: \$ / CDF: \$25000	Other	Other	0	0				

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Promote Economic Stability	Non-Housing Community Development	CDBG: \$ / CDF: \$	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	300000	4750	1.58%	60000	164115	273.53%
Promote Economic Stability	Non-Housing Community Development	CDBG: \$ / CDF: \$	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	0	161920		0	18998	
Promote Economic Stability	Non-Housing Community Development	CDBG: \$ / CDF: \$	Facade treatment/business building rehabilitation	Business	0	0		0	0	
Promote Economic Stability	Non-Housing Community Development	CDBG: \$ / CDF: \$	Rental units rehabilitated	Household Housing Unit	0	0		4	0	0.00%
Promote Economic Stability	Non-Housing Community Development	CDBG: \$ / CDF: \$	Jobs created/retained	Jobs	625	3	0.48%	140	150	107.14%
Promote Economic Stability	Non-Housing Community Development	CDBG: \$ / CDF: \$	Businesses assisted	Businesses Assisted	250	23	9.20%	76	49	64.47%

Table 1 - Accomplishments – Program Year & Strategic Plan to Date

Assess how the jurisdiction’s use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.

A couple of the City’s top priorities are job creation and assisting businesses. In 2016, the expected outcome was 140 jobs to be created/retained, and the City achieved an actual outcome of 150 jobs created/retained. Also under the Promote Economic Stability goal, the City was able to assist 49 businesses under its Financial Assistance Loan/Grant and Neighborhood Commercial Assistance programs funded via CDBG. Also under the goal of Promote Economic Stability, the City served a total of 18,998 persons via its Public Service Activities other than

Low/Moderate Income Housing Benefit outcome indicator. Under HOME, 124 households were assisted with Direct Financial Assistance to Homebuyers. One hundred and seventeen buildings were demolished to improve the housing stock. Finally eleven (11) new units of rental housing came online via HOME funding for the Michelsen and Mills Affordable Housing Development Project.

In the above chart, the goal of 120 Household Housing Units for the category, HIV/AIDS Housing Operations should have been entered as Housing for People with HIV/AIDS Added instead. In reality, the City had a goal to add 120 Household Housing Units under the category of Housing for People with HIV/AIDS Added, and achieved an actual output of 94 such Household Housing Units. A manual change was not allowed in the chart. Actual outcome for number of households assisted with HOPWA indicates 94 in above chart, but includes only LT and STRMU; if the 37 households assisted with PHP were to be included, the total would be 131 households assisted.

Responding to General Community Needs

Youth Services needs in the city continue to be varied and extensive, as evidenced by both the high level and severity of urban poverty. Youth continue to experience high levels of school dropout rates, increasing teen pregnancy rates, and disproportionately high unemployment rates. Acknowledging the scope of need in the context of decreasing resources, the City continues to work closely with other major funders (United Way, County of Monroe and the Rochester Area Community Foundation) to review and update established policies and funding strategies that concentrate targeted dollars and effort on established priorities as well as identify opportunities for efficiencies through information-sharing and partnerships with other large-scale local youth service providers. The current major priorities for CDBG funding for the Department of Recreation and Youth Services (DRYS) are programs and services that promote youth employment readiness and career development, and programs and/or activities that are inclusive of youth development and leadership programming. In the 2016-17 CDBG program year, DRYS provided youth employment training and job opportunities to hundreds of Rochester youth through the Summer of Opportunity program and the Youth Employment Training program. DRYS was able to continue to provide youth entrepreneurship and arts education offerings with the ROC Paint Division mural arts crew while also beautifying neighborhoods and community facilities. This project engaged Rochester youth and local professional artists to create, develop and install both small and large scale, interior and exterior public arts projects at the Avenue D, Flint, Frederick Douglass, Humboldt, and Ryan R-Centers. During this process the youth participants also received training in community art development, leadership and artistic techniques and became familiar with the local arts movement and career opportunities. DRYS expanded our creative arts and science, technology, engineering, and math (STEM) educational opportunities through the creation of STEAM Engine. DRYS also continued to support the delivery of structured and quality after-school educational opportunities through the fourth and final year of the Rochester After School Academy at School #19. DRYS also continued delivery of the Youth Voice One Vision youth leadership board, the Mayor's

Youth Advisory Council. Youth Voice One Vision provides youth-led civic engagement support to Rochester youth ages 12 to 25 participating in DRYS leadership training programs. CDBG funding was utilized to support staff to coordinate youth civic engagement opportunities and leadership skills development including social and interpersonal skills, conflict resolution, event planning, service learning, team building, and decision-making. DRYS continued to support the Parent Leadership Training Institute, an evidence-based 20 week training program designed to increase parental involvement and engagement in the community, to strengthen neighborhoods and families and to improve outcomes for children.

CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted).

91.520(a)

	CDBG	HOME	ESG	HOPWA
Race:				
White	283	61	954	38
Black or African American	1463	119	1770	79
Asian	6	1	8	0
American Indian or American Native	2	0	19	0
Native Hawaiian or Other Pacific Islander	2	0	34	0
Total	1756	181	2785	117
Ethnicity:				
Hispanic	81	52	578	22
Not Hispanic	1675	129	2321	109

Table 2 – Table of assistance to racial and ethnic populations by source of funds

Narrative

ESG data as collected by subrecipients, includes a category for *Multiple Races*. However, there is no category for *Multiple Races* in the chart above, but 114 individuals identified themselves as *Multiple Races* with subrecipients with our subrecipients. As such, there were actually 2,899 people served by ESG, but the above chart includes everyone else except those who identified themselves as *Multiple Races*.

HOPWA data is collected with *Other - Multi-Racial* as an option and this option is not provided in the table above. Fourteen (14) individuals identified as *Other - Multi-Racial*. As a result, there are an additional 14 families served but are not included in the above chart count, making a total of 131 families served under the HOPWA program.

CR-15 - Resources and Investments 91.520(a)

Identify the resources made available

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG	CDBG	8,442,971	7,608,115
HOME	HOME	2,142,789	1,846,965
HOPWA	HOPWA	689,637	643,677
ESG	ESG	701,419	512,957
Other	Other	500,000	266,100

Table 3 - Resources Made Available

Narrative

The table above includes program income and prior year resources for CDBG, and program income for HOME. The amount expended column data was determined by reviewing all IDIS vouchers for ESG, HOPWA, CDBG, and HOME funds expended between 7/1/2016 and 6/30/2017.

Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
City-wide	100	100	City-wide

Table 4 – Identify the geographic distribution and location of investments

Narrative

One Consolidated Plan amendment was done during the program year that included the following changes: Amending the description of the project named Business Development Financial Assistance Program to include working capital; Updating the HOME recapture/resale policies; Adding additional HOME funds of \$10,295, made available after the Con Plan was approved, and increasing the CHDO reserve amount by \$1,544; Revising the annual goals and objectives for HOPWA to provide 65 households with Short Term Rent Mortgage Utilities services and 55 households with TBRA services; and Making a substantial amendment by moving \$200,000 from the Manufacturers Job Training Incentive Program to Infrastructure Improvements.

Leveraging

Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.

The City of Rochester receives a 100% match reduction from HUD for its HOME funds for 2016.

Despite the 100% match reduction, the City of Rochester invested a total of \$1,850,000, including \$674,028 in HOME funding and \$1,175,972 of local funding, for the Michelsen Mills Affordable Housing Project. These funds leveraged an additional \$17,339,098 in other state, local and private funding that included historic tax and low income housing credits from the NYS and the federal government, for a total development cost of \$19,189,098. The project transformed two historic industrial buildings, the former Michelsen Furniture Factory at 182 Avenue D and Rochester Plumbing Supply at 281-295 Mill Street, by converting them into 59 workforce apartments for tenants with income levels between 50-60% of Area Median Income (AMI). Both buildings are on the National Register of Historic Places, are located in a Qualified Census Tract, and, both sites were designed to preserve essential historic features. This project addressed the needs identified in the Consolidated Plan through the creation of affordable rental housing that contributed to the revitalization of the City's urban core and northeast Rochester.

\$1,000,000 in CDBG funding was provided by the City of Rochester as a loan for the redevelopment of the former Midtown Tower (now known as Tower280). These federal funds leveraged an additional \$62,120,000 in other state, local, and private funding resulting in the creation of 181 residential units and 135,000 square feet of new Class A office space. This development addressed the needs identified in the Consolidated Plan through the creation of construction jobs for Section 3 residents, the creation of permanent jobs, and the revitalization of the City's urban core.

Fiscal Year Summary – HOME Match	
1. Excess match from prior Federal fiscal year	7,541,576
2. Match contributed during current Federal fiscal year	1,511,341
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	9,052,917
4. Match liability for current Federal fiscal year	0
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	9,052,917

Table 5 – Fiscal Year Summary - HOME Match Report

Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match
21 Straub Street	0	62,280	0	0	0	0	0	62,280
88 Cheltenham Road	0	21,956	0	0	0	0	0	21,956
Lake Ravine	0	213,750	0	0	0	0	0	213,750
Sibley Affordable Senior Rental	0	1,213,355	0	0	0	0	0	1,213,355

Table 6 – Match Contribution for the Federal Fiscal Year

HOME MBE/WBE report

Program Income – Enter the program amounts for the reporting period				
Balance on hand at beginning of reporting period	Amount received during reporting period	Total amount expended during reporting period	Amount expended for TBRA	Balance on hand at end of reporting period
\$	\$	\$	\$	\$
10,308	192,283	230,299	0	20,242

Table 7 – Program Income

Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period						
	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Contracts						
Dollar Amount	14,615,693	0	228,200	811,179	0	13,576,314
Number	25	0	4	9	0	12
Sub-Contracts						
Number	193	0	2	49	14	128
Dollar Amount	9,242,924	0	70,000	1,506,136	42,581	7,624,207
	Total	Women Business Enterprises	Male			
Contracts						
Dollar Amount	14,614,693	0	14,614,693			
Number	25	0	25			
Sub-Contracts						
Number	193	19	174			
Dollar Amount	11,022,647	997,824	10,024,823			

Table 8 - Minority Business and Women Business Enterprises

Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted						
	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0

Table 9 – Minority Owners of Rental Property

Relocation and Real Property Acquisition – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition

Parcels Acquired		0	0			
Businesses Displaced		0	0			
Nonprofit Organizations Displaced		0	0			
Households Temporarily Relocated, not Displaced		0	0			
Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	0	0	0	0	0	0

Table 10 – Relocation and Real Property Acquisition

CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	300	445
Number of Non-Homeless households to be provided affordable housing units	64	113
Number of Special-Needs households to be provided affordable housing units	170	131
Total	534	689

Table 11 – Number of Households

	One-Year Goal	Actual
Number of households supported through Rental Assistance	170	131
Number of households supported through The Production of New Units	36	23
Number of households supported through Rehab of Existing Units	14	137
Number of households supported through Acquisition of Existing Units	14	124
Total	234	415

Table 12 – Number of Households Supported

Discuss the difference between goals and outcomes and problems encountered in meeting these goals.

The need for STRMU was lower than expected because of an increase in applicants seeking assistance in first month's rent and/or security deposits. This was due to increased outreach. In addition to this, service providers saw applicants needing higher amounts per grant (i.e., they were multiple months in rent arrears, and there were larger past due amounts in utility requests than normal). Another reason why STRMU actuals were lower than the goal is because in mid program year, one of the providers realized they did not have enough funds in TBRA to pay all rents for the whole contract. As such, funds were moved from STRMU to TBRA to cover the deficit.

Discuss how these outcomes will impact future annual action plans.

One of the providers anticipates that STRMU need will go back to normal based on current trend. The 17-18 budget was adjusted for the other provider to ensure enough funds to cover anticipated rent subsidies.

Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

Number of Households Served	CDBG Actual	HOME Actual
Extremely Low-income	19	1
Low-income	31	19
Moderate-income	21	27
Total	71	47

Table 13 – Number of Households Served

Narrative Information

The numbers in the above chart are numbers showing only affordable housing activities funded from CDBG and HOME.

CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)

Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The City of Rochester undertakes several actions to prevent homelessness. During the reporting period, specific preventive assistance included delinquent rental and utility payment assistance, security deposit payments, and legal services for low and low-mod income households facing eviction. Tenant accountability training and eviction prevention services are also provided. The Housing Council at Pathstone provides foreclosure prevention services. The counseling and resolution service process includes household budget and income analysis as well as negotiation with mortgage lenders and other parties to arrive at appropriate resolutions. Resolutions include refinancing, negotiated repayments and sale. Legal services provided by the Empire Justice Center make mandated representation at settlement hearings and litigation possible. As far as assistance to unsheltered homeless, City ESG funding has been used for outreach specifically targeting locations for unsheltered homeless and coordinate services with providers to provide emergency shelter, access to income and permanent housing solutions. The coordination with service providers has proven to be successful. The City also participates with the Monroe County Department of Housing Services and homeless service providers on the Chronically Homeless Workgroup which started out meeting monthly and now biweekly to reach successful outcomes for the street homeless. These case management sessions have proved beneficial to housing the hardest to serve.

Addressing the emergency shelter and transitional housing needs of homeless persons

The City takes several actions to address the needs of the homeless. The primary funding sources are the federal Emergency Solutions Grant Program (ESG) and Housing Opportunities for Persons with AIDS (HOPWA) which are administered by the Department of Neighborhood and Business Development. Our funding allocations for 2016-17 accomplished five important objectives: Coordinated Access, Rapid Rehousing, Maximizing community resources, Hospitality Beds, Outreach, and Essential Services. The Emergency Shelter Grant Program provides homeless persons with basic shelter and essential supportive services. It can assist with the operational costs of shelters and provide short-term homeless prevention assistance to persons at imminent risk of losing their housing due to eviction, foreclosure or utility shutoffs. ESG is a formula-based program that uses the CDBG formula as the basis for allocating funds to eligible jurisdictions.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care

facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

Our service providers have the specialty skills needed to deliver services which meet the needs of each subpopulation: individuals, families, Veterans, recovering substance abusers, chronically homeless, persons with HIV/AIDS, victims of domestic abuse, those leaving jail/prison and those at imminent risk of becoming homeless.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

The CoC is investing in rapid rehousing so that those who enter emergency shelters exit quickly. It is providing diversion assistance for those who have a viable alternative to a shelter but require some support. RHA has a program that allows families that no longer need case management to transition to the Voucher (Section 8) program, freeing up PSH units for homeless families. The CoC will intensify its outreach efforts to identify & provide support for unsheltered families with dependent children. The CoC will assist homeless households with children through RRH projects by working with TH projects serving families to help them restructure using a RRH model & by soliciting housing organizations without such programs to create new RRH projects. ESG funding supports a new Rapid Rehousing Partnership (RRP). RRH responds quickly, secures appropriate PH, and uses an array of benefits/supports. The RRP is expanding to serve as a forum for broad implementation of a local RRH system. Training/published tool kits will help agencies to understand the RRH approach and best practices. Efforts to find other funding sources will continue. The average length of time that participants remain in Emergency Shelter (ES) is 17 days, Transitional Housing (TH) 121 days, and Permanent Supportive Housing (PSH) 63 days. To reduce time, the CoC has encouraged PSH providers to adopt a Housing First approach, which has resulted in many eliminating preconditions (e.g., sobriety, minimum income threshold) that are barriers to housing entry. ESG funds have been used for rapid rehousing efforts, especially for families, to keep them from becoming homelessness. Data on length of time that homeless individuals/families spend in CoC and ESG-funded ES, TH, and SH programs are included in HMIS and will be used to track changes over time. Non-HUD funded projects are represented among the community agencies in the Housing Services Network, where members are educated on best practices and tools that can be used to reduce the length of time individuals stay homeless. To reduce additional homeless episodes, the CoC will utilize prevention, diversion, short-term rental assistance, and arrears payments. The Monroe County DHS Diversion Unit will continue to assist those at risk of homelessness with payment for such costs as back taxes, mortgage payments, auto repairs, and tools/uniforms for jobs. Returns to homelessness are also decreased as the result of less

stringent compliance demands for participants, automatic renewals of leases, and ensuring that discharges are in accordance with fair housing requirements. Data in HMIS can be used to determine if and when individuals and families leaving TH, RRH, and PSH experience another episode of homelessness in those cases where either (1) they exit to homelessness or (2) they exit to permanent housing, but subsequently re-enter the homeless system in the CoC geography.

The CoC will utilize the local Coordinated Access System to improve the likelihood of placing individuals and families in housing where they would either stay longer or move more quickly to self-sufficiency. Attention will be given to increasing access to mainstream supportive services and enhancing case/care management options to ensure effective integration of homeless housing and community-based services and supports. Agencies will be encouraged to adopt a housing first model, which would allow more participants to remain in their units and reduce the number exiting a project because of alcohol- or drug-related noncompliance.

The City is working with the local CoC to fully implement a Coordinated Entry program and community-wide homeless prioritization. Both systems are expected to be fully functional at the beginning of 2018

CR-30 - Public Housing 91.220(h); 91.320(j)

Actions taken to address the needs of public housing

The City of Rochester has continued efforts to coordinate activities with those of the Rochester Housing Authority (RHA). These efforts involve planning and development of new public housing, affordable housing, resident and program participant self-sufficiency training, home ownership opportunities, and crime prevention and control. RHA continues to provide quality affordable housing and services for its residents. RHA meets regularly with resident councils, resident Board Commissioners, staff and neighborhood associations to address needs and discuss upcoming projects. They take all suggestions and recommendations from these sources into consideration when preparing the annual and five year Capital Improvement plan which outlines capital projects for its Public Housing properties. Due to ever changing conditions, items are prioritized, but can fluctuate within the plan from year to year as priorities change. RHA utilizes funds from its operations budget and Capital Fund Program to address physical needs of its properties. Projects include resident service requests, unit turnover, interior and exterior renovations, security and building systems upgrades. There are currently multiple projects in various stages of completion and more are being planned for the upcoming year. Some of the larger projects include a redevelopment plan for the housing complex located on Federal Street, roof and siding replacement at the Lena Gantt site on Upper Falls Blvd, roof and porch replacement at many of the scattered site single and two family homes, and a window replacement project at the Kennedy Tower building. RHA is planning a renovation project at the Waring Rd./Veteran St. site. RHA is also in the process of reviewing and assessing all roofs and building systems. RHA converted three properties as part of the RAD (Rental Assistance Demonstration) program.

Actions taken to encourage public housing residents to become more involved in management and participate in homeownership

The RHA Resident Service Department is also working hard to partner with other agencies that can assist our residents in self-sufficiency initiatives and goals which include homeownership. RHA continues to work with and seek out additional community partners to help further its mission. RHA has recently revised its Section 3 plan which is designed to provide better training, employment, and life skills for its participants. RHA continues to work with resident councils and the Resident Advisory Board (RAB) to address resident needs. RHA's Resident Services department also assists with resident needs, enrollment in its Family Self Sufficiency Program (FSS), and actively engage residents in homeownership opportunities and program development. RHA is committed to improving communication between management and its residents and program participants and continues to work with area partners to provide services.

Actions taken to provide assistance to troubled PHAs

Rochester Housing Authority (RHA) is not a troubled housing authority.

CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)

Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)

The City of Rochester was largely developed prior to 1940 and has the second oldest housing stock in the nation among cities of its size. As such, many of the opportunities to create affordable housing stock consist of rehabilitation of existing housing stock. The City has long recognized that the NYS Fire Prevention and Building Code posed barriers to the development of affordable housing when existing structures are rehabilitated or adaptively re-used. The code was developed as a “new construction” standard and makes it very costly, if not impossible, to effectively redevelop existing structures. Projects also experience significant delays because they must obtain variances from the state code. The City has worked successfully with the State of New York to address this issue by replacing the former code with the NYS Enhanced International Building Code. The City has continued to monitor the NYS Building Code and the new local zoning code for adverse effects to the development of affordable housing.

Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)

The activities funded by the Consolidated Community Development Plan alone cannot address and resolve poverty. The housing programs can be used to mitigate the degree of poverty by reducing housing costs and preventing homelessness while creating and retaining jobs. Assisted economic development activities resulted in the creation or retention of jobs. The City’s housing programs are designed to create more affordable housing units, assist low-income homeowners maintain their homes, produce more affordable rental units and prevent homelessness. The fundamental solution to reducing poverty is increasing household income. The City lobbies state and federal bodies to allocate more funding for economic development programs to bring job creation and training to qualified residents for higher paying jobs. The City, Monroe County and the Rochester Housing Authority worked collaboratively to develop a Section 3 plan that, although not yet formally adopted by the Administration, is used to assist in securing jobs for Section 3 eligible residents. RHA under new leadership has decided to establish its own plan to determine how it may work on a smaller scale before initiating the tri-party plan. It is RHA’s intent to ultimately continue in the partnership with the City and County once an evaluation of their agency plan is completed. The City has also increased its efforts toward workforce development in order for unemployed workers to be matched with meaningful employment. To this end, before any City supported development projects are undertaken, staff meets with each developer to negotiate their plan on providing employment opportunities to the target populations. Several of the City’s housing programs are effectively used to mitigate poverty by reducing housing costs and preventing homelessness, i.e. Rental Housing Fund, Foreclosure Prevention, Roof Program, and the Emergency Assistance Repair Program. These programs are designed to develop affordable rental units, assist low income homeowners in maintaining their homes and preserving owner-occupancy (and thus preventing homelessness) through financial assistance. Each of these

programs provided assistance during the reporting period.

Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)

The City continued its efforts to combat childhood lead-poisoning through its comprehensive Lead Hazard Control Program. The primary goal of the program is to reduce the incidence of childhood lead poisoning by producing lead-safe affordable housing for families with children under age six. The strategy of the program is to employ primary prevention by addressing lead based paint hazards in housing before a child becomes lead-poisoned. This goal has been expanded to not only address lead hazards, but to also address other environmental health hazards which particularly affect children with asthma. The Lead Hazard Control Program is funded by the U. S. Department of Housing and Urban Development Office of Healthy Homes and Lead Hazard Control and the City's Community Development Block Grant. A total of 64 units were produced at a cost of \$915,106. A total of 27 rental units and 37 owner-occupied units were assisted. Participating landlords were required to produce a 10% funding match and agree to maintain assisted units as affordable and lead-safe for a period of five years. Each unit that received lead hazard control assistance received a healthy homes supplemental award to address other environmental health hazards. A comprehensive assessment was completed which included an identification and evaluation of 29 health hazards. Each identified hazard was assigned a score which indicated the likelihood of occupants to be at risk from harm or injury. In most cases, all of the hazards that were identified in units were corrected with the supplemental funding. Through a partnership with local lead-based paint evaluation firms, the City provided a combined lead-based paint inspection/risk assessment for each unit enrolled in the Lead Hazard Control Program. The risk assessment provides an evaluation of the housing unit and child play areas to determine the presence of lead-based paint and identifies existing lead hazards. The completed report summarizes these findings and includes a remediation plan if needed. A total of 68 risk assessments were produced. Other efforts that contributed to the program include contractor training where 204 construction workers completed coursework through the City's training program which provided them with the EPA certification to undertake abatement or lead hazard control (interim controls) work. This accomplishment has expanded the base of qualified workers available to undertake lead hazard control work for at-risk property owners. In addition, the City contracted with a non-profit housing organization to complete an outreach and education program designed to raise awareness of the dangers of lead based paint among the City's at-risk population. A total of 255 parents and caregivers received this education. The City received a new award from HUD in July 2017 for \$1,000,000 to produce 100 units of lead safe housing over 3 years. The City has committed a funding match of \$1,030,683 which includes staff salaries and direct lead hazard control. The City will operate this program concurrently with its 2015 program through the use of current sub-recipient organizations to assist with application intake services and lead hazard evaluations. The current contractor base is sufficient to absorb the demands of the program.

Continuation: Actions to reduce lead-based paint hazards

Between 2003 (when the lead control program began) and 2014, the incidence of child lead poisoning

was reduced by 78%. However, this number has now risen because the Center for Disease Control lowered the threshold for determining lead poisoning from a level of 10 mc/dl to 5 mc/dl. Hundreds of children that are tested for lead poisoning are expected to fall within this range and thus will be considered lead poisoned. The combined efforts of the City and its stakeholders will continue to work to reach this population to reduce and/or eliminate lead based paint hazards (and other environmental health hazards) that exist in their households.

Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)

Rochester now ranks #4 in the United States for poverty rate and #1 among midsize cities. In response to these dire statistics, the entire Rochester community has been convened and is collaborating via the Rochester Monroe Anti-Poverty Initiative (RMAPI) since 2015. RMAPI's goal is to reduce poverty by 50% by 2030, and is asking each partner organization to rethink their role and impact on these issues. RMAPI recently updated their strategic framework and renewed focus on the following strategies 1. Connecting and Coordinating Service Provision 2. Enabling Sustainable Employment 3. Designing and Advocating for Effective Benefits and Policies 4. Ensuring Learning and Data-Driven Action. Each strategy is also informed by the guiding principles of addressing trauma, combatting structural racism, and building community assets. In January 2016 RMAPI and partners selected initial target neighborhoods in which to pilot and evaluate the impact of new strategies. The City of Rochester Mayor's Office of Innovation is formally partnered with RMAPI to support data analysis, program design, and impact evaluation. Over the course of the last year RMAPI and partners have launched several pilot strategies including the Bridges to Success life coaching program, Family Independence Initiative peer support groups, Kiva Rochester microloan program, Market Driven Community Cooperatives coop business incubation program, and the Commuter Vanpool Pilot Program. While programs are still ramping up and poverty impact is still being evaluated, these programs have served over 200 individuals. Additional programming is being launched in fall 2017. In addition to efforts directly tied to RMAPI, the City of Rochester has many long running programs and services which are aimed at impacting issues of poverty, including the Operation Transformation Rochester which provides job readiness training and employment placement services, housing programs aimed at ensuring access to quality affordable housing, neighborhood service centers which foster neighborhood community group activities and mitigate issues of vacancy and blight, and recreation centers and libraries which provide supplemental educational opportunities and safe healthy environments for families.

Actions taken to develop institutional structure. 91.220(k); 91.320(j)

The City works closely with nonprofit housing and social service providers to carry out housing and community development programs. The City implements its housing support in accordance with the Housing Policy of 2008. The Policy is intended to guide the City in the development of specific plans with the overall goals of improving City neighborhoods, stabilizing and enhancing the tax base, creating healthy real estate markets, and providing a broad array of housing options that address the needs of diverse households. The policy includes Promoting rehabilitation, redevelopment and new construction of housing; Promoting homeownership; Supporting efforts to strengthen the rental market; Promoting

housing choice; and Supporting the implementation of neighborhood and asset –based planning through interdepartmental collaboration. A recent study undertaken by the City confirmed it is imperative that the City capitalize on its current resurgence of development and neighborhood investment in order to preserve, protect, and enhance the city’s growth and vibrancy. In order to provide greater clarity regarding planning functions and to emphasize the importance of long-range planning, a new Office of Planning was recently established in the department of Neighborhood and Business Development. This new bureau will engage in long-term comprehensive planning for the City, and be responsible for evaluating and coordinating plans created by staff in other departments to ensure consistency and compliance with the City’s long-term plans. The Planning bureau will also be responsible for coordinating technical support and assistance to neighborhoods regarding community planning efforts.

Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)

Housing staff participates with the County, local and state housing and social service agency networks to ensure housing programs for the homeless and non-homeless populations are well coordinated to help to ensure their success.

Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)

The City engaged WES to complete a new Analysis to Impediments Study. The study was completed with recommendations. Currently, the City undertakes many actions to affirmatively further fair housing. The Analysis of Impediments to Fair Housing Choice from a study in 1996 and the 2007 Housing Market Analysis that included a review of affordable housing and resulted in the formulation of our housing policy, shows the major impediments as follows: Household Income: Housing choices are fundamentally limited by household income and purchasing power. Another element of this is job sprawl-the spatial mismatch between jobs and minorities; The availability of affordable housing; Mobility: Most family affordable housing is located in areas with high concentrations of minorities and poverty; Real Estate Practices: There is no local testing to confirm or disprove discriminatory real estate practices; Lending Practices: Minorities experience mortgage lending denial rates which are two to three times greater than those for white applicants. This suggests that lenders’ underwriting policies or practices have the effect of restricting housing opportunities among minorities; Community Understanding and Attitudes: The current amount of fair housing education and information programming is limited.

WES identified in the recently completed study that the issues above continue to be impediments to fair housing choice in the Rochester community. Several ongoing programs address these impediments. The City works to increase the number of affordable housing choices so households utilizing City homeownership and rental programs spend no more than 30% of their income on housing related costs. To this end, the City provides development subsidies for affordable existing and newly constructed homes for homebuyers.

CR-40 - Monitoring 91.220 and 91.230

Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements

The City of Rochester continually monitors the performance of housing and community development activities to assure that they are carried out in accordance with applicable laws and regulations and to ensure that performance goals are achieved. Program managers for the DRYS program are required to review program and financial information to ensure accuracy. The City of Rochester's plan is to perform on-site visits on an annual basis for all CDBG, ESG, HOPWA and HOME funded programs. During the 2016-17 year this was accomplished. Visits focused on areas such as adequate source documentation, employee payroll support (timecards), timeliness of deposits, income eligibility, availability of financial statements and/or single audit, cost allocation plans, and overall compliance with the approved programs' budget. We have a monitoring schedule of the participants each year to ensure that we are documenting compliance with all programs. In the case of DRYS, staff also complete payroll certifications or time distribution forms certifying the time spent working on the grant-funded programs which are then reviewed by City Grants Accounting prior to any reimbursement draws. DRYS management staff is in regular contact with that sub-recipient throughout the program year and conducted a site visit to ensure programmatic compliance. Demographic and program accomplishments were received and reviewed prior to processing the sub-recipient's bill for services. The City keeps a project backlog report for business development projects. This report includes projects currently being worked on (financial assistance) and Stage 1 projects (projects in the early discussion stage). These reports are updated and periodic portfolio reports are also generated. As part of the job/project monitoring, annual employment reports are required. We obtain job information annually, and update the IDIS system. The City will now begin to require quarterly jobs creating/retention reports. Projects are also monitored via project staff site visits. Due to improved monitoring, we have been able to close out more economic development activities on the IDIS system than in the past. It is important that the City of Rochester evaluate program progress and impacts. In order to gauge the effectiveness and improve program performance, the City utilizes ongoing management review as a part of its self-evaluation process. All expenditures fall within categories of highest priority as determined by the Consolidated Plan citizen participation process. This ensures that priority needs and specific objectives make the community's vision of the future a reality.

Citizen Participation Plan 91.105(d); 91.115(d)

Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.

Our customers had an opportunity to comment on the CAPER during the 15 day public comment period running from September 9 - 24, 2017. A notice of opportunity was published in the Democrat & Chronicle. No comments were received.

CR-45 - CDBG 91.520(c)

Specify the nature of, and reasons for, any changes in the jurisdiction’s program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.

The City of Rochester underwent a comprehensive five (5) year Strategic Plan for the 2015-16 through 2019-20 program years. This is the second year in that five year strategic plan period. The city has many and varied needs and a limited amount of financial resources to support all of the needs. The five year plan included a long list of need areas. To that end, the City has focused on projects/programs that will provide the most effective return on investment while seeking to fulfill some of the needs. There have been no major changes in the jurisdiction's program objectives. This program year did not have any changes in program objectives.

Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?

No

[BEDI grantees] Describe accomplishments and program outcomes during the last year.

CR-50 - HOME 91.520(d)

Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations

Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

Eastman Commons, Mills I, Voter's Block, Near Westside, Carlson Commons, Olean/Kennedy, Holy Rosary, El Camino Estates I & II, Mildred Johnson Estates I & II. These projects were inspected and there were no issues.

Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)

All of the City's affordable housing projects require an affirmative marketing plan. Consistently, our projects are marketed to households that would not typically have access to new units. This includes units marketed in neighborhood newsletters, and advertised on radio that would reach minority and other underserved markets. We have built a relationship with the Center for Independent Living. As a result, a high percentage of these units are occupied by the underserved markets.

Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics

The City received a total of \$182,348.31 in HOME program income during the 2016-17 program year. A total of 27 projects were funded with program income to benefit 24 households, including one large rental project and 24 homebuyer projects. The large multi-family project partially funded with program income had eight Black/African American households, three White households, and two were Hispanic. Seven of the households were in the 0 – 30% bracket and four in the 30+ - 50% bracket; 18 persons in total were housed in the eleven units. The 24 homebuyer projects had a variety of income levels, race, ethnicity and household sizes: Income – 10 were 30-50%, seven were 50-60%, and six were 60-80%; Race/Ethnicity – 11 were White, 8 were Black/African America, four were Other/Multi-Racial, and eleven were Hispanic; Family Size – Seven one-person, three two-person, seven three-person, two four-person, three five-person, and one six-person households.

Describe other actions taken to foster and maintain affordable housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)

The City of Rochester was largely developed prior to 1940 and has the second oldest housing stock in

the nation among cities of its size. As such, many of the opportunities to create affordable housing stock consist of rehabilitation of existing housing stock. The City has long recognized that the NYS Fire Prevention and Building Code posed barriers to the development of affordable housing when existing structures are rehabilitated or adaptively re-used. The code was developed as a “new construction” standard and makes it very costly, if not impossible, to effectively redevelop existing structures. Projects also experience significant delays because they must obtain variances from the state code. The City has worked successfully with the State of New York to address this issue by replacing the former code with the NYS Enhanced International Building Code. The City has continued to monitor the NYS Building Code and the new local zoning code for adverse effects to the development of affordable housing. The HOME allocation has been utilized for affordable housing development and rehabilitation as well as homeownership financial assistance. HOME funds are not used to provide tenant-based rental assistance. The City allocates HOME funding to a variety of rental activities designed to increase the supply of rental housing that is affordable to households below 60% of the area median family income.

Significant Rental Projects

Mills Michelsen involves the adaptive redevelopment of two formerly vacant structures into 59 units of workforce housing. The project will serve households up to 60% AMI. Construction and unit lease-up is completed as well. The cost of the project is \$19,189,794. Additional project funding sources include Historic Tax Credits, NYS HFA Bonds, LIHTC, SLIHTC, and a conventional loan. The project is completed. Eastman Gardens, the redevelopment of a long vacant dental dispensary, a property on the National Register of Historic Places, into 52 units of senior housing. The project has positively impacted the Marketview Heights Focused Investment Strategy Area in northeast Rochester. The project serves seniors of mixed income, including 45 households with incomes under 60%. Seven of the units will be market rate. The cost of the project is \$20,769,062. The City provided \$600,000 of HOME funds and \$600,000 of local funding for a total of \$1,200,000, and a PILOT agreement. The project rehab and lease up are completed. Wedgepoint Apartments involves the new construction of 60 residential units (6 HOME assisted) of affordable and workforce rental housing, and three commercial spaces, in the South Wedge neighborhood. The project will serve households with incomes up to 90% of AMI, though the majority of units will be affordable to households below 60% AMI. The project is funded by NYS HOME and Commercial Investment Funds, LITHC, SLITHC, and a conventional loan. The City is providing \$400,000 of HOME funds as construction/permanent financing, and a PILOT agreement for the project. Construction and lease up are completed. Lake Ravine Apartments involves the rehabilitation of 111 units of existing occupied affordable rental housing serving households at or below 50% of AMI, in multiple buildings on Lake Avenue in northwest Rochester. The project will enhance this rental community through the creation of 4 handicapped accessible units, and the creation of addition of community space, exercise and laundry facilities, updates to the property management office, as well as establishing a space to be available for the provision of community services. While not using HOME funds, the City is supporting this project with local Cash Capital funding and a PILOT. Other project funding sources include NYS Homes for Working Families, SLIHTC, tax exempt bonds, FHLB and HUD mortgages. Construction was completed in the 2016-17 fiscal year. The Upper Falls Square project located in the northeast quadrant is a combined supportive housing and community affordable housing

project. It consists of 150 units. Seventy-five of the units are permanent supportive and seventy-five are available affordable housing for the community. The total development cost is nearly \$50 million. It is being funded utilizing low income housing tax credits and funding from the NYS Office of Mental Health. The City of Rochester is providing \$1,000,000 in local Cash Capital funding and construction is underway.

CR-55 - HOPWA 91.520(e)

Identify the number of individuals assisted and the types of assistance provided

Table for report on the one-year goals for the number of households provided housing through the use of HOPWA activities for: short-term rent, mortgage, and utility assistance payments to prevent homelessness of the individual or family; tenant-based rental assistance; and units provided in housing facilities developed, leased, or operated with HOPWA funds.

Number of Households Served Through:	One-year Goal	Actual
Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family	65	26
Tenant-based rental assistance	55	68
Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds	0	0
Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds	0	0

Table 14 – HOPWA Number of Households Served

Narrative

The need for STRMU was lower than expected because of an increase in applicants seeking assistance in first month's rent and/or security deposits. This was due to increased outreach. In addition to this, service providers saw applicants needing higher amounts per grant (i.e., multiple months in rent arrears, and larger past due amounts in utility requests than normal). Another reason why STRMU actuals were lower than the goal is because in mid program year, one of the providers realized they did not have enough funds in TBRA to pay all rents for the whole contract. As such, funds were moved from STRMU to TBRA to cover the deficit. Also, the total number of households served with HOPWA above does not include 37 households who were offered Permanent Housing Placement Services. The goal for Permanent Housing Placement Services was 50 and the actual achievement was 37, bringing the total number of actual households served to 131.

CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps*

For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	ROCHESTER
Organizational DUNS Number	002465805
EIN/TIN Number	166002551
Identify the Field Office	BUFFALO
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	Rochester/Irondequoit/Greece/Monroe County CoC

ESG Contact Name

Prefix	Mrs
First Name	Carol
Last Name	Wheeler
Title	Manager of Housing

ESG Contact Address

Street Address 1	30 Church Street, Rm. 005-A
City	Rochester
State	NY
ZIP Code	14614
Phone Number	585-428-6152
Email Address	Carol.Wheeler@cityofrochester.gov

ESG Secondary Contact

Prefix	Mrs
First Name	Mary Kay
Last Name	Kenrick
Title	Principal Staff Assistant
Phone Number	585-428-6309
Email Address	marykay.kenrick@cityofrochester.gov

2. Reporting Period—All Recipients Complete

Program Year Start Date	07/01/2016
Program Year End Date	06/30/2017

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name: PROVIDENCE HOUSING DEVELOPMENT CORPORATION

City: ROCHESTER

State: NY

Zip Code: 14624,

DUNS Number:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Faith-Based Organization

ESG Subgrant or Contract Award Amount: 130000

Subrecipient or Contractor Name: VETERANS OUTREACH CENTER

City: VETERANS OUTREACH CENTER

State: NY

Zip Code: 99999,

DUNS Number:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 15000

Subrecipient or Contractor Name: Dimitri House

City: Rochester

State: NY

Zip Code: 14607, 1317

DUNS Number: 791530470

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 16290

Subrecipient or Contractor Name: COORDINATED CARE SERVICES, INC.

City: Rochester

State: NY

Zip Code: 14611, 1153

DUNS Number: 151624996

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 281650

Subrecipient or Contractor Name: YWCA of Rochester and Monroe County
City: Rochester
State: NY
Zip Code: 14604, 1109
DUNS Number: 160743248
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 37344

Subrecipient or Contractor Name: Spiritus Christi Prison Outreach / Neilson
City: Rochester
State: NY
Zip Code: 14609, 7143
DUNS Number: 161582433
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Faith-Based Organization
ESG Subgrant or Contract Award Amount: 11646

Subrecipient or Contractor Name: Spiritus Christi Prison Outreach / Jenifer
City: Rochester
State: NY
Zip Code: 14609, 7143
DUNS Number: 161582433
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Faith-Based Organization
ESG Subgrant or Contract Award Amount: 20000

Subrecipient or Contractor Name: The Salvation Army
City: Rochester
State: NY
Zip Code: 14604, 4310
DUNS Number: 059012307
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Faith-Based Organization
ESG Subgrant or Contract Award Amount: 47842

Subrecipient or Contractor Name: Rochester Area Interfaith Hospitality Network

City: Rochester

State: NY

Zip Code: 14607, 2013

DUNS Number: 170113752

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Faith-Based Organization

ESG Subgrant or Contract Award Amount: 41331

Subrecipient or Contractor Name: The Center for Youth Services

City: Rochester

State: NY

Zip Code: 14620, 1707

DUNS Number: 085991974

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 35000

Subrecipient or Contractor Name: VOLUNTEERS OF AMERICA OF WESTERN NEW YORK

City: Rochester

State: NY

Zip Code: 14608, 1208

DUNS Number: 825036361

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 31710

CR-65 - Persons Assisted

4. Persons Served

4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	
Children	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 16 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	
Children	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 17 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

Number of Persons in Households	Total
Adults	
Children	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 18 – Shelter Information

4d. Street Outreach

Number of Persons in Households	Total
Adults	
Children	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 19 – Household Information for Street Outreach

4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	
Children	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 20 – Household Information for Persons Served with ESG

5. Gender—Complete for All Activities

	Total
Male	
Female	
Transgender	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 21 – Gender Information

6. Age—Complete for All Activities

	Total
Under 18	
18-24	
25 and over	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 22 – Age Information

7. Special Populations Served—Complete for All Activities

Number of Persons in Households

Subpopulation	Total	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters
Veterans				
Victims of Domestic Violence				
Elderly				
HIV/AIDS				
Chronically Homeless				
Persons with Disabilities:				
Severely Mentally Ill				
Chronic Substance Abuse				
Other Disability				
Total (unduplicated if possible)				

Table 23 – Special Population Served

CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

10. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	73,692
Total Number of bed-nights provided	69,033
Capacity Utilization	93.68%

Table 24 – Shelter Capacity

11. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2014	2015	2016
Expenditures for Rental Assistance	20,095	9,491	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	10,359	17,972	18,767
Expenditures for Housing Relocation & Stabilization Services - Services	10,875	1,352	39,734
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
Subtotal Homelessness Prevention	41,329	28,815	58,501

Table 25 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2014	2015	2016
Expenditures for Rental Assistance	4,244	7,002	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	14,724	25,072	12,262
Expenditures for Housing Relocation & Stabilization Services - Services	120,242	206,852	73,907
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
Subtotal Rapid Re-Housing	139,210	238,926	86,169

Table 26 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2014	2015	2016
Essential Services	191,203	169,748	233,471
Operations	158,091	97,341	82,209
Renovation	13,390	0	0
Major Rehab	0	0	0
Conversion	0	0	0
Subtotal	362,684	267,089	315,680

Table 27 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	2014	2015	2016
Street Outreach	0	0	0
HMIS	92,841	53,240	52,606
Administration	0	36,766	0

Table 28 - Other Grant Expenditures

11e. Total ESG Grant Funds

Total ESG Funds Expended	2014	2015	2016
	636,064	624,836	512,956

Table 29 - Total ESG Funds Expended

11f. Match Source

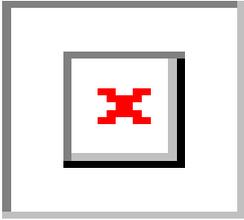
	2014	2015	2016
Other Non-ESG HUD Funds	0	0	0
Other Federal Funds	249,554	243,297	215,000
State Government	1,728,084	17,500	89,506
Local Government	208,983	745,228	260,212
Private Funds	206,374	199,326	300,774
Other	436,787	596,434	590,837
Fees	48,400	24,000	37,344
Program Income	0	0	24,000
Total Match Amount	2,878,182	1,825,785	1,517,673

Table 30 - Other Funds Expended on Eligible ESG Activities

11g. Total

Total Amount of Funds Expended on ESG Activities	2014	2015	2016
	3,514,246	2,450,621	2,030,629

Table 31 - Total Amount of Funds Expended on ESG Activities



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PART I: SUMMARY OF CDBG RESOURCES

01 UNEXPENDED CDBG FUNDS AT END OF PREVIOUS PROGRAM YEAR	9,321,051.50
02 ENTITLEMENT GRANT	7,692,971.00
03 SURPLUS URBAN RENEWAL	0.00
04 SECTION 108 GUARANTEED LOAN FUNDS	0.00
05 CURRENT YEAR PROGRAM INCOME	941,802.60
05a CURRENT YEAR SECTION 108 PROGRAM INCOME (FOR SI TYPE)	0.00
06 FUNDS RETURNED TO THE LINE-OF-CREDIT	0.00
06a FUNDS RETURNED TO THE LOCAL CDBG ACCOUNT	0.00
07 ADJUSTMENT TO COMPUTE TOTAL AVAILABLE	(57,307.34)
08 TOTAL AVAILABLE (SUM, LINES 01-07)	17,898,517.76

PART II: SUMMARY OF CDBG EXPENDITURES

09 DISBURSEMENTS OTHER THAN SECTION 108 REPAYMENTS AND PLANNING/ADMINISTRATION	7,608,114.86
10 ADJUSTMENT TO COMPUTE TOTAL AMOUNT SUBJECT TO LOW/MOD BENEFIT	0.00
11 AMOUNT SUBJECT TO LOW/MOD BENEFIT (LINE 09 + LINE 10)	7,608,114.86
12 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	1,053,543.71
13 DISBURSED IN IDIS FOR SECTION 108 REPAYMENTS	0.00
14 ADJUSTMENT TO COMPUTE TOTAL EXPENDITURES	0.00
15 TOTAL EXPENDITURES (SUM, LINES 11-14)	8,661,658.57
16 UNEXPENDED BALANCE (LINE 08 - LINE 15)	9,236,859.19

PART III: LOW/MOD BENEFIT THIS REPORTING PERIOD

17 EXPENDED FOR LOW/MOD HOUSING IN SPECIAL AREAS	0.00
18 EXPENDED FOR LOW/MOD MULTI-UNIT HOUSING	94,445.83
19 DISBURSED FOR OTHER LOW/MOD ACTIVITIES	6,046,677.53
20 ADJUSTMENT TO COMPUTE TOTAL LOW/MOD CREDIT	0.00
21 TOTAL LOW/MOD CREDIT (SUM, LINES 17-20)	6,141,123.36
22 PERCENT LOW/MOD CREDIT (LINE 21/LINE 11)	80.72%

LOW/MOD BENEFIT FOR MULTI-YEAR CERTIFICATIONS

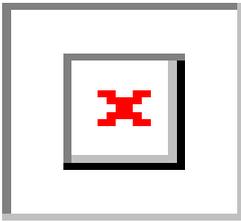
23 PROGRAM YEARS(PY) COVERED IN CERTIFICATION	PY: 2016 PY: PY:
24 CUMULATIVE NET EXPENDITURES SUBJECT TO LOW/MOD BENEFIT CALCULATION	0.00
25 CUMULATIVE EXPENDITURES BENEFITING LOW/MOD PERSONS	0.00
26 PERCENT BENEFIT TO LOW/MOD PERSONS (LINE 25/LINE 24)	0.00%

PART IV: PUBLIC SERVICE (PS) CAP CALCULATIONS

27 DISBURSED IN IDIS FOR PUBLIC SERVICES	867,187.80
28 PS UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	239,979.79
29 PS UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	26,575.90
30 ADJUSTMENT TO COMPUTE TOTAL PS OBLIGATIONS	0.00
31 TOTAL PS OBLIGATIONS (LINE 27 + LINE 28 - LINE 29 + LINE 30)	1,080,591.69
32 ENTITLEMENT GRANT	7,692,971.00
33 PRIOR YEAR PROGRAM INCOME	752,467.43
34 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PS CAP	0.00
35 TOTAL SUBJECT TO PS CAP (SUM, LINES 32-34)	8,445,438.43
36 PERCENT FUNDS OBLIGATED FOR PS ACTIVITIES (LINE 31/LINE 35)	12.79%

PART V: PLANNING AND ADMINISTRATION (PA) CAP

37 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	1,053,543.71
38 PA UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	66,975.83
39 PA UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	149,650.00
40 ADJUSTMENT TO COMPUTE TOTAL PA OBLIGATIONS	0.00
41 TOTAL PA OBLIGATIONS (LINE 37 + LINE 38 - LINE 39 +LINE 40)	970,869.54
42 ENTITLEMENT GRANT	7,692,971.00
43 CURRENT YEAR PROGRAM INCOME	941,802.60
44 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PA CAP	0.00
45 TOTAL SUBJECT TO PA CAP (SUM, LINES 42-44)	8,634,773.60
46 PERCENT FUNDS OBLIGATED FOR PA ACTIVITIES (LINE 41/LINE 45)	11.24%



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LINE 17 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 17

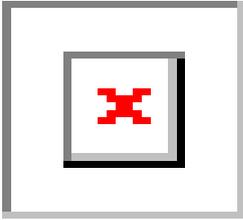
Report returned no data.

LINE 18 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 18

Plan Year	IDIS Project	IDIS Activity	Activity Name	Matrix Code	National Objective	Drawn Amount
2011	10	11711	301-301.5 Lexington Ave	14B	LMH	\$16,735.57
2015	16	12155	367-371 Parsells Ave	14B	LMH	\$22,742.00
2015	16	12261	90-92 Breck St	14B	LMH	\$18,959.00
2015	16	12306	206 N Union St	14B	LMH	\$20,000.00
2015	16	12378	651-653 Brown St	14B	LMH	\$7,701.50
2016	15	12349	155-157 Clifton St	14B	LMH	\$3,015.00
2016	15	12361	847-849 Thurston Rd	14B	LMH	\$185.00
2016	18	12298	713 Norton St	14B	LMH	\$3,850.00
2016	18	12386	229 Edinburgh St	14B	LMH	\$1,257.76
				14B	Matrix Code	<u>\$94,445.83</u>
Total						\$94,445.83

LINE 19 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 19

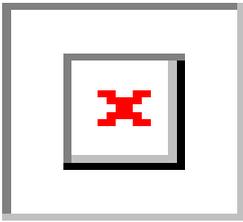
Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2010	5	12266	6029440	St Paul and Washington Square Banners	03	LMA	\$8,157.60
2010	5	12266	6049540	St Paul and Washington Square Banners	03	LMA	\$600.00
2010	5	12266	6067258	St Paul and Washington Square Banners	03	LMA	\$550.00
2012	4	12275	6025026	Main Street Project	03	LMA	\$7,473.00
2016	2	12128	6005875	SOUTH CLINTON MERCHANT ASSOCIATION	03	LMA	\$1,100.00
					03	Matrix Code	<u>\$17,880.60</u>
2006	30	11235	6006648	Neighborhood Aquatics-Roxie Sinkler	03F	LMA	\$9,357.95
2008	62	11705	5957552	BADEN PARK CONCESSION STAND	03F	LMA	\$13,942.72
2008	62	11705	5991460	BADEN PARK CONCESSION STAND	03F	LMA	\$18,874.49
2013	9	11462	5979673	Ryan Field Improvements	03F	LMA	\$31,394.04
2013	9	11462	6012040	Ryan Field Improvements	03F	LMA	\$2,013.14
2013	9	11462	6012081	Ryan Field Improvements	03F	LMA	\$34,434.36
					03F	Matrix Code	<u>\$110,016.70</u>
2013	9	12044	5971541	Charlotte Banners	03K	LMA	\$2,700.00
2016	11	12054	6032589	Kilmar Street Group Improvements	03K	LMA	\$45,907.00
2016	11	12054	6034117	Kilmar Street Group Improvements	03K	LMA	\$13,729.56
2016	11	12054	6044418	Kilmar Street Group Improvements	03K	LMA	\$131,564.65
2016	11	12054	6050967	Kilmar Street Group Improvements	03K	LMA	\$37,884.41
2016	11	12054	6056517	Kilmar Street Group Improvements	03K	LMA	\$330,945.90
2016	11	12054	6060435	Kilmar Street Group Improvements	03K	LMA	\$15,707.12
2016	11	12054	6062005	Kilmar Street Group Improvements	03K	LMA	\$113,763.15
					03K	Matrix Code	<u>\$692,201.79</u>
2015	18	12192	6051461	96 Danforth St	04	LMA	\$139.12
2015	18	12192	6058412	96 Danforth St	04	LMA	\$3,525.47
2016	4	12180	5988066	Demo Program Delivery	04	LMA	\$100,363.57
2016	4	12180	6007512	Demo Program Delivery	04	LMA	\$103,707.04
2016	4	12180	6026568	Demo Program Delivery	04	LMA	\$46,729.98
2016	4	12180	6033876	Demo Program Delivery	04	LMA	\$33,671.76
2016	4	12180	6036500	Demo Program Delivery	04	LMA	\$16,422.44
2016	4	12180	6068723	Demo Program Delivery	04	LMA	\$14,105.48
					04	Matrix Code	<u>\$318,664.86</u>
2012	2	11553	5974650	BASWA	05	LMA	\$5,000.00
2014	2	11456	5975604	HIGHLAND PLANNING LLC	05	LMA	\$3,332.00
2015	2	11798	6013161	ACTION FOR A BETTER COMMUNITY	05	LMA	\$6,667.00



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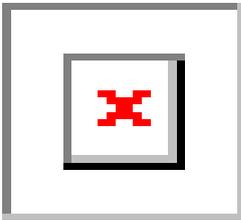
Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2015	2	11909	6008599	SECTOR 4 COMMUNITY DEVELOPMENT CORP	05	LMA	\$3,047.57
2016	2	12124	6059962	Culver Merchants Business Association	05	LMA	\$1,000.00
2016	2	12126	6031714	Monroe Avenue Merchants Association - PROM	05	LMA	\$1,000.00
2016	2	12126	6059547	Monroe Avenue Merchants Association - PROM	05	LMA	\$2,000.00
2016	2	12127	6013158	South Clinton Merchant Association	05	LMA	\$1,478.00
2016	2	12127	6046458	South Clinton Merchant Association	05	LMA	\$976.00
2016	2	12131	6038270	HIGHLAND PLANNING LLC - Southeast	05	LMA	\$7,500.00
2016	2	12131	6065981	HIGHLAND PLANNING LLC - Southeast	05	LMA	\$5,000.00
2016	2	12131	6069388	HIGHLAND PLANNING LLC - Southeast	05	LMA	\$7,500.00
2016	2	12132	6038269	HIGHLAND PLANNING LLC - Northwest	05	LMA	\$7,500.00
2016	2	12132	6069388	HIGHLAND PLANNING LLC - Northwest	05	LMA	\$5,000.00
2016	2	12139	6038296	Street Liaison - 19TH WARD COMMUNITY	05	LMA	\$4,280.28
2016	2	12333	6059962	Business Association of the South Wedge Area - PS	05	LMA	\$5,000.00
2016	5	12111	5990539	Foreclosure Prevention - THC	05	LMA	\$11,468.50
2016	5	12111	5999334	Foreclosure Prevention - THC	05	LMA	\$31,268.10
2016	5	12111	6012250	Foreclosure Prevention - THC	05	LMA	\$28,993.15
2016	5	12111	6026568	Foreclosure Prevention - THC	05	LMA	\$15,458.90
2016	5	12111	6029916	Foreclosure Prevention - THC	05	LMA	\$26,007.62
2016	5	12111	6036597	Foreclosure Prevention - THC	05	LMA	\$15,988.90
2016	5	12111	6066829	Foreclosure Prevention - THC	05	LMA	\$12,611.50
2016	10	12057	6046700	Parent Leadership Training Institute	05	LMC	\$9,900.00
2016	31	12068	5979197	Fire-smoke detectors	05	LMA	\$10,031.25
2016	31	12068	5995168	Fire-smoke detectors	05	LMA	\$5,062.50
2016	31	12068	6003430	Fire-smoke detectors	05	LMA	\$1,895.25
2016	31	12068	6019824	Fire-smoke detectors	05	LMA	\$4,180.00
2016	31	12068	6026403	Fire-smoke detectors	05	LMA	\$28,831.00
					05	Matrix Code	\$267,977.52
2016	16	12063	5976776	Lifespan - Aging in Place	05A	LMC	\$7,595.00
2016	16	12063	5993363	Lifespan - Aging in Place	05A	LMC	\$7,618.75
2016	16	12063	6010625	Lifespan - Aging in Place	05A	LMC	\$7,251.25
2016	16	12063	6020895	Lifespan - Aging in Place	05A	LMC	\$250.00
2016	16	12063	6036342	Lifespan - Aging in Place	05A	LMC	\$125.00
2016	32	12302	6028560	Volunteer Legal Services Project HELP	05A	LMA	\$16,966.43
2016	32	12302	6050803	Volunteer Legal Services Project HELP	05A	LMA	\$8,249.91
2016	32	12302	6069637	Volunteer Legal Services Project HELP	05A	LMA	\$2,243.21
					05A	Matrix Code	\$50,299.55
2016	8	12089	5988066	RASA	05D	LMC	\$7,851.61
2016	8	12089	6007512	RASA	05D	LMC	\$7,148.39
2016	8	12090	5988066	SOOP	05D	LMC	\$8,433.05
2016	8	12090	5988072	SOOP	05D	LMC	\$141,426.63
2016	8	12090	6007648	SOOP	05D	LMC	\$5,760.54
2016	8	12090	6018986	SOOP	05D	LMC	\$20,972.70
2016	8	12090	6033876	SOOP	05D	LMC	\$17,493.18
2016	8	12090	6069375	SOOP	05D	LMC	\$30,508.41
2016	8	12187	6011569	Mural Arts Project	05D	LMC	\$356.09
2016	8	12187	6012107	Mural Arts Project	05D	LMC	\$2,350.73
2016	8	12187	6014904	Mural Arts Project	05D	LMC	\$273.37
2016	8	12187	6018986	Mural Arts Project	05D	LMC	\$2,781.77
2016	8	12187	6023484	Mural Arts Project	05D	LMC	\$468.30
2016	8	12187	6028330	Mural Arts Project	05D	LMC	\$197.56
2016	8	12187	6032589	Mural Arts Project	05D	LMC	\$190.23
2016	8	12187	6033876	Mural Arts Project	05D	LMC	\$24,133.17
2016	8	12187	6035649	Mural Arts Project	05D	LMC	\$557.24
2016	8	12187	6036247	Mural Arts Project	05D	LMC	\$294.76
2016	8	12187	6036248	Mural Arts Project	05D	LMC	\$750.00
2016	8	12187	6038275	Mural Arts Project	05D	LMC	\$1,665.00



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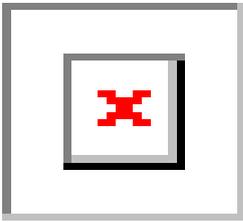
Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2016	8	12187	6041907	Mural Arts Project	05D	LMC	\$633.33
2016	8	12187	6044418	Mural Arts Project	05D	LMC	\$500.00
2016	8	12187	6048649	Mural Arts Project	05D	LMC	\$328.00
2016	8	12187	6069375	Mural Arts Project	05D	LMC	\$23,305.20
2016	12	12088	5988066	Dream Big 2	05D	LMC	\$12,138.99
2016	12	12088	6007512	Dream Big 2	05D	LMC	\$12,878.11
2016	12	12088	6033876	Dream Big 2	05D	LMC	\$9,483.35
2016	12	12088	6068723	Dream Big 2	05D	LMC	\$14,099.55
2016	13	12087	5988066	Youth Voice One Vision	05D	LMC	\$16,602.23
2016	13	12087	6033876	Youth Voice One Vision	05D	LMC	\$12,276.48
2016	13	12087	6068723	Youth Voice One Vision	05D	LMC	\$6,500.66
2016	33	12030	6018986	STEAM Engine	05D	LMC	\$8,750.46
2016	33	12030	6033876	STEAM Engine	05D	LMC	\$14,127.74
2016	33	12030	6050106	STEAM Engine	05D	LMC	\$1,991.02
2016	33	12030	6051388	STEAM Engine	05D	LMC	\$15,541.90
2016	33	12030	6058964	STEAM Engine	05D	LMC	\$11,600.69
2016	33	12030	6069375	STEAM Engine	05D	LMC	\$22,588.87
					05D	Matrix Code	\$456,959.31
2016	6	12145	5995722	Legal Aid Society - Tenant Education	05K	LMC	\$23,189.00
2016	6	12145	6008255	Legal Aid Society - Tenant Education	05K	LMC	\$7,252.91
2016	6	12145	6026568	Legal Aid Society - Tenant Education	05K	LMC	\$3,074.88
2016	6	12145	6058498	Legal Aid Society - Tenant Education	05K	LMC	\$27,939.16
					05K	Matrix Code	\$61,455.95
2016	5	12148	5999790	Empire Justice Center - Foreclosure Prev	05U	LMC	\$6,750.00
2016	5	12148	6003388	Empire Justice Center - Foreclosure Prev	05U	LMC	\$6,750.00
2016	5	12148	6036501	Empire Justice Center - Foreclosure Prev	05U	LMC	\$6,750.00
2016	5	12148	6065831	Empire Justice Center - Foreclosure Prev	05U	LMC	\$6,750.00
					05U	Matrix Code	\$27,000.00
2013	9	12010	5960665	Quad supportive services	05V	LMA	\$3,495.47
					05V	Matrix Code	\$3,495.47
2000	45	12120	6008396	75 Dorbeth Rd	14A	LMH	\$3,521.00
2000	88	12256	6036247	281 Wilkins St	14A	LMH	\$20,709.00
2002	40	12156	6017594	424 Bernard St	14A	LMH	\$22,573.00
2003	39	12278	6050819	69 Northview Ter	14A	LMH	\$6,869.09
2004	37	12184	6023032	44 Balfour Dr	14A	LMH	\$13,344.00
2004	37	12335	6043313	35 Teralta St	14A	LMH	\$3,339.57
2006	27	12166	6046185	361 Alphonse St	14A	LMH	\$10,000.00
2013	4	10941	6027729	127 Saranac Street	14A	LMH	\$3,245.00
2013	4	11404	5975173	1563 Jay st	14A	LMH	\$1,248.04
2014	4	11700	5993854	76 Dorbeth Rd	14A	LMH	\$6,000.00
2014	4	11701	5965345	49 Farbridge St	14A	LMH	\$2,503.82
2014	4	11721	5965313	75 Dayton St	14A	LMH	\$1,844.92
2014	4	11721	5988538	75 Dayton St	14A	LMH	\$7,780.00
2014	4	11722	6066363	82 Clifford Ave	14A	LMH	\$15,039.00
2014	4	11780	5960354	45 Farbridge St	14A	LMH	\$1,680.23
2014	4	11780	5969059	45 Farbridge St	14A	LMH	\$8,300.00
2014	4	12052	5975173	216 Flint Street	14A	LMH	\$1,248.04
2014	4	12058	5975204	437 Electric Avenue	14A	LMH	\$1,248.04
2014	4	12059	5975204	16 Kondolf Street	14A	LMH	\$2,068.26
2015	16	12118	6005894	51 Needham St	14A	LMH	\$900.00
2015	16	12119	6008394	51 Sheldon Ter	14A	LMH	\$17,285.00
2015	16	12121	6008156	24 Woodbine Ave	14A	LMH	\$1,150.00
2015	16	12135	6008393	35 Elwood Dr	14A	LMH	\$125.00
2015	16	12137	6011532	67 Penhurst St	14A	LMH	\$780.00
2015	16	12149	6036391	137 Rugby Ave	14A	LMH	\$13,675.00
2015	16	12150	6012121	203 Magee Ave	14A	LMH	\$10,543.00



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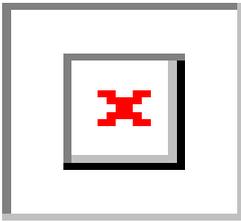
Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2015	16	12151	6023031	26 Helena St	14A	LMH	\$4,737.00
2015	16	12152	6008383	631 Wilkins St	14A	LMH	\$5,600.00
2015	16	12152	6060227	631 Wilkins St	14A	LMH	\$800.00
2015	16	12153	6017605	1972 E Main St	14A	LMH	\$3,235.00
2015	16	12154	6032589	55 Randolph St	14A	LMH	\$6,095.00
2015	16	12163	6005904	87 Flint St	14A	LMH	\$3,825.00
2015	16	12165	6017595	158 Furlong St	14A	LMH	\$10,450.00
2015	16	12172	6012122	46 Pomeroy St	14A	LMH	\$2,850.00
2015	16	12183	6050821	277 Lincoln Ave	14A	LMH	\$8,512.00
2015	16	12185	6017718	20 Herkimer St	14A	LMH	\$5,000.00
2015	16	12188	6011682	58 Baird St	14A	LMH	\$127.00
2015	16	12189	6011687	411 Parsells Ave	14A	LMH	\$189.00
2015	16	12194	6035649	278 Pennsylvania Ave	14A	LMH	\$11,400.00
2015	16	12194	6058413	278 Pennsylvania Ave	14A	LMH	\$1,300.00
2015	16	12195	6034469	21 Oriole St	14A	LMH	\$131.78
2015	16	12195	6036602	21 Oriole St	14A	LMH	\$10,365.00
2015	16	12196	6027842	196 Raeburn Ave	14A	LMH	\$9,850.00
2015	16	12196	6036602	196 Raeburn Ave	14A	LMH	\$2,075.00
2015	16	12198	6043307	38 Weaver St	14A	LMH	\$10,025.00
2015	16	12198	6050833	38 Weaver St	14A	LMH	\$263.56
2015	16	12199	6045709	395 Knickerbocker Ave	14A	LMH	\$3,650.00
2015	16	12211	6036535	125 Keller St	14A	LMH	\$4,900.00
2015	16	12249	6029375	4 Winbourne Rd	14A	LMH	\$6,595.00
2015	16	12255	6027838	1911 N Clinton Ave	14A	LMH	\$7,400.00
2015	16	12257	6046187	86 Champlain St	14A	LMH	\$5,326.00
2015	16	12258	6066364	182 Marlborough Rd	14A	LMH	\$6,385.00
2015	16	12260	6046735	96 Margaret St	14A	LMH	\$5,473.00
2015	16	12276	6046737	324 Frost Ave	14A	LMH	\$4,750.00
2015	16	12277	6058415	216 Flint St	14A	LMH	\$10,000.00
2015	16	12279	6036602	266 Moulson St	14A	LMH	\$14,180.00
2015	16	12383	6059743	45 Herkimer St	14A	LMH	\$800.00
2015	19	11689	6003575	305 Alphonse St	14A	LMH	\$144.00
2015	19	11894	5965313	31 Fairgate Street	14A	LMH	\$1,528.04
2015	19	11988	5988123	30 Miller St	14A	LMH	\$937.00
2015	19	11988	6004123	30 Miller St	14A	LMH	\$161.00
2015	19	12020	5965313	146 Devonshire	14A	LMH	\$1,248.04
2015	19	12021	5965313	70 Bellwood Place	14A	LMH	\$1,248.04
2015	19	12023	5965313	52 Ackerman St	14A	LMH	\$1,248.04
2015	19	12093	5988123	54 Merrill St	14A	LMH	\$3,042.00
2015	19	12094	5988123	171 Townsend St	14A	LMH	\$896.00
2015	19	12095	5988123	64 Raeburn Ave	14A	LMH	\$5,723.00
2015	19	12096	5988123	107 Frey St	14A	LMH	\$286.00
2015	19	12097	5988159	1846 St Paul St	14A	LMH	\$4,070.00
2015	19	12098	5988159	287 Curlew St	14A	LMH	\$3,245.00
2015	19	12099	5988159	294 Wisconsin St	14A	LMH	\$3,355.00
2015	19	12100	5988159	338 Carter St	14A	LMH	\$3,300.00
2015	19	12101	5988159	42 Lawndale Ter	14A	LMH	\$3,685.00
2015	19	12102	5988159	640 Genesee St	14A	LMH	\$3,905.00
2015	19	12103	5988159	737 Thurston Rd	14A	LMH	\$3,685.00
2015	19	12117	5992114	66 Bartlett St	14A	LMH	\$4,700.00
2015	19	12171	6005105	136 Malling Dr	14A	LMH	\$2,537.00
2015	19	12208	6016066	210 Fulton Ave	14A	LMH	\$258.00
2015	19	12209	6016071	69 Grassmere Park	14A	LMH	\$172.00
2015	19	12313	6032311	328 Denise Road	14A	LMH	\$2,537.00
2015	19	12314	6032311	66 Yates Street	14A	LMH	\$2,387.00
2016	4	12181	5988066	Rehab & Housing Development Prog Delivery	14A	LMH	\$227,465.36
2016	4	12181	6007512	Rehab & Housing Development Prog Delivery	14A	LMH	\$258,693.29



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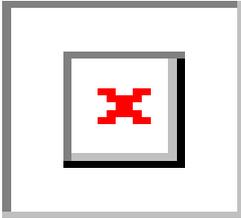
Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2016	4	12181	6026568	Rehab & Housing Development Prog Delivery	14A	LMH	\$150,411.24
2016	4	12181	6033876	Rehab & Housing Development Prog Delivery	14A	LMH	\$60,792.11
2016	4	12181	6036500	Rehab & Housing Development Prog Delivery	14A	LMH	\$36,427.88
2016	4	12181	6068723	Rehab & Housing Development Prog Delivery	14A	LMH	\$39,731.24
2016	14	12381	6059739	61 Leighton Ave	14A	LMH	\$800.00
2016	14	12382	6059741	94 Leighton Ave	14A	LMH	\$800.00
2016	14	12389	6062744	381 Lake View Pk	14A	LMH	\$800.00
2016	14	12390	6062745	21 Linnet St	14A	LMH	\$800.00
2016	14	12391	6062746	491 Driving Park Av	14A	LMH	\$800.00
2016	14	12392	6062747	26 Barnum St	14A	LMH	\$800.00
2016	14	12403	6063585	301 Lake View Pk	14A	LMH	\$800.00
2016	14	12414	6065134	406 Lake View Pk	14A	LMH	\$800.00
2016	14	12415	6065135	378 Selye Ter	14A	LMH	\$800.00
2016	15	12348	6061458	55 Laser St	14A	LMH	\$1,861.00
2016	18	12212	6016911	420 Flower City Pk	14A	LMH	\$1,248.04
2016	18	12213	6016912	308 Cedarwood Ter	14A	LMH	\$1,770.76
2016	18	12214	6016914	816 S Plymouth Ave	14A	LMH	\$1,770.76
2016	18	12214	6023496	816 S Plymouth Ave	14A	LMH	\$221.97
2016	18	12214	6032300	816 S Plymouth Ave	14A	LMH	\$3,147.00
2016	18	12215	6016917	336 Saxton St	14A	LMH	\$1,248.04
2016	18	12216	6016918	483 Birr St	14A	LMH	\$1,248.04
2016	18	12217	6016921	10 Ritz St	14A	LMH	\$2,517.76
2016	18	12250	6018615	57 Montrose St	14A	LMH	\$1,248.04
2016	18	12263	6020529	15 A Place	14A	LMH	\$1,248.04
2016	18	12264	6020530	48 Tacoma St	14A	LMH	\$1,248.04
2016	18	12270	6023487	684 Joseph Ave	14A	LMH	\$524.10
2016	18	12270	6058429	684 Joseph Ave	14A	LMH	\$161.00
2016	18	12271	6023489	193 Sawyer St	14A	LMH	\$208.16
2016	18	12272	6023494	101 Resolute St	14A	LMH	\$386.60
2016	18	12293	6025371	434 Augustine St	14A	LMH	\$1,248.04
2016	18	12296	6027732	636 Smith St	14A	LMH	\$2,970.00
2016	18	12297	6027733	94 Midland Ave	14A	LMH	\$3,520.00
2016	18	12299	6027735	56 Laser St	14A	LMH	\$3,135.00
2016	18	12301	6027901	132 Roslyn St	14A	LMH	\$1,248.04
2016	18	12323	6035654	78 BAUMAN ST	14A	LMH	\$5,500.00
2016	18	12324	6035660	22 WEIDER STREET	14A	LMH	\$1,248.04
2016	18	12324	6037931	22 WEIDER STREET	14A	LMH	\$463.00
2016	18	12325	6035729	139 BURLINGTON AVE	14A	LMH	\$1,418.04
2016	18	12326	6035729	370 CENTRAL PARK	14A	LMH	\$2,542.00
2016	18	12327	6035729	97 BRADBURN ST	14A	LMH	\$1,248.04
2016	18	12328	6035729	95 CROSSFIELD ROAD	14A	LMH	\$1,770.76
2016	18	12331	6036535	374 SELYE TERRACE	14A	LMH	\$1,248.04
2016	18	12336	6037933	62 Studley St	14A	LMH	\$955.46
2016	18	12337	6038236	93 Avery St	14A	LMH	\$845.00
2016	18	12358	6045742	93 Rosewood Ter	14A	LMH	\$2,842.00
2016	18	12359	6045743	31 St Clair St	14A	LMH	\$2,687.00
2016	18	12370	6050826	199 Sunset St	14A	LMH	\$1,248.04
2016	18	12374	6058430	543 Emerson St	14A	LMH	\$181.00
2016	18	12375	6058431	150 Avenue A	14A	LMH	\$161.00
2016	18	12376	6058432	285 Randolph St	14A	LMH	\$2,845.00
2016	18	12376	6059736	285 Randolph St	14A	LMH	\$1,248.04
2016	18	12380	6059737	139 Glenthorne Rd	14A	LMH	\$1,248.04
2016	18	12384	6060241	521 Arnett Blvd	14A	LMH	\$1,248.04
2016	18	12385	6060242	106 Weld St	14A	LMH	\$1,770.76
2016	18	12410	6065127	77 Lyncrest Dr	14A	LMH	\$1,248.04
2016	18	12411	6065129	297 Pennsylvania Ave	14A	LMH	\$1,770.76
2016	18	12412	6065131	776 Genesee St	14A	LMH	\$1,248.04



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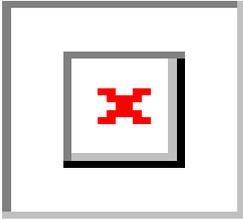
Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2016	18	12413	6065132	28 Rialto St	14A	LMH	\$1,248.04
2016	18	12437	6067265	2090 Clifford Ave	14A	LMH	\$1,674.14
					14A	Matrix Code	\$1,250,411.38
2013	4	11273	5960354	NEAD - ESRP FIS	14H	LMA	\$43,152.03
2013	4	11568	5995338	Targeted Housing Rehab Program	14H	LMA	\$3,912.00
2015	3	11758	5972745	ROOF GRANT PROGRAM	14H	LMA	\$340.00
2015	3	12046	5971541	ABC - OORP	14H	LMA	\$5,507.80
2015	3	12046	5975592	ABC - OORP	14H	LMA	\$8,875.89
2015	3	12046	6006408	ABC - OORP	14H	LMA	\$2,952.69
2015	3	12046	6011532	ABC - OORP	14H	LMA	\$5,640.88
2015	3	12046	6027504	ABC - OORP	14H	LMA	\$6,608.71
2015	3	12046	6039470	ABC - OORP	14H	LMA	\$2,861.09
2015	3	12046	6045704	ABC - OORP	14H	LMA	\$5,798.64
2015	15	12367	6049052	Pathstone - Lead Intake	14H	LMH	\$2,194.37
2015	15	12367	6064089	Pathstone - Lead Intake	14H	LMH	\$5,571.21
2015	16	12342	6042758	ABC - Lead Intake	14H	LMA	\$10,283.58
2015	16	12342	6058502	ABC - Lead Intake	14H	LMA	\$3,000.51
2015	19	12062	5977352	ABC - EARP	14H	LMA	\$7,020.56
2015	19	12062	5979591	ABC - EARP	14H	LMA	\$17,150.00
2015	19	12062	6005279	ABC - EARP	14H	LMA	\$2,127.81
2015	19	12062	6011547	ABC - EARP	14H	LMA	\$5,676.53
2015	19	12062	6046173	ABC - EARP	14H	LMA	\$1,774.50
2015	21	11608	5960665	RHDFC - OPERATING	14H	LMA	\$9,897.86
2015	21	11608	5965313	RHDFC - OPERATING	14H	LMA	\$1,895.00
2015	21	11608	5970184	RHDFC - OPERATING	14H	LMA	\$413.00
2016	20	12146	5995881	RHDFC - OPERATING	14H	LMA	\$20,437.98
2016	20	12146	6003388	RHDFC - OPERATING	14H	LMA	\$39,374.73
2016	20	12146	6015357	RHDFC - OPERATING	14H	LMA	\$23,541.31
2016	20	12146	6042919	RHDFC - OPERATING	14H	LMA	\$26,284.89
2016	20	12146	6045711	RHDFC - OPERATING	14H	LMA	\$15,679.89
2016	20	12146	6045715	RHDFC - OPERATING	14H	LMA	\$18,306.97
2016	20	12146	6050803	RHDFC - OPERATING	14H	LMA	\$14,681.17
					14H	Matrix Code	\$310,961.60
2003	39	11643	5970014	27 Dorbeth St	14I	LMH	\$4,150.00
2003	39	11645	5960354	54 Mazda Terr	14I	LMH	\$527.12
2004	34	11945	5995338	4 Lang St	14I	LMH	\$2,132.54
2004	34	11945	6015491	4 Lang St	14I	LMH	\$3,000.00
2015	16	12319	6034700	29 Hollywood St.	14I	LMH	\$6,400.00
					14I	Matrix Code	\$16,209.66
2010	1	10959	6025331	Midtown Tower LLC	18A	LMJP	\$50,000.00
2010	3	11897	6049732	GFRV, INC DBA SALVATORE'S PIZZA & SUBS	18A	LMA	\$5,894.85
2011	3	11793	5977860	RS CARANDDO INC. DBA ORBS RESTAURANT & BAR	18A	LMA	\$577.34
2011	3	11793	5979155	RS CARANDDO INC. DBA ORBS RESTAURANT & BAR	18A	LMA	\$775.64
2011	3	11793	5992834	RS CARANDDO INC. DBA ORBS RESTAURANT & BAR	18A	LMA	\$514.00
2011	3	11793	6001135	RS CARANDDO INC. DBA ORBS RESTAURANT & BAR	18A	LMA	\$581.69
2011	3	11996	5962859	La Vie R&R, Inc	18A	LMA	\$3,001.32
2011	3	11996	5977860	La Vie R&R, Inc	18A	LMA	\$388.24
2011	3	11996	5982235	La Vie R&R, Inc	18A	LMA	\$162.00
2011	3	11996	6006521	La Vie R&R, Inc	18A	LMA	\$342.76
2011	3	11996	6017781	La Vie R&R, Inc	18A	LMA	\$142.82
2011	3	11996	6036247	La Vie R&R, Inc	18A	LMA	\$328.43
2012	2	11597	5977860	DIMITRI THEODORAKAKOS DBA SPIRO'S RESTAURANT	18A	LMA	\$447.75
2012	2	11597	5982231	DIMITRI THEODORAKAKOS DBA SPIRO'S RESTAURANT	18A	LMA	\$807.25
2012	2	11943	5982235	BSL Direct Sales, Inc.	18A	LMA	\$1,350.00
2012	2	11943	5999203	BSL Direct Sales, Inc.	18A	LMA	\$2,000.00
2012	2	11943	6011513	BSL Direct Sales, Inc.	18A	LMA	\$3,650.00



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2012	2	11943	6049732	BSL Direct Sales, Inc.	18A	LMA	\$1,000.00
2012	2	11952	5984820	Vintage Rochester, Inc.	18A	LMA	\$1,714.50
2012	4	11571	5991460	AMERASIA ENTERPRISES INC	18A	LMA	\$40,000.00
2013	2	11497	5977880	PROGRESSIVE BARBER SHOP	18A	LMA	\$188.22
2013	2	11497	5999203	PROGRESSIVE BARBER SHOP	18A	LMA	\$329.94
2013	2	11613	5982504	REHOUSE, INC	18A	LMA	\$6,476.28
2013	2	11614	5995984	JULIE E. SCHNEPF DBA BERNUNZIO UPTOWN MUSIC, LLC	18A	LMJ	\$8,000.00
2013	2	11736	5999439	SPARK TAX SERVICES DBA LIBERTY TAX SERVICES	18A	LMA	\$2,080.89
2013	2	11795	5982231	BATHTUB MADE NEW, INC	18A	LMA	\$1,441.61
2013	2	11800	5968357	ALBERTO VELAZQUEZ DBA BOMBERO'S SUB & BAKE SHOP	18A	LMA	\$1,300.00
2013	2	11828	5983343	GECK PLUMBING & HEATING SUPPLY CO., INC.	18A	LMJP	\$2,729.05
2013	2	11828	6028330	GECK PLUMBING & HEATING SUPPLY CO., INC.	18A	LMJP	\$85.59
2013	2	11828	6029839	GECK PLUMBING & HEATING SUPPLY CO., INC.	18A	LMJP	\$2,293.01
2014	1	11392	5972772	Carter Street Bakery, Inc	18A	LMJP	\$30,000.00
2014	1	11517	6025330	EPP Team, Inc dba Empire Precision Plastics	18A	LMJP	\$100,000.00
2014	1	12000	5969551	Big Apple Deli Products (Stanton-Capizzi Partnership)	18A	LMJP	\$4,930.00
2014	1	12029	5983343	36 Field Street LLC	18A	LMJ	\$10,000.00
2014	2	11554	5960351	M&A FOOD ENTERPRICES, LLC DBA HARRY G'S NY DELI & CAFE	18A	LMA	\$4,497.04
2014	2	11559	5971177	THE SCOTCH HOUSE PUB, LLC	18A	LMA	\$5,762.97
2014	2	11562	5962859	ROCHESTER SPICES, LLC DBA STUART'S SPICES	18A	LMA	\$284.17
2014	2	11596	5971376	ROCHESTER PICTURE FRAMING, INC	18A	LMJ	\$966.58
2014	2	11619	5982231	ASHLEY SNEAD DBA KINGDOM HAIRITAGE	18A	LMA	\$177.84
2014	2	11687	5995984	LILAC COIN LAUNDRY, INC.	18A	LMA	\$5,000.00
2014	2	11716	5969551	KELARON, INC. DBA SALENA'S MEXICAN RESTAURANT	18A	LMA	\$5,000.00
2014	2	11716	6003408	KELARON, INC. DBA SALENA'S MEXICAN RESTAURANT	18A	LMA	\$2,619.92
2014	2	11845	6003520	SWEET SAMMIE JANES, LLC	18A	LMJ	\$975.00
2014	2	11856	5968449	RAYMOND RIVERA DBA RAY RAY'S BAR & GRILL	18A	LMA	\$306.36
2014	2	11857	5982235	533 JAM ENTERPRISES INC DBA AUTO SHOP	18A	LMA	\$399.00
2014	2	11857	6019821	533 JAM ENTERPRISES INC DBA AUTO SHOP	18A	LMA	\$600.00
2014	2	11960	6057742	Culver Road Pizza Parlor, Inc dba Salvatore's Pizzeria	18A	LMA	\$855.00
2014	2	11962	5968026	Arlene's Costumes	18A	LMJP	\$5,000.00
2015	1	12158	6005227	1255 University LLC	18A	LMJ	\$350,000.00
2015	1	12164	6030801	JDO the Chicken Man, LLC	18A	LMJP	\$65,000.00
2015	2	11337	5979193	CARMELIE DIAMOND DBA ROC CITY REALTY	18A	LMJ	\$5,462.55
2015	2	12055	5982231	Exercise Express L.L.C	18A	LMJP	\$266.67
2015	2	12065	5999439	SHOP PEPPERMINT, LLC	18A	LMA	\$649.50
2015	2	12065	6006227	SHOP PEPPERMINT, LLC	18A	LMA	\$126.30
2015	2	12065	6015491	SHOP PEPPERMINT, LLC	18A	LMA	\$387.50
2015	2	12065	6040929	SHOP PEPPERMINT, LLC	18A	LMA	\$434.70
2015	2	12065	6046692	SHOP PEPPERMINT, LLC	18A	LMA	\$873.73
2015	2	12080	6018223	THE ARBOR LOFT	18A	LMJ	\$2,158.53
2015	2	12106	6050106	Spin Sisters, LLC dba Compass Cycle Studio	18A	LMJP	\$869.23
2015	2	12106	6057742	Spin Sisters, LLC dba Compass Cycle Studio	18A	LMJP	\$523.80
2016	1	12315	6066216	125 EMS HOTEL HOLDINGS LLC	18A	LMJP	\$800,000.00
					18A	Matrix Code	\$1,542,729.57
2016	4	12083	5988066	Business Development Program Delivery	18B	LMA	\$288,804.01
2016	4	12083	6007512	Business Development Program Delivery	18B	LMA	\$64,206.16
2016	4	12083	6007989	Business Development Program Delivery	18B	LMA	\$231,060.89
2016	4	12083	6026568	Business Development Program Delivery	18B	LMA	\$167,134.21
2016	4	12083	6033876	Business Development Program Delivery	18B	LMA	\$83,097.42
2016	4	12083	6036500	Business Development Program Delivery	18B	LMA	\$37,564.39
2016	4	12083	6068723	Business Development Program Delivery	18B	LMA	\$39,711.53
					18B	Matrix Code	\$911,578.61
2013	2	11546	5952881	CHARLES J SWITZER DBA MACABEE REPUBLIC 79	18C	LMJP	\$270.00
2013	2	11546	5968357	CHARLES J SWITZER DBA MACABEE REPUBLIC 79	18C	LMJP	\$588.74
2014	2	11855	6029840	CANTINA EL-AMIN DBA TONZ OF FUN ENTERTAINMENT	18C	LMC	\$1,005.71



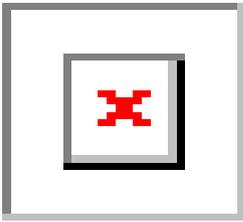
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2015	2	12081	6015491	Applify LLC dba Brandmint	18C	LMC	\$2,608.75
2015	2	12081	6043444	Applify LLC dba Brandmint	18C	LMC	\$501.00
2015	2	12081	6049732	Applify LLC dba Brandmint	18C	LMC	\$1,962.39
2015	2	12147	6014458	Sultansville, Inc.	18C	LMC	\$490.73
2015	2	12147	6017781	Sultansville, Inc.	18C	LMC	\$226.45
2015	2	12147	6028330	Sultansville, Inc.	18C	LMC	\$971.49
2015	2	12147	6058407	Sultansville, Inc.	18C	LMC	\$209.70
							\$8,834.96
							\$6,046,677.53

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Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2012	2	11553	5974650	BASWA	05	LMA	\$5,000.00
2014	2	11456	5975604	HIGHLAND PLANNING LLC	05	LMA	\$3,332.00
2015	2	11798	6013161	ACTION FOR A BETTER COMMUNITY	05	LMA	\$6,667.00
2015	2	11909	6008599	SECTOR 4 COMMUNITY DEVELOPMENT CORP	05	LMA	\$3,047.57
2016	2	12124	6059962	Culver Merchants Business Association	05	LMA	\$1,000.00
2016	2	12126	6031714	Monroe Avenue Merchants Association - PROM	05	LMA	\$1,000.00
2016	2	12126	6059547	Monroe Avenue Merchants Association - PROM	05	LMA	\$2,000.00
2016	2	12127	6013158	South Clinton Merchant Association	05	LMA	\$1,478.00
2016	2	12127	6046458	South Clinton Merchant Association	05	LMA	\$976.00
2016	2	12131	6038270	HIGHLAND PLANNING LLC - Southeast	05	LMA	\$7,500.00
2016	2	12131	6065981	HIGHLAND PLANNING LLC - Southeast	05	LMA	\$5,000.00
2016	2	12131	6069388	HIGHLAND PLANNING LLC - Southeast	05	LMA	\$7,500.00
2016	2	12132	6038269	HIGHLAND PLANNING LLC - Northwest	05	LMA	\$7,500.00
2016	2	12132	6069388	HIGHLAND PLANNING LLC - Northwest	05	LMA	\$5,000.00
2016	2	12139	6038296	Street Liaison - 19TH WARD COMMUNITY	05	LMA	\$4,280.28
2016	2	12333	6059962	Business Association of the South Wedge Area - PS	05	LMA	\$5,000.00
2016	5	12111	5990539	Foreclosure Prevention - THC	05	LMA	\$11,468.50
2016	5	12111	5999334	Foreclosure Prevention - THC	05	LMA	\$31,268.10
2016	5	12111	6012250	Foreclosure Prevention - THC	05	LMA	\$28,993.15
2016	5	12111	6026568	Foreclosure Prevention - THC	05	LMA	\$15,458.90
2016	5	12111	6029916	Foreclosure Prevention - THC	05	LMA	\$26,007.62
2016	5	12111	6036597	Foreclosure Prevention - THC	05	LMA	\$15,988.90
2016	5	12111	6066829	Foreclosure Prevention - THC	05	LMA	\$12,611.50
2016	10	12057	6046700	Parent Leadership Training Institute	05	LMC	\$9,900.00
2016	31	12068	5979197	Fire-smoke detectors	05	LMA	\$10,031.25
2016	31	12068	5995168	Fire-smoke detectors	05	LMA	\$5,062.50
2016	31	12068	6003430	Fire-smoke detectors	05	LMA	\$1,895.25
2016	31	12068	6019824	Fire-smoke detectors	05	LMA	\$4,180.00
2016	31	12068	6026403	Fire-smoke detectors	05	LMA	\$28,831.00
							\$267,977.52
2016	16	12063	5976776	Lifespan - Aging in Place	05A	LMC	\$7,595.00
2016	16	12063	5993363	Lifespan - Aging in Place	05A	LMC	\$7,618.75
2016	16	12063	6010625	Lifespan - Aging in Place	05A	LMC	\$7,251.25
2016	16	12063	6020895	Lifespan - Aging in Place	05A	LMC	\$250.00
2016	16	12063	6036342	Lifespan - Aging in Place	05A	LMC	\$125.00
2016	32	12302	6028560	Volunteer Legal Services Project HELP	05A	LMA	\$16,966.43
2016	32	12302	6050803	Volunteer Legal Services Project HELP	05A	LMA	\$8,249.91
2016	32	12302	6069637	Volunteer Legal Services Project HELP	05A	LMA	\$2,243.21
							\$50,299.55
2016	8	12089	5988066	RASA	05D	LMC	\$7,851.61
2016	8	12089	6007512	RASA	05D	LMC	\$7,148.39
2016	8	12090	5988066	SOOP	05D	LMC	\$8,433.05



Office of Community Planning and Development
 U.S. Department of Housing and Urban Development
 Integrated Disbursement and Information System
 PR26 - CDBG Financial Summary Report
 Program Year 2016
 ROCHESTER, NY

DATE: 09-25-17
 TIME: 17:10
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Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2016	8	12090	5988072	SOOP	05D	LMC	\$141,426.63
2016	8	12090	6007648	SOOP	05D	LMC	\$5,760.54
2016	8	12090	6018986	SOOP	05D	LMC	\$20,972.70
2016	8	12090	6033876	SOOP	05D	LMC	\$17,493.18
2016	8	12090	6069375	SOOP	05D	LMC	\$30,508.41
2016	8	12187	6011569	Mural Arts Project	05D	LMC	\$356.09
2016	8	12187	6012107	Mural Arts Project	05D	LMC	\$2,350.73
2016	8	12187	6014904	Mural Arts Project	05D	LMC	\$273.37
2016	8	12187	6018986	Mural Arts Project	05D	LMC	\$2,781.77
2016	8	12187	6023484	Mural Arts Project	05D	LMC	\$468.30
2016	8	12187	6028330	Mural Arts Project	05D	LMC	\$197.56
2016	8	12187	6032589	Mural Arts Project	05D	LMC	\$190.23
2016	8	12187	6033876	Mural Arts Project	05D	LMC	\$24,133.17
2016	8	12187	6035649	Mural Arts Project	05D	LMC	\$557.24
2016	8	12187	6036247	Mural Arts Project	05D	LMC	\$294.76
2016	8	12187	6036248	Mural Arts Project	05D	LMC	\$750.00
2016	8	12187	6038275	Mural Arts Project	05D	LMC	\$1,665.00
2016	8	12187	6041907	Mural Arts Project	05D	LMC	\$633.33
2016	8	12187	6044418	Mural Arts Project	05D	LMC	\$500.00
2016	8	12187	6048649	Mural Arts Project	05D	LMC	\$328.00
2016	8	12187	6069375	Mural Arts Project	05D	LMC	\$23,305.20
2016	12	12088	5988066	Dream Big 2	05D	LMC	\$12,138.99
2016	12	12088	6007512	Dream Big 2	05D	LMC	\$12,878.11
2016	12	12088	6033876	Dream Big 2	05D	LMC	\$9,483.35
2016	12	12088	6068723	Dream Big 2	05D	LMC	\$14,099.55
2016	13	12087	5988066	Youth Voice One Vision	05D	LMC	\$16,602.23
2016	13	12087	6033876	Youth Voice One Vision	05D	LMC	\$12,276.48
2016	13	12087	6068723	Youth Voice One Vision	05D	LMC	\$6,500.66
2016	33	12030	6018986	STEAM Engine	05D	LMC	\$8,750.46
2016	33	12030	6033876	STEAM Engine	05D	LMC	\$14,127.74
2016	33	12030	6050106	STEAM Engine	05D	LMC	\$1,991.02
2016	33	12030	6051388	STEAM Engine	05D	LMC	\$15,541.90
2016	33	12030	6058964	STEAM Engine	05D	LMC	\$11,600.69
2016	33	12030	6069375	STEAM Engine	05D	LMC	\$22,588.87
					05D	Matrix Code	\$456,959.31
2016	6	12145	5995722	Legal Aid Society - Tenant Education	05K	LMC	\$23,189.00
2016	6	12145	6008255	Legal Aid Society - Tenant Education	05K	LMC	\$7,252.91
2016	6	12145	6026568	Legal Aid Society - Tenant Education	05K	LMC	\$3,074.88
2016	6	12145	6058498	Legal Aid Society - Tenant Education	05K	LMC	\$27,939.16
					05K	Matrix Code	\$61,455.95
2016	5	12148	5999790	Empire Justice Center - Foreclosure Prev	05U	LMC	\$6,750.00
2016	5	12148	6003388	Empire Justice Center - Foreclosure Prev	05U	LMC	\$6,750.00
2016	5	12148	6036501	Empire Justice Center - Foreclosure Prev	05U	LMC	\$6,750.00
2016	5	12148	6065831	Empire Justice Center - Foreclosure Prev	05U	LMC	\$6,750.00
					05U	Matrix Code	\$27,000.00
2013	9	12010	5960665	Quad supportive services	05V	LMA	\$3,495.47
					05V	Matrix Code	\$3,495.47
Total							\$867,187.80

LINE 37 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 37

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2011	10	12009	5960665	CONEA Charrette - CDC of Rochester	20		\$2,024.00
2011	10	12009	5971519	CONEA Charrette - CDC of Rochester	20		\$1,022.00
2011	10	12009	5986984	CONEA Charrette - CDC of Rochester	20		\$6,954.00

Attachment

108 Report and Loan Information

**CITY OF ROCHESTER
HUD 108 LOANS
SEMI-ANNUAL REPORT
6/30/2017**

	<u>CLOSING DATE</u>	<u>LOAN AMOUNT</u>	<u>PRINCIPAL BALANCE</u>	<u>REPAYMENTS 10/01/16-3/31/17</u>	<u>STATUS</u>
<u>B-12-MC-36-0003</u> COLLEGE TOWN	2/27/2014	\$20,000,000.00	\$19,067,000.00	\$268,632.70	CURRENT

Repayments are broken down by the categories of housing rehabilitation and economic development:

Proceeds from the Sale/Rental of Land	\$	0
Housing Program	\$	219,144
Economic Development Programs	\$	628,850
Urban Renewal	\$	36,500
Section 108 Repayments	\$	0

Loans and other receivable activity are summarized as follows:

Principal balances for each float-funded activity outstanding as of the end of the reporting period and the date(s) by which the funds are expected to be received:

Sibley Building \$1,500,000 Date: 8/1998 (Delinquent)

Total number of loans outstanding and principal balance owed as of the end of the reporting period:

1 Section 108 Loans	\$	19,067,000
7 Housing Project Loans	\$	6,278,000
16 housing Rehabilitation Loans	\$	95,021
30 Economic Development Loans	\$	7,263,131

Total number and amount of loans made with CDBG funds that have gone into default and for which the balance was forgiven or written off during the reporting period: 4 loans, \$13,637

There were 0 lump sum agreements.

ESG Report

Combined Report

Q5. HMIS DQ & Participation

5a. HMIS or Comparable Database Data Quality

Q5a

Data Element	Client Doesn't Know or Client Refused	Data not collected
First name	0	0
Last name	0	0
SSN	542	0
Date of Birth	0	0
Race	0	0
Ethnicity	0	0
Gender	0	0
Veteran Status	0	0
Disabling condition	1	0
Living situation (Head of Household and Adults)	54	2
Relationship to Head of Household	0	0
Destination	286	118
Client location for project entry	0	0

Combined Report

Q6. Persons Served

6a. Report Validations

Table Q6a

a. Total number of persons served	2899
b. Number of adults (age 18 or over)	1702
c. Number of children (under age 18)	1197
d. Number of persons with unknown age	0
e. Total number of leavers	2395
f. Number of adult leavers	1447
g. Total number of stayers	504
h. Number of adult stayers	255
i. Number of veterans	142
j. Number of chronically homeless persons	113
k. Number of adult heads of household	1601
l. Number of child heads of household	170
m. Number of unaccompanied youth under age 25	363
n. Number of parenting youth under age 25 with children	131

6b. Number of Persons Served

Q6b

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. Adults	1702	1126	576	0	0
b. Children	1197	0	1026	171	0
c. Don't know / refused	0	0	0	0	0
d. Information missing	0	0	0	0	0
e. Total	2899	1126	1602	171	0

Combined Report

Q7a. Households Served

7a. Number of Households Served Q7a

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
Total Households	1771	1108	493	170	0

7b. Point-in-Time Count of Households on the Last Wednesday

Q7b

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
January	249	144	98	7	0
April	245	145	93	7	0
July	208	126	70	12	0
October	220	123	90	7	0

Q9. Contacts and Engagements

9a. Number of Persons Contacted Q9a

	Total	a. First contact was at a place not meant for human habitation	b. First contact was at a non-residential service setting	c. First contact was at a residential service setting	d. First contact place was missing
a1. Contacted once?	2	0	1	1	0
a2. Contacted 2-5 times?	3	1	0	2	0
a3. Contacted 6-9 times?	1	0	1	0	0
a4. Contacted 10 or more times?	1	0	1	0	0
az. Total persons contacted	7	1	3	3	0

Combined Report

9b. Number of Persons

Engaged Q9b

	Total	a. First contact was at a place not meant for human habitation	b. First contact was at a non-residential service setting	c. First contact was at a residential service setting	d. First contact place was missing
b1. Engaged after 1 contact?	1	0	1	0	0
b2. Engaged after 2-5 contacts?	0	0	0	0	0
b3. Engaged after 6-9 contacts?	0	0	0	0	0
b4. Engaged after 10 or more contacts?	0	0	0	0	0
bz. Total persons engaged	1	0	1	0	0
c. Rate of engagement (%)	14%	0%	33%	0%	N/A

Q10. Gender

10a. Gender of Adults Q10a

	Total	a. Without children	b. With children and adults	c. Unknown household type
a. Male	967	868	99	0
b. Female	734	257	477	0
c. Transgender male to female	0	0	0	0
d. Transgender female to male	1	1	0	0
e. Doesn't identify as male, female, or transgender	0	0	0	0
f. Don't know / refused	0	0	0	0
g. Information missing	0	0	0	0
h. Subtotal	1702	1126	576	0

Combined Report

10b. Gender of Children Q10b

	Total	a. With children and adults	b. With only children	c. Unknown household type
a. Male	577	514	63	0
b. Female	618	511	107	0
c. Transgender male to female	1	1	0	0
d. Transgender female to male	1	0	1	0
e. Doesn't identify as male, female, or transgender	0	0	0	0
f. Don't know / refused	0	0	0	0
g. Information missing	0	0	0	0
h. Subtotal	1197	1026	171	0

10c. Gender of Persons

Missing Age Information

Q10c

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. Male	0	0	0	0	0
b. Female	0	0	0	0	0
c. Transgender male to female	0	0	0	0	0
d. Transgender female to male	0	0	0	0	0
e. Doesn't identify as male, female, or transgender	0	0	0	0	0
f. Don't know / refused	0	0	0	0	0
g. Information missing	0	0	0	0	0
h. Subtotal	0	0	0	0	0

Combined Report

10d. Gender by Age

Ranges Q10d

	Total	a. Under age 18	b. Age 18-24	c. Age 25-61	d. Age 62 and over	e. Client Doesn't Know/Client Refused	f. Data not collected
a. Male	1544	577	155	778	34	0	0
b. Female	1352	618	210	516	8	0	0
c. Transgender male to female	1	1	0	0	0	0	0
d. Transgender female to male	2	1	0	1	0	0	0
e. Doesn't identify as male, female, or transgender	0	0	0	0	0	0	0
f. Don't know / refused	0	0	0	0	0	0	0
g. Information missing	0	0	0	0	0	0	0
h. Total	2899	1197	365	1295	42	0	0

Q11. Age

Q11

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. Under 5	416	0	415	1	0
b. 5 - 12	457	0	454	3	0
c. 13 - 17	324	0	157	167	0
d. 18 - 24	365	205	160	0	0
e. 25 - 34	532	286	246	0	0
f. 35 - 44	297	178	119	0	0
g. 45 - 54	306	264	42	0	0
h. 55 - 61	160	152	8	0	0
i. 62+	42	41	1	0	0
j. Don't know / refused	0	0	0	0	0
k. Information missing	0	0	0	0	0
l. Total	2899	1126	1602	171	0

Combined Report

Q12. Race & Ethnicity

12a. Race

Q12a

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. White	954	489	414	51	0
b. Black or African-American	1770	602	1061	107	0
c. Asian	8	3	3	2	0
d. American Indian or Alaska Native	19	8	11	0	0
e. Native Hawaiian or Other Pacific Islander	34	3	31	0	0
f. Multiple races	114	21	82	11	0
g. Don't know / refused	0	0	0	0	0
h. Information missing	0	0	0	0	0
i. Total	2899	1126	1602	171	0

12b. Ethnicity

Q12b

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. Non-Hispanic/non-Latino	2321	964	1230	127	0
b. Hispanic/Latino	578	162	372	44	0
c. Don't know / refused	0	0	0	0	0
d. Information missing	0	0	0	0	0
e. Total	2899	1126	1602	171	0

Combined Report

Q13. Physical and Mental Health Conditions

13a1. Physical and Mental Health

Conditions at Entry Q13a1

	Total persons	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. Mental illness	552	415	91	46	0
b. Alcohol abuse	66	60	6	0	0
c. Drug abuse	222	208	11	3	0
d. Both alcohol and drug abuse	244	239	3	2	0
e. Chronic health condition	152	93	58	1	0
f. HIV/AIDS and related diseases	23	23	0	0	0
g. Developmental disability	54	15	33	6	0
h. Physical disability	179	136	43	0	0

13b1. Physical and Mental Health

Conditions of Leavers Q13b1

	Total persons	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. Mental illness	468	357	68	43	0
b. Alcohol abuse	54	50	4	0	0
c. Drug abuse	201	190	8	3	0
d. Both alcohol and drug abuse	204	201	1	2	0
e. Chronic health condition	119	75	43	1	0
f. HIV/AIDS and related diseases	20	20	0	0	0
g. Developmental disability	38	14	19	5	0
h. Physical disability	148	114	34	0	0

13c1. Physical and Mental Health Conditions of Stayers Q13c1

	Total persons	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. Mental illness	84	58	23	3	0
b. Alcohol abuse	12	10	2	0	0
c. Drug abuse	21	18	3	0	0
d. Both alcohol and drug abuse	40	38	2	0	0
e. Chronic health condition	0	0	0	0	0
f. HIV/AIDS and related diseases	3	3	0	0	0
g. Developmental disability	16	1	14	1	0
h. Physical disability	31	22	9	0	0

Q14. Domestic Violence

14a. Persons with Domestic Violence History Q14a

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. Yes	262	137	114	11	0
b. No	1420	908	462	50	0
c. Don't know / refused	0	0	0	0	0
d. Information missing	190	81	0	109	0
e. Total	1872	1126	576	170	0

14b. Persons Fleeing Domestic Violence Q14b

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. Yes	112	46	60	6	0
b. No	146	89	54	3	0
c. Don't know / refused	0	0	0	0	0
d. Information missing	4	2	0	2	0
e. Total	262	137	114	11	0

Combined Report

Q15. Living Situation Q15

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. Homeless situations					
a1. Emergency shelter	490	245	239	6	0
a2. Transitional housing for homeless persons	15	13	1	1	0
a3. Place not meant for human habitation	119	106	10	3	0
a4. Safe haven	6	2	4	0	0
a5. Interim housing	0	0	0	0	0
az. Total	630	366	254	10	0
b. Institutional settings					
b1. Psychiatric facility	6	6	0	0	0
b2. Substance abuse or detox center	56	54	1	1	0
b3. Hospital (non-psychiatric)	24	21	2	1	0
b4. Jail, prison or juvenile detention	231	225	3	3	0
b5. Foster care home or foster care group home	3	0	0	3	0
b6. Long-term care facility or nursing home	1	1	0	0	0
b7. Residential project or halfway house with no homeless criteria	6	6	0	0	0
bz. Total	327	313	6	8	0

Combined Report

c. Other locations					
c01. PH for homeless persons	2	1	1	0	0
c02. Owned by client, no subsidy	3	3	0	0	0
c03. Owned by client, with subsidy	1	1	0	0	0
c04. Rental by client, no subsidy	116	52	63	1	0
c05. Rental by client, with VASH subsidy	1	0	1	0	0
c06. Rental by client, with GPD TIP subsidy	0	0	0	0	0
c07. Rental by client, with other subsidy	32	25	7	0	0
c08. Hotel or motel paid by client	77	24	52	1	0
c09. Staying or living with friend(s)	412	172	118	122	0
c10. Staying or living with family	210	115	69	26	0
c11. Don't know / refused	54	50	2	2	0
c12. Information missing	2	2	0	0	0
cz. Total	910	445	313	152	0
d. Total	1872	1126	576	170	0

Q20. Non-Cash Benefits

20a. Type of Non-Cash

Benefit Sources Q20a

	At entry	At Latest Annual Assessment for Stayers	At Exit for Leavers
a. Supplemental Nutritional Assistance Program	931	1	921
b. WIC	40	0	41
c. TANF Child Care services	7	0	9
d. TANF transportation services	6	0	7
e. Other TANF-funded services	4	0	4
f. Other source	56	1	65

Q21. Health Insurance Q21

	At entry	At Latest Annual Assessment for Stayers	At Exit for Leavers
a. MEDICAID health insurance	1840	6	1589
b. MEDICARE health insurance	58	0	58
c. State Children's Health Insurance	9	0	10
d. VA Medical Services	78	0	66
e. Employer-provided health insurance	9	0	9
f. Health insurance through COBRA	3	0	3
g. Private pay health insurance	28	0	26
h. State Health Insurance for Adults	22	0	23
i. Indian Health Services Program	11	0	13
j. Other	18	0	19
k. No health insurance	4	0	3
l. Client doesn't know/Client refused	0	0	0
m. Data not collected	77	16	74
n. Number of adult stayers not yet required to have an annual assessment	0	482	0
o. 1 source of health insurance	1875	6	1605
p. More than 1 source of health insurance	79	0	79

Q22. Length of Participation

Q22a2. Length of Participation—ESG projects

Q22a2

	Total	Leavers	Stayers
a. 0 to 7 days	508	471	37
b. 8 to 14 days	375	344	31
c. 15 to 21 days	347	320	27
d. 22 to 30 days	360	329	31
e. 31 to 60 days	618	558	60
f. 61 to 90 days	141	121	20
g. 91 to 180 days	200	101	99
h. 181 to 365 days	251	74	177
i. 366 to 730 days (1-2 yrs.)	97	75	22
j. 731 to 1095 days (2-3 yrs.)	1	1	0
k. 1096 to 1460 days (3-4 yrs.)	1	1	0
l. 1461 to 1825 days (4-5 yrs.)	0	0	0
m. More than 1825 days (>5 yrs.)	0	0	0
n. Information missing	0	0	0
o. Total	2899	2395	504

Q22c. RRH Length of Time between Project Entry Date and Residential Move-in Date

Q22c

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. 0-7 days	30	5	25	0	0
b. 8-14 days	70	7	63	0	0
c. 15-21 days	91	4	87	0	0
d. 22 to 30 days	94	8	86	0	0
e. 31 to 60 days	96	10	86	0	0
f. 61 to 180 days	21	2	19	0	0
g. 181 to 365 days	0	0	0	0	0
h. 366 to 730 days (1-2 yrs.)	0	0	0	0	0
i. Data Not Collected	43	3	40	0	0
j. Total	445	39	406	0	0

Q22d. Length of Participation by Household type

Q22d

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. 0 to 7 days	508	233	206	69	0
b. 8 to 14 days	375	133	209	33	0
c. 15 to 21 days	347	154	180	13	0
d. 22 to 30 days	360	144	196	20	0
e. 31 to 60 days	618	202	388	28	0
f. 61 to 90 days	141	84	49	8	0
g. 91 to 180 days	200	99	101	0	0
h. 181 to 365 days	251	60	191	0	0
i. 366 to 730 days (1-2 yrs.)	97	15	82	0	0
j. 731 to 1095 days (2-3 yrs.)	1	1	0	0	0
k. 1096 to 1460 days (3-4 yrs.)	1	1	0	0	0
l. 1461 to 1825 days (4-5 yrs.)	0	0	0	0	0
m. More than 1825 days (>5 yrs.)	0	0	0	0	0
n. Information missing	0	0	0	0	0
o. Total	2899	1126	1602	171	0

Combined Report

Q23. Exit Destination –
More than 90 Days Q23

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. Permanent destinations					
a01. Moved from one HOPWA funded project to HOPWA PH	0	0	0	0	0
a02. Owned by client, no ongoing subsidy	0	0	0	0	0
a03. Owned by client, with ongoing subsidy	0	0	0	0	0
a04. Rental by client, no ongoing subsidy	75	6	69	0	0
a05. Rental by client, VASH subsidy	0	0	0	0	0
a06. Rental by client, with GPD TIP housing subsidy	0	0	0	0	0
a07. Rental by client, other ongoing subsidy	9	0	9	0	0
a08. Permanent housing for homeless persons	2	2	0	0	0
a09. Staying or living with family, permanent tenure	6	0	6	0	0
a10. Staying or living with friends, permanent tenure	0	0	0	0	0
az. Total	92	8	84	0	0

Combined Report

b. Temporary destinations					
b1. Emergency shelter	1	1	0	0	0
b2. Moved from one HOPWA funded project to HOPWA TH	0	0	0	0	0
b3. Transitional housing for homeless persons	0	0	0	0	0
b4. Staying with family, temporary tenure	12	0	12	0	0
b5. Staying with friends, temporary tenure	0	0	0	0	0
b6. Place not meant for human habitation	0	0	0	0	0
b7. Safe Haven	0	0	0	0	0
b8. Hotel or motel paid by client	0	0	0	0	0
bz. Total	13	1	12	0	0
c. Institutional settings					
c1. Foster care home or group foster care home	0	0	0	0	0
c2. Psychiatric hospital or other psychiatric facility	0	0	0	0	0
c3. Substance abuse treatment facility or detox center	0	0	0	0	0
c4. Hospital or other residential non-psychiatric medical facility	0	0	0	0	0
c5. Jail, prison or juvenile detention facility	0	0	0	0	0
c6. Long term care facility or nursing home	0	0	0	0	0
cz. Total	0	0	0	0	0

Combined Report

d. Other destinations					
d1. Residential project or halfway house with no homeless criteria	0	0	0	0	0
d2. Deceased	1	0	1	0	0
d3. Other	0	0	0	0	0
d4. Don't know / refused	0	0	0	0	0
d5. Information missing	32	2	30	0	0
dz. Total	33	2	31	0	0
e. Total	138	11	127	0	0

Combined Report

Q23a. Exit
Destination—All
persons

Q23a

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. Permanent destinations					
a01. Moved from one HOPWA funded project to HOPWA PH	1	1	0	0	0
a02. Owned by client, no ongoing subsidy	20	2	18	0	0
a03. Owned by client, with ongoing subsidy	2	2	0	0	0
a04. Rental by client, no ongoing subsidy	623	129	493	1	0
a05. Rental by client, VASH subsidy	1	1	0	0	0
a06. Rental by client, with GPD TIP housing subsidy	0	0	0	0	0
a07. Rental by client, other ongoing subsidy	212	119	91	2	0
a08. Permanent housing for homeless persons	53	26	27	0	0
a09. Staying or living with family, permanent tenure	164	52	35	77	0
a10. Staying or living with friends, permanent tenure	41	23	9	9	0
az. Total	1117	355	673	89	0

Combined Report

b. Temporary destinations					
b1. Emergency shelter	151	75	71	5	0
b2. Moved from one HOPWA funded project to HOPWA TH	0	0	0	0	0
b3. Transitional housing for homeless persons	74	57	8	9	0
b4. Staying with family, temporary tenure	168	37	111	20	0
b5. Staying with friends, temporary tenure	46	18	22	6	0
b6. Place not meant for human habitation	7	3	3	1	0
b7. Safe Haven	22	16	6	0	0
b8. Hotel or motel paid by client	11	1	10	0	0
bz. Total	479	207	231	41	0
c. Institutional settings					
c1. Foster care home or group foster care home	7	0	3	4	0
c2. Psychiatric hospital or other psychiatric facility	7	6	0	1	0
c3. Substance abuse treatment facility or detox center	19	17	2	0	0
c4. Hospital or other residential non-psychiatric medical facility	29	19	10	0	0
c5. Jail, prison or juvenile detention facility	20	17	0	3	0
c6. Long term care facility or nursing home	2	2	0	0	0
cz. Total	84	61	15	8	0

Combined Report

d. Other destinations					
d1. Residential project or halfway house with no homeless criteria	4	4	0	0	0
d2. Deceased	1	1	0	0	0
d3. Other	89	31	56	2	0
d4. Don't know / refused	302	258	25	19	0
d5. Information missing	165	63	95	7	0
dz. Total	561	357	176	28	0
e. Total	2241	980	1095	166	0

Q23b. Homeless Prevention Housing Assessment at Exit Q23b

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. Able to maintain the housing they had at project entry--Without a subsidy	0	0	0	0	0
b. Able to maintain the housing they had at project entry--With the subsidy they had at project entry	0	0	0	0	0
c. Able to maintain the housing they had at project entry--With an on-going subsidy acquired since project entry	0	0	0	0	0
d. Able to maintain the housing they had at project entry--Only with financial assistance other than a subsidy	0	0	0	0	0
e. Moved to new housing unit--With on-going subsidy	0	0	0	0	0
f. Moved to new housing unit--Without an on-going subsidy	0	0	0	0	0
g. Moved in with family/friends on a temporary basis	0	0	0	0	0
h. Moved in with family/friends on a permanent basis	0	0	0	0	0
i. Moved to a transitional or temporary housing facility or program	0	0	0	0	0
j. Client became homeless-moving to a shelter or other place unfit for human habitation	0	0	0	0	0
k. Client went to jail/prison	0	0	0	0	0
l. Client died	0	0	0	0	0
m. Client doesn't know/Client refused	0	0	0	0	0
n. Data not collected (no exit interview completed)	0	0	0	0	0
o. Total	0	0	0	0	0

Combined Report

Q24. Exit Destination –
90 Days or Less Q24

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. Permanent destinations					
a01. Moved from one HOPWA funded project to HOPWA PH	0	0	0	0	0
a02. Owned by client, no ongoing subsidy	0	0	0	0	0
a03. Owned by client, with ongoing subsidy	0	0	0	0	0
a04. Rental by client, no ongoing subsidy	9	0	9	0	0
a05. Rental by client, VASH subsidy	0	0	0	0	0
a06. Rental by client, with GPD TIP housing subsidy	0	0	0	0	0
a07. Rental by client, other ongoing subsidy	1	1	0	0	0
a08. Permanent housing for homeless persons	0	0	0	0	0
a09. Staying or living with family, permanent tenure	0	0	0	0	0
a10. Staying or living with friends, permanent tenure	0	0	0	0	0
az. Total	10	1	9	0	0

Combined Report

b. Temporary destinations					
b1. Emergency shelter	0	0	0	0	0
b2. Moved from one HOPWA funded project to HOPWA TH	0	0	0	0	0
b3. Transitional housing for homeless persons	0	0	0	0	0
b4. Staying with family, temporary tenure	0	0	0	0	0
b5. Staying with friends, temporary tenure	0	0	0	0	0
b6. Place not meant for human habitation	0	0	0	0	0
b7. Safe Haven	0	0	0	0	0
b8. Hotel or motel paid by client	0	0	0	0	0
bz. Total	0	0	0	0	0
c. Institutional settings					
c1. Foster care home or group foster care home	0	0	0	0	0
c2. Psychiatric hospital or other psychiatric facility	0	0	0	0	0
c3. Substance abuse treatment facility or detox center	0	0	0	0	0
c4. Hospital or other residential non-psychiatric medical facility	0	0	0	0	0
c5. Jail, prison or juvenile detention facility	0	0	0	0	0
c6. Long term care facility or nursing home	0	0	0	0	0
cz. Total	0	0	0	0	0

Combined Report

d. Other destinations					
d1. Residential project or halfway house with no homeless criteria	0	0	0	0	0
d2. Deceased	1	0	1	0	0
d3. Other	0	0	0	0	0
d4. Don't know / refused	0	0	0	0	0
d5. Information missing	5	0	5	0	0
dz. Total	6	0	6	0	0
e. Total	16	1	15	0	0

25a. Number of Veterans Q25a

	Total	a. Without children	b. With children and adults	c. Unknown household type
a. Chronically homeless veteran	8	8	0	0
b. Non-chronically homeless veteran	134	129	5	0
c. Not a veteran	1560	989	571	0
d. Client Doesn't Know/Client Refused	0	0	0	0
e. Data Not Collected	0	0	0	0
f. Total	1702	1126	576	0

Q26b. Number of Chronically Homeless Persons by Household Q26b

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. Chronically homeless	113	83	22	8	0
b. Not chronically homeless	2605	890	1554	161	0
c. Client Doesn't Know/Client Refused	67	56	11	0	0
d. Data Not Collected	114	97	15	2	0
e. Total	2899	1126	1602	171	0



Housing Opportunities for Persons with AIDS (HOPWA) Program

Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outcomes

Revised 1/22/15

OMB Number 2506-0133 (Expiration Date: 12/31/2017)

The CAPER report for HOPWA formula grantees provides annual information on program accomplishments that supports program evaluation and the ability to measure program beneficiary outcomes as related to: maintain housing stability; prevent homelessness; and improve access to care and support. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning regulations. The public reporting burden for the collection of information is estimated to average 42 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 60 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.

Overview. The Consolidated Annual Performance and Evaluation Report (CAPER) provides annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives.

HOPWA formula grantees are required to submit a CAPER, and complete annual performance information for all activities undertaken during each program year in the IDIS, demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER and IDIS data to obtain essential information on grant activities, project sponsors, Subrecipient organizations, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

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Continued Use Periods. Grantees that received HOPWA funding for new construction, acquisition, or substantial rehabilitations are required to operate their facilities for HOPWA-eligible beneficiaries for a ten (10) years period. If no further HOPWA funds are used to support the facility, in place of completing Section 7B of the CAPER, the grantee must submit an Annual Certification of Continued Project Operation throughout the required use periods. This certification is included in Part 6 in CAPER. The required use period is three (3) years if the rehabilitation is non-substantial.

In connection with the development of the Department's standards for Homeless Management Information Systems (HMIS), universal data elements are being collected for clients of HOPWA-funded homeless assistance projects. These project sponsor/subrecipient records would include: Name, Social Security Number, Date of Birth, Ethnicity and Race, Gender, Veteran Status, Disabling Conditions, Residence Prior to Program Entry, Zip Code of Last Permanent Address, Housing Status, Program Entry Date, Program Exit Date, Personal Identification Number, and Household Identification Number. These are intended to match the elements under HMIS. The HOPWA program-level data elements include: Income and Sources, Non-Cash Benefits, HIV/AIDS Status, Services Provided, and

Housing Status or Destination at the end of the operating year. Other suggested but optional elements are: Physical Disability, Developmental Disability, Chronic Health Condition, Mental Health, Substance Abuse, Domestic Violence, Date of Contact, Date of Engagement, Financial Assistance, Housing Relocation & Stabilization Services, Employment, Education, General Health Status, Pregnancy Status, Reasons for Leaving, Veteran's Information, and Children's Education. Other HOPWA projects sponsors may also benefit from collecting these data elements.

Final Assembly of Report. After the entire report is assembled, please number each page sequentially.

Filing Requirements. Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee's State or Local HUD Field Office, and to the HOPWA Program Office: at HOPWA@hud.gov. Electronic submission to HOPWA Program office is preferred; however, if electronic submission is not possible, hard copies can be mailed to: Office of HIV/AIDS Housing, Room 7212, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C.

Record Keeping. Names and other individual information must be kept confidential, as required by 24 CFR 574.440. However, HUD reserves the right to review the information used to complete this report for grants management oversight purposes, except for recording any names and other identifying information. **In the case that HUD must review client level data, no client names or identifying information will be retained or recorded. Information is reported in aggregate to HUD without personal identification. Do not submit client or personal information in data systems to HUD.**

Definitions

Adjustment for Duplication: Enables the calculation of unduplicated output totals by accounting for the total number of households or units that received more than one type of HOPWA assistance in a given service category such as HOPWA Subsidy Assistance or Supportive Services. For example, if a client household received both TBRA and STRMU during the operating year, report that household in the category of HOPWA Housing Subsidy Assistance in Part 3, Chart 1, Column [1b] in the following manner:

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households
1.	Tenant-Based Rental Assistance	68
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units	
2b.	Transitional/Short-term Facilities: Received Operating Subsidies	
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year	
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year	
4.	Short-term Rent, Mortgage, and Utility Assistance	48
5.	Adjustment for duplication (subtract)	0
6.	TOTAL Housing Subsidy Assistance (Sum of Rows 1-4 minus	113

	Row 5)	
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Administrative Costs: Costs for general management, oversight, coordination, evaluation, and reporting. By statute, grantee administrative costs are limited to 3% of total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive.

Beneficiary(ies): All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefitted from the assistance.

Central Contractor Registration (CCR): The primary registrant database for the U.S. Federal Government. CCR collects, validates, stores, and disseminates data in support of agency acquisition missions, including Federal agency contract and assistance awards. Both current and potential federal government registrants (**grantees**) are required to register in CCR in order to be awarded contracts by the federal government. Registrants must update or renew their registration at least once per year to maintain an active status. Although recipients of direct federal contracts and grant awards have been required to be registered with CCR since 2003, this requirement is now being extended to indirect recipients of federal funds with the passage of ARRA (American Recovery and Reinvestment Act). Per ARRA and FFATA (Federal Funding Accountability and Transparency Act) federal regulations, all **grantees** and sub-grantees or subcontractors receiving federal grant awards or contracts must have a DUNS (Data Universal Numbering System) Number.

Chronically Homeless Person: An individual or family who : (i) is homeless and lives or resides individual or family who: (i) Is homeless and lives or resides in a place not meant for human habitation, a safe haven, or in an emergency shelter; (ii) has been homeless and living or residing in a place not meant for human habitation, a safe haven, or in an emergency shelter continuously for at least 1 year or on at least 4 separate occasions in the last 3 years; and (iii) has an adult head of household (or a minor head of household if no adult is present in the household) with a diagnosable substance use disorder, serious mental illness, developmental disability (as defined in section 102 of the Developmental Disabilities Assistance and Bill of Rights Act of 2000 (42 U.S.C. 15002)), post traumatic stress disorder, cognitive impairments resulting from a brain injury, or chronic physical illness or disability, including the co-occurrence of 2 or more of those conditions. Additionally, the statutory definition includes as chronically homeless a person who currently lives or resides in an institutional care facility, including a jail, substance abuse or mental health treatment facility, hospital or other similar facility, and has resided there for fewer than 90 days if such person met the other criteria for homeless prior to entering that facility. (See 42 U.S.C. 11360(2)) This does not include doubled-up or overcrowding situations.

Disabling Condition: Evidencing a diagnosable substance use disorder, serious mental illness, developmental disability, chronic physical illness, or disability, including the co-occurrence of two or more of these conditions. In addition, a disabling condition may limit an individual's ability to work or perform one or more activities of daily living. An HIV/AIDS diagnosis is considered a disabling condition.

Facility-Based Housing Assistance: All eligible HOPWA Housing expenditures for or associated with supporting facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.

Faith-Based Organization: Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

Grassroots Organization: An organization headquartered in the local community where it provides services; has a social services budget of \$300,000 or less annually, and six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered

“grassroots.”

HOPWA Eligible Individual: The one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered “Head of Household.” When the CAPER asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH/A(s), would be considered a beneficiary(s).

HOPWA Housing Information Services: Services dedicated to helping persons living with HIV/AIDS and their families to identify, locate, and acquire housing. This may also include fair housing counseling for eligible persons who may encounter discrimination based on race, color, religion, sex, age, national origin, familial status, or handicap/disability.

HOPWA Housing Subsidy Assistance Total: The unduplicated number of households receiving housing subsidies (TBRA, STRMU, Permanent Housing Placement services and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the operating year.

Household: A single individual or a family composed of two or more persons for which household incomes are used to determine eligibility and for calculation of the resident rent payment. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Live-In Aides (see definition for Live-In Aide) and non-beneficiaries (e.g. a shared housing arrangement with a roommate) who resided in the unit are not reported on in the CAPER.

Housing Stability: The degree to which the HOPWA project assisted beneficiaries to remain in stable housing during the operating year. See *Part 5: Determining Housing Stability Outcomes* for definitions of stable and unstable housing situations.

In-kind Leveraged Resources: These involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the rate established in HUD notices, such as the rate of ten dollars per hour. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

Leveraged Funds: The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance are used directly in or in support of HOPWA program delivery.

Live-In Aide: A person who resides with the HOPWA Eligible Individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive services. See the *Code of Federal Regulations Title 24, Part 5.403 and the HOPWA Grantee Oversight Resource Guide for additional reference.*

Master Leasing: Applies to a nonprofit or public agency that leases units of housing (scattered-sites or entire buildings) from a landlord, and subleases the units to homeless or low-income tenants. By assuming the tenancy burden, the agency facilitates housing of clients who may not be able to maintain a lease on their own due to poor credit, evictions, or lack of sufficient income.

Operating Costs: Applies to facility-based housing only, for facilities that are currently open. Operating costs can include day-to-day housing

function and operation costs like utilities, maintenance, equipment, insurance, security, furnishings, supplies and salary for staff costs directly related to the housing project but not staff costs for delivering services.

Outcome: The degree to which the HOPWA assisted household has been enabled to establish or maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

Output: The number of units of housing or households that receive HOPWA assistance during the operating year.

Permanent Housing Placement: A supportive housing service that helps establish the household in the housing unit, including but not limited to reasonable costs for security deposits not to exceed two months of rent costs.

Program Income: Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration requirements on program income for state and local governments at 24 CFR 85.25, or for non-profits at 24 CFR 84.24.

Project-Based Rental Assistance (PBRA): A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor or Subrecipient. Assistance is tied directly to the properties and is not portable or transferable.

Project Sponsor Organizations: Any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee to provide eligible housing and other support services or administrative services as defined in 24 CFR 574.300. Project Sponsor organizations are required to provide performance data on households served and funds expended. Funding flows to a project sponsor as follows:

HUD Funding → Grantee → Project Sponsor

Short-Term Rent, Mortgage, and Utility (STRMU) Assistance: A time-limited, housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52 week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

Stewardship Units: Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction and rehabilitation that no longer receive operating subsidies from HOPWA. Report information for the units is subject to the three-year use agreement if rehabilitation is non-substantial and to the ten-year use agreement if rehabilitation is substantial.

Subrecipient Organization: Any organization that receives funds from a project sponsor to provide eligible housing and other support services and/or administrative services as defined in 24 CFR 574.300. If a subrecipient organization provides housing and/or other supportive services directly to clients, the subrecipient organization must provide performance data on household served and funds expended. Funding flows to subrecipients as follows:

HUD Funding → Grantee → Project Sponsor → Subrecipient

Tenant-Based Rental Assistance (TBRA): TBRA is a rental subsidy program similar to the Housing Choice Voucher program that grantees can provide to help low-income households access affordable housing. The TBRA voucher is not tied to a specific unit, so tenants may move to a different unit without losing their assistance, subject to individual program rules. The subsidy amount is determined in part based on household income and rental costs associated with the tenant's lease.

Transgender: Transgender is defined as a person who identifies with, or presents as, a gender that is different from his/her gender at birth.

Veteran: A veteran is someone who has served on active duty in the Armed Forces of the United States. This does not include inactive military reserves or the National Guard unless the person was called up to active duty.

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name Catholic Charities Community Services, Inc.		Parent Company Name, if applicable Roman Catholic Diocese of Rochester		
Name and Title of Contact at Project Sponsor Agency	M. Phyllis McElligott – Supportive Services Director			
Email Address	pmcelligott@dor.org			
Business Address	Water Tower Park, 1099 Jay Street, Building J			
City, County, State, Zip,	Rochester, Monroe, NY 14611			
Phone Number (with area code)	585	339	9800	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	35-231041		Fax Number (with area code) 585-339-9377	
DUN & Bradstreet Number (DUNs):	603722661			
Congressional District of Project Sponsor's Business Address	25			
Congressional District(s) of Primary Service Area(s)	25			
City(ies) and County(ies) of Primary Service Area(s)	cities: Rochester, Greece, Webster, Irondequoit, Henrietta		Counties: Monroe	
Total HOPWA contract amount for this Organization for the operating year	\$267,579			
Organization's Website Address	www.ccsrochester.org			
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input checked="" type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.		

Project Sponsor Agency Name Trillium Health		Parent Company Name, if applicable		
Name and Title of Contact at Project Sponsor Agency		Javier Elias, Housing Specialist II		
Email Address		Jelias@TrilliumHealth.org		
Business Address		259 Monroe Avenue		
City, County, State, Zip,		Rochester, Monroe, NY 14607		
Phone Number (with area code)		585	210	4187
Employer Identification Number (EIN) or Tax Identification Number (TIN)		16-1356734		Fax Number (with area code) 585-244-1197
DUN & Bradstreet Number (DUNs):		032487069		
Congressional District of Project Sponsor's Business Address		29		
Congressional District(s) of Primary Service Area(s)		24, 25, 26, 28, 29		
City(ies) and County(ies) of Primary Service Area(s)		cities: Rochester, Geneva		Counties: Monroe, Wayne, Ontario
Total HOPWA contract amount for this Organization for the operating year		\$401,369		
Organization's Website Address www.ccsrochester.org				
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.		

3. Administrative Subrecipient Information

Use Chart 3 to provide the following information for each subrecipient with a contract/agreement of \$25,000 or greater that assists project sponsors to carry out their administrative services but no services directly to client households. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. (Organizations listed may have contracts with project sponsors) These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Subrecipient Name	N/A			Parent Company Name, if applicable
Name and Title of Contact at Subrecipient				
Email Address				
Business Address				
City, State, Zip, County				
Phone Number (with area code)			Fax Number (include area code)	
Employer Identification Number (EIN) or Tax Identification Number (TIN)				
DUN & Bradstreet Number (DUNs):				
North American Industry Classification System (NAICS) Code				
Congressional District of Subrecipient's Business Address				
Congressional District of Primary Service Area				
City (ies) <u>and</u> County (ies) of Primary Service Area(s)	Cities:		Counties:	
Total HOPWA Subcontract Amount of this Organization for the operating year				

4. Program Subrecipient Information

Complete the following information for each subrecipient organization providing HOPWA-funded services to client households. These organizations would hold a contract/agreement with a project sponsor(s) to provide these services. For example, a subrecipient organization may receive funds from a project sponsor to provide nutritional services for clients residing within a HOPWA facility-based housing program. Please note that subrecipients who work directly with client households must provide performance data for the grantee to include in Parts 2-7 of the CAPER.

Note: Please see the definition of a subrecipient for more information.

Note: Types of contracts/agreements may include: grants, sub-grants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders.

Note: If any information is not applicable to the organization, please report N/A in the appropriate box. Do not leave boxes blank.

Sub-recipient Name	N/A			Parent Company Name, if applicable
Name <u>and</u> Title of Contact at Contractor/ Sub-contractor Agency				
Email Address				
Business Address				
City, County, State, Zip				
Phone Number (included area code)			Fax Number (include area code)	
Employer Identification Number (EIN) or Tax Identification Number (TIN)				
DUN & Bradstreet Number (DUNs)				
North American Industry Classification System (NAICS) Code				
Congressional District of the Sub-recipient's Business Address				
Congressional District(s) of Primary Service Area				
City(ies) <u>and</u> County(ies) of Primary Service Area	Cities:		Counties:	
Total HOPWA Subcontract Amount of this Organization for the operating year				

5. Grantee Narrative and Performance Assessment

a. Grantee and Community Overview

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. *Note: Text fields are expandable.*

Catholic Charities Community Services, Inc. (CCCS) main office location is at: Water Tower Office Park, 1099 Jay Street, Building J, Rochester, NY 14611. CCCS's regional office is at: One Keuka Business Park, Penn Yan, NY 14527. CCCS provides multiple services to enhance and strengthen the independence, inclusion, and individuality of persons with disabilities and those who are living with significant health challenges in the following counties: Monroe, Wayne, Cayuga, Seneca, Ontario, Livingston, Yates, Schuyler, Tompkins, Steuben, Chemung, and Tioga. CCCS Executive Director is Ms. Lori Van Auken. Program contact for CCCS HOPWA grant is Supportive Services Director Ms. M. Phyllis McElligott. Specific HOPWA housing activities at CCCS include: Tenant Based Rental Assistance (TBRA), Short Term Rent, Mortgage, and Utility Assistance (STRMU) and Permanent Housing Placement (PH). Outcomes in the 2016-2017 contract year are: Of the total HOPWA grant allotted for 2016-2017 (\$297,228.98), 65% of the grant (\$193,212) was used to pay TBRA rents. TBRA was used to maintain participants in stable housing that otherwise would be at a high risk of homelessness. CCCS projected to serve 34 households with TBRA in 2016-17 and actually served 33. The main reason for the difference is the average amount of rent subsidy paid each month for participants is \$519.00 and CCCS TBRA is paying above \$519.00 a month for 17 of 33 participants in the program. In looking at other resources for long term rent subsidy in the community Trillium Health's waiting list is closed, Rochester Section 8 waiting list is closed. Many of the rural housing authorities such as Geneva and Elmira have open waiting lists. Persons Living With HIV/AIDS (PLWHA) who have continued suppressed viral loads can live as long as any person who does not have HIV+. This is due to the advancement in treatment for people with HIV+ and continual testing. People are getting their rent subsidies and they are keeping them for as long as they are eligible. It is imperative that staff are talking to clients about going to work; even part time. The goal is to get many of our participants off public/government monthly funds such as SSI and Temporary Assistance. The local Rochester community has new housing projects for low income individuals being built in the city and people with HIV+ are taking advantage of these subsidized apartments. Pathstone comes to mind as an agency involved in housing project development who wants to collaborate with agencies just like CCCS. Waiting lists persist due to people with HIV+ living longer. The average age of the participants in CCCS HOPWA TBRA Program is 58 years old.

Waiting list for long term rent subsidies at CCCS: 23 individuals. This number is down from 2016 due to the new New York State AIDS Institute HomeSOURCE Program at CCCS which is offering at least 40 new long term rent subsidy slots to HIV+ persons waiting who are high Medicaid and emergency room utilizers. The HomeSOURCE Program offers Housing and retention services, monthly follow up with clients, employment and career services, etc. It has already addressed the needs of many participants who may now be taken off the waiting list.

Trillium served a total of 74 households with HOPWA assistance, with 35 served through LTBRA. They continue to maintain a waitlist that is updated every January. At present there are 20 individuals on the wait list. Trillium maintains a wait list for applicants who apply for STRMU or security deposits and/or first month's rent. The majority of assistance was administered in Monroe County, specifically within the Rochester city limits. Trillium continues to conduct outreach in Wayne, Ontario, and Livingston counties in order to identify HIV + individuals in need.

b. Annual Performance under the Action Plan

Provide a narrative addressing each of the following four items:

1. Outputs Reported. Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

During the 2016-2017 contract period:

- Projected number of participants served with TBRA in 2016-2017-34. Actual served-33.
- Twelve cases were closed during 2016-2017. Two new cases were opened in 2016-2017.
- Of the ten closures; one participant received Section 8, two participants passed away, seven participants cases were transferred to HomeSOURCE MRT where they will be better served, one participant was over the income limit, and one participant was closed due to program non-compliance.

- 92% of the closures were for positive reasons. Longevity has been a key for the TBRA Program. Participants are remaining healthier longer and their life spans have increased tremendously.
- During 2016-2017 CCCS projected to serve 40 households with STRMU funds and actually served 11. CCCS projected to serve 18 households with PH Placement funds and actually served 13.

Approximately 103 participants utilized day bus passes funded by this grant.

2. Outcomes Assessed. Assess your program’s success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

CCCS 2016-2017 HOPWA grant annually has projected outcome measures that are targets for program services met.

Projections are based on current expenditures. The projected number of households to be served and the actual numbers are below.

- | | |
|-----------------------------|------------|
| • TBRA-Projected-34 | Actual-33 |
| • STRMU-Projected-40 | *Actual-11 |
| • PH Placement-Projected-18 | Actual-13 |
| • Bus Passes-Projected-50 | Actual-103 |

TBRA funds encompass 65% of CCCS’s total HOPWA grant and TBRA rent subsidies remain a program priority. Due to TBRA: Participants housing is more appropriate, more stable, their income is more stable, medical appointment adherence is stable. HOPWA staff are always aware of other programs and resources participants can be referred to such as Medical Transportation, Health Homes Care Management, Employment Services, etc.

*Justification for STRMU outcomes being only 11; A budget modification was completed within the contract to move funds from the STRMU line to the TBRA line to cover the rent subsidies for the entire contract.

3. Coordination. Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

CCCS’s HOPWA program staff continue collaborations with agencies that serve PLWHA’s and or specialize in housing. Staff attends local Continuum of Care meetings, Homeless Services Network meetings, Housing Council, Monroe County TANF, Rochester Area Task Force on AIDS, and Strong Infectious Disease Clinic case conferences. CCCS and its community HOPWA partner Trillium Health collaborate frequently on participants to avoid duplication of services. Internally there are several programs that HOPWA collaborates with to link participants into care such as Care Management, Employment, Supportive Case Management, Medical Transportation, HomeSOURCE, and Behavioral Health to name a few. Leveraged funds are listed on page 14.

4. Technical Assistance. Describe any program technical assistance needs and how they would benefit program beneficiaries.

CCCS can access Tech Support through our state regional office in Buffalo NY and from our grantee: The City of Rochester.

c. Barriers and Trends Overview

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program’s ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA program, how they affected your program’s ability to achieve the objectives and outcomes discussed, and, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

HOPWA/HUD Regulations-The Trump administration has created an initial budget that would cut multiple grants that communities count on to serve the homeless such as the CDBG block grants. The entire proposed cut is close to 3 billion dollars. The hope on the state level is that the Congress will not allow such a slash in funding for participants who are sick and homeless and need the services desperately. The recent webinar by HUD staff about HOPWA

Modernization will be a change for many communities. In the next 5 years; city's will not receive HOPWA funds based on numbers of PLWHA who reside in their catchment area but instead they will receive funds based on CDC data on HIV/AIDS, Fair market rents in the specific city and poverty levels in the specific city.

Supportive Services-The HOPWA Program itself tends to be a supportive service to CCCS expanding Care Coordination Department. Care Managers can assist HOPWA staff in triaging any problem areas and let staff know. Programs work together to pool resources and help participants maintain their housing and their health outcomes. The barrier is funding; there just isn't enough funding in TBRA to assist more of CCCS Care Management PLWHA caseload.

Multiple Diagnosis'-As staff work with participants on a daily basis they discover that a diagnosis of HIV+ for their participant is the least vital priority. Being diagnosed HIV+ links the participant to care but often the participant's HIV+ status is stable and their viral load is suppressed. Multiple issues come to the forefront before HIV+ such as chronic mental illness, chronic homelessness, drug and alcohol use, diabetes, renal failure, developmental disabilities, asthma, etc. Many of these chronic illnesses can affect the participant's health and housing.

Credit history, Housing availability, Rent history, and Criminal history-Participants find that adequate housing becomes unavailable if they have struggled with the above four barriers. Housing retention is a key to HOPWA TBRA success. There are barriers to getting in to an adequate apartment and there are barriers to retaining an apartment such as evictions, apartment foreclosure, change of ownership, apartment being unfit to live in, and not having consistent income to name a few.

Funds-There never seems to be enough funds to maintain TBRA participants from year to year. It is crucial that other and all housing subsidy options be discussed with the participants in the event of level funding or cut in funding. HOPWA is a federal grant program that came about due to the serious nature of AIDS back in the early 1980's. PWA's were often very sick and many times homeless. PLWHA's today are healthier and living much longer than in the 1980's and their housing is more stable.

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

Recent statistics from the Centers for Disease Control:

- 1.1 million people in the US are living with HIV and 1 in 7 don't know it.
- 1.2 Gay and Bisexual men, particularly young African American gay and bisexual men, are most affected.
- 1.3 In 2015, 39,513 people were newly diagnosed with HIV infection in the United States.

- Viral load suppression. Keeping persons living with HIV/AIDS (PLWHA) viral load suppressed so they have less chance of transmitting HIV to another person.
- Testing and Treatment. The beginning of the epidemic saw a large focus on responding to PLWHA because their life expectancy was very short. Currently, testing and treatment are the keys to keeping PLWHA's virally suppressed.
- Testing for HIV as a routine process for annual physicals.
- Promote interagency collaboration to address sexual health awareness, education, treatment, and care options for STD's. STDs are a gateway for a person to get HIV infection.
- Increase statewide access to Pre Exposure Prophylaxis (PrEP) and Post Exposure Prophylaxis (PEP) for individuals engaged in high risk behaviors and keep them HIV negative.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public. Studies and statistics are available at hud.gov., cdc.gov., and nysdoh.gov. Any new HIV infection is reported to the Department of Health so tracking can be done. This differs state by state.

d. Unmet Housing Needs: An Assessment of Unmet Housing Needs

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require HOPWA housing subsidy assistance but are not currently served by any HOPWA-funded housing subsidy assistance in this service area.

In Row 1, report the total unmet need of the geographical service area, as reported in *Unmet Needs for Persons with HIV/AIDS*, Chart 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool.

Note: Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.

If data is collected on the type of housing that is needed in Rows a. through c., enter the number of HOPWA-eligible households by type of housing subsidy assistance needed. For an approximate breakdown of overall unmet need by type of housing subsidy assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds. Do not include clients who are

already receiving HOPWA-funded housing subsidy assistance.

Refer to Chart 2, and check all sources consulted to calculate unmet need. Reference any data from neighboring states' or municipalities' Consolidated Plan or other planning efforts that informed the assessment of Unmet Need in your service area.

Note: In order to ensure that the unmet need assessment for the region is comprehensive, HOPWA formula grantees should

<input checked="" type="checkbox"/> HOPWA/HUD Regulations	<input type="checkbox"/> Planning	<input checked="" type="checkbox"/> Housing Availability	<input type="checkbox"/> Rent Determination and Fair Market Rents
<input type="checkbox"/> Discrimination/Confidentiality	<input checked="" type="checkbox"/> Multiple Diagnoses	<input type="checkbox"/> Eligibility	<input type="checkbox"/> Technical Assistance or Training
<input checked="" type="checkbox"/> Supportive Services	<input checked="" type="checkbox"/> Credit History	<input checked="" type="checkbox"/> Rental History	<input checked="" type="checkbox"/> Criminal Justice History
<input checked="" type="checkbox"/> Housing Affordability	<input type="checkbox"/> Geography/Rural Access	<input checked="" type="checkbox"/> Other, please explain further	Funds

include those unmet needs assessed by HOPWA competitive grantees operating within the service area.

1. Planning Estimate of Area's Unmet Needs for HOPWA-Eligible Households

1. Total number of households that have unmet housing subsidy assistance need.	399
2. From the total reported in Row 1, identify the number of households with unmet housing needs by type of housing subsidy assistance:	89
a. Tenant-Based Rental Assistance (TBRA)	310
b. Short-Term Rent, Mortgage and Utility payments (STRMU)	188
• Assistance with rental costs	33
• Assistance with mortgage payments	89
• Assistance with utility costs.	276
c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities	

2. Recommended Data Sources for Assessing Unmet Need (check all sources used)

X	= Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives
X	= Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care = Data from client information provided in Homeless Management Information Systems (HMIS)
X	= Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need including those completed by HOPWA competitive grantees operating in the region. = Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
X	= Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
X	= Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

End of PART 1

PART 2: Sources of Leveraging and Program Income

1. Sources of Leveraging

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

Note: Be sure to report on the number of households supported with these leveraged funds in Part 3, Chart 1, Column d.

A. Source of Leveraging Chart

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
Public Funding			
Ryan White-Housing Assistance			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White-Other	\$100,000	Transportation/case mgt/treatment adherence	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Housing Choice Voucher Program			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Low Income Housing Tax Credit			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
HOME			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Shelter Plus Care			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Emergency Solutions Grant			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: NYS AIDS Institute HomeSOURCE Program	\$750,000	Housing Subsidies	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: Health Homes of NY Care Coordination	\$200,000	Care Mgt.	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Private Funding			
Grants			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
In-kind Resources			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private:	\$146,000	Agency-raised funds	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Private:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Funding			
Grantee/Project Sponsor/Subrecipient (Agency) Cash			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Resident Rent Payments by Client to Private Landlord			

TOTAL (Sum of all Rows)	\$1,196,000		
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2. Program Income and Resident Rent Payments

In Section 2, Chart A., report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

Note: Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).

A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year

Program Income and Resident Rent Payments Collected		Total Amount of Program Income (for this operating year)
1.	Program income (e.g. repayments)	\$1,500
2.	Resident Rent Payments made directly to HOPWA Program	0
3.	Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)	\$1,500

B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, PHP, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

Program Income and Resident Rent Payment Expended on HOPWA programs		Total Amount of Program Income Expended (for this operating year)
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	\$1,500
2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	0
3.	Total Program Income Expended (Sum of Rows 1 and 2)	\$1,500

End of PART 2

PART 3: Accomplishment Data Planned Goal and Actual Outputs

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

Note: The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.

1. HOPWA Performance Planned Goal and Actual Outputs

HOPWA Performance Planned Goal and Actual		[1] Output: Households				[2] Output: Funding	
		HOPWA Assistance		Leveraged Households		HOPWA Funds	
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
HOPWA Housing Subsidy Assistance		[1] Output: Households				[2] Output: Funding	
1.	Tenant-Based Rental Assistance	55	68			\$515,166.86	\$363,809.12
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units (Households Served)						
2b.	Transitional/Short-term Facilities: Received Operating Subsidies/Leased units (Households Served) (Households Served)						
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year (Households Served)						
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year (Households Served)						
4.	Short-Term Rent, Mortgage and Utility Assistance	65	26			\$49,413.56	\$93,736.11
5.	Permanent Housing Placement Services	50	37			\$60,604.41	\$42,620.71
6.	Adjustments for duplication (subtract)						
7.	Total HOPWA Housing Subsidy Assistance (Columns a. – d. equal the sum of Rows 1-5 minus Row 6; Columns e. and f. equal the sum of Rows 1-5)	170	131			\$625,184.83	\$500,165.94
Housing Development (Construction and Stewardship of facility based housing)		[1] Output: Housing Units				[2] Output: Funding	
8.	Facility-based units; Capital Development Projects not yet opened (Housing Units)						
9.	Stewardship Units subject to 3 or 10 year use agreements						
10.	Total Housing Developed (Sum of Rows 8 & 9)					0	0
Supportive Services		[1] Output Households				[2] Output: Funding	
11a.	Supportive Services provided by project sponsors/subrecipient that also delivered HOPWA housing subsidy assistance						
11b.	Supportive Services provided by project sponsors/subrecipient that only provided supportive services.						
12.	Adjustment for duplication (subtract)						
13.	Total Supportive Services (Columns a. – d. equal the sum of Rows 11 a. & b. minus Row 12; Columns e. and f. equal the sum of Rows 11a. & 11b.)					0	0
Housing Information Services		[1] Output Households				[2] Output: Funding	
14.	Housing Information Services						
15.	Total Housing Information Services					0	0

Grant Administration and Other Activities		[1] Output Households				[2] Output: Funding	
16.	Resource Identification to establish, coordinate and develop housing assistance resources						
17.	Technical Assistance (if approved in grant agreement)						
18.	Grantee Administration (maximum 3% of total HOPWA grant)					\$20,689.00	\$20,689.00
19.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)					\$43,763.17	\$37,896.41
20.	Total Grant Administration and Other Activities (Sum of Rows 16 – 19)					\$64,452.17	\$58,585.41
Total Expended						[2] Outputs: HOPWA Funds Expended	
						Budget	Actual
21.	Total Expenditures for program year (Sum of Rows 7, 10, 13, 15, and 20)					\$689,637	\$558,751.35

**** Data is forthcoming**

2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

Data check: Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 13.

Supportive Services		[1] Output: Number of <u>Households</u>	[2] Output: Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance		
2.	Alcohol and drug abuse services		
3.	Case management		
4.	Child care and other child services		
5.	Education		
6.	Employment assistance and training		
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310		
8.	Legal services		
9.	Life skills management (outside of case management)		
10.	Meals/nutritional services		
11.	Mental health services		
12.	Outreach		
13.	Transportation	99	1,000
14.	Other Activity (if approved in grant agreement). Specify:		
15.	Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)		
16.	Adjustment for Duplication (subtract)	19	
17.	TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)	80	

3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary

In Row a., enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility (STRMU) Assistance. In Row b., enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c., enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d., enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e., enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f., enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. In row g., report the amount of STRMU funds expended to support direct program costs such as program operation staff.

Data Check: The total households reported as served with STRMU in Row a., column [1] and the total amount of HOPWA funds reported as expended in Row a., column [2] equals the household and expenditure total reported for STRMU in Part 3, Chart 1, Row 4, Columns b. and f., respectively.

Data Check: The total number of households reported in Column [1], Rows b., c., d., e., and f. equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b., c., d., e., f., and g. equal the total amount of STRMU expenditures reported in Column [2], Row a.

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of <u>Households</u> Served	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
a.	Total Short-term mortgage, rent and/or utility (STRMU) assistance	26	\$93,736.11
b.	Of the total STRMU reported on Row a, total who received assistance with mortgage costs ONLY.	1	\$987.00
c.	Of the total STRMU reported on Row a, total who received assistance with mortgage and utility costs.	1	\$1,312.65
d.	Of the total STRMU reported on Row a, total who received assistance with rental costs ONLY.	19	\$14,218.16
e.	Of the total STRMU reported on Row a, total who received assistance with rental and utility costs.	1	\$4,823.01
f.	Of the total STRMU reported on Row a, total who received assistance with utility costs ONLY.	4	\$1,503.22
g.	Direct program delivery costs (e.g., program operations staff time)		\$70,892.07

** - Information is forthcoming

End of PART 3

Part 4: Summary of Performance Outcomes

In Column [1], report the total number of eligible households that received HOPWA housing subsidy assistance, by type.

In Column [2], enter the number of households that continued to access each type of housing subsidy assistance into next operating year. In Column [3], report the housing status of all households that exited the program.

Data Check: The sum of Columns [2] (Number of Households Continuing) and [3] (Exited Households) equals the total reported in Column[1].

Note: Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.

Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)

A. Permanent Housing Subsidy Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Tenant-Based Rental Assistance	68	54	1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
			2 Temporary Housing		<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing	3	<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA		
			5 Other Subsidy	7	
			6 Institution		
			7 Jail/Prison	1	<i>Unstable Arrangements</i>
			8 Disconnected/Unknown	1	
			9 Death	2	<i>Life Event</i>
Permanent Supportive Housing Facilities/ Units	N/A	N/A	1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
			2 Temporary Housing		<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing		<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		
			7 Jail/Prison		<i>Unstable Arrangements</i>
			8 Disconnected/Unknown		
			9 Death		<i>Life Event</i>

B. Transitional Housing Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Transitional/ Short-Term Housing Facilities/ Units	N/A	N/A	1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
			2 Temporary Housing		<i>Temporarily Stable with Reduced Risk of Homelessness</i>
			3 Private Housing		<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		
			7 Jail/Prison		<i>Unstable Arrangements</i>
			8 Disconnected/unknown		
			9 Death		<i>Life Event</i>

B1: Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months	
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Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Subsidy Assistance)

Report the total number of households that received STRMU assistance in Column [1].

In Column [2], identify the outcomes of the households reported in Column [1] either at the time that they were known to have left the STRMU program or through the project sponsor or subrecipient’s best assessment for stability at the end of the operating year.

Information in Column [3] provides a description of housing outcomes; therefore, data is not required.

At the bottom of the chart:

- In Row 1a., report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b., report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

Data Check: The total households reported as served with STRMU in Column [1] equals the total reported in Part 3, Chart 1, Row 4, Column b.

Data Check: The sum of Column [2] should equal the number of households reported in Column [1].

Assessment of Households that Received STRMU Assistance

[1] Output: Total number of households	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
26	Maintain Private Housing <u>without</u> subsidy <i>(e.g. Assistance provided/completed and client is stable, not likely to seek additional support)</i>	23	<i>Stable/Permanent Housing (PH)</i>
	Other Private Housing without subsidy <i>(e.g. client switched housing units and is now stable, not likely to seek additional support)</i>		
	Other HOPWA Housing Subsidy Assistance	1	
	Other Housing Subsidy (PH)	2	
	Institution <i>(e.g. residential and long-term care)</i>		
	Likely that additional STRMU is needed to maintain current housing arrangements		<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
	Transitional Facilities/Short-term <i>(e.g. temporary or transitional arrangement)</i>		
	Temporary/Non-Permanent Housing arrangement <i>(e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)</i>		
	Emergency Shelter/street		<i>Unstable Arrangements</i>
	Jail/Prison		
	Disconnected		
	Death		<i>Life Event</i>
1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year (e.g. households that received STRMU assistance in two consecutive operating years).			5
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years (e.g. households that received STRMU assistance in three consecutive operating years).			0

Section 3. HOPWA Outcomes on Access to Care and Support

1a. Total Number of Households

Line [1]: For project sponsors/subrecipients that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, PHP and Master Leasing) and HOPWA funded case management services. Use Row c. to adjust for duplication among the service categories and Row d. to provide an unduplicated household total.

Line [2]: For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received HOPWA funded case management services.

Note: These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b. below.

Total Number of Households	
1. For Project Sponsors/Subrecipients that provided HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following <u>HOPWA-funded</u> services:	
a. Housing Subsidy Assistance (duplicated)-TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing	131
b. Case Management	
c. Adjustment for duplication (subtraction)	
d. Total Households Served by Project Sponsors/Subrecipients with Housing Subsidy Assistance (Sum of Rows a.b. minus Row c.)	131
2. For Project Sponsors/Subrecipients did NOT provide HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following <u>HOPWA-funded</u> service:	
a. HOPWA Case Management	
b. Total Households Served by Project Sponsors/Subrecipients without Housing Subsidy Assistance	

1b. Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report the number of households that demonstrated access or maintained connections to care and support within the program year.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report the number of households that demonstrated improved access or maintained connections to care and support within the program year.

Note: For information on types and sources of income and medical insurance/assistance, refer to Charts below.

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing	107		<i>Support for Stable Housing</i>
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan (may include leveraged services such as Ryan White Medical Case Management)	101		<i>Access to Support</i>
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan	107		<i>Access to Health Care</i>
4. Accessed and maintained medical insurance/assistance	53		<i>Access to Health Care</i>
5. Successfully accessed or maintained qualification for sources of income	79		<i>Sources of Income</i>

Chart 1b., Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • <input checked="" type="checkbox"/> MEDICAID Health Insurance Program, or use local program name • <input checked="" type="checkbox"/> MEDICARE Health Insurance Program, or use local program name 	<ul style="list-style-type: none"> • Veterans Affairs Medical Services • AIDS Drug Assistance Program (ADAP) • State Children’s Health Insurance Program (SCHIP), or use local program name 	<ul style="list-style-type: none"> • <input checked="" type="checkbox"/> Ryan White-funded Medical or Dental Assistance
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Chart 1b., Row 5: Sources of Income include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • <input checked="" type="checkbox"/> Earned Income • <input checked="" type="checkbox"/> Veteran’s Pension • <input checked="" type="checkbox"/> Unemployment Insurance • <input checked="" type="checkbox"/> Pension from Former Job • <input checked="" type="checkbox"/> Supplemental Security Income (SSI) 	<ul style="list-style-type: none"> • <input checked="" type="checkbox"/> Child Support • <input checked="" type="checkbox"/> Social Security Disability Income (SSDI) • Alimony or other Spousal Support • Veteran’s Disability Payment • Retirement Income from Social Security • Worker’s Compensation 	<ul style="list-style-type: none"> • <input checked="" type="checkbox"/> General Assistance (GA), or use local program name • Private Disability Insurance • <input checked="" type="checkbox"/> Temporary Assistance for Needy Families (TANF) • Other Income Sources
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1c. Households that Obtained Employment

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or case management/counseling services.

Note: This includes jobs created by this project sponsor/subrecipients or obtained outside this agency.

Note: Do not include jobs that resulted from leveraged job training, employment assistance, education or case management/counseling services.

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	9	0

End of PART 4

PART 5: Worksheet - Determining Housing Stability Outcomes (optional)

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

Permanent Housing Subsidy Assistance	Stable Housing (# of households remaining in program plus 3+4+5+6)	Temporary Housing (2)	Unstable Arrangements (1+7+8)	Life Event (9)
Tenant-Based Rental Assistance (TBRA)				
Permanent Facility-based Housing Assistance/Units				
Transitional/Short-Term Facility-based Housing Assistance/Units				
Total Permanent HOPWA Housing Subsidy Assistance				
Reduced Risk of Homelessness: Short-Term Assistance	Stable/Permanent Housing	Temporarily Stable, with Reduced Risk of Homelessness	Unstable Arrangements	Life Events
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)				
Total HOPWA Housing Subsidy Assistance				

Background on HOPWA Housing Stability Codes

Stable Permanent Housing/Ongoing Participation

3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.

4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.

5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).

6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

Temporary Housing

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

Unstable Arrangements

1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).

7 = Jail /prison.

8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

Life Event

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

Tenant-based Rental Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Permanent Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Transitional/Short-Term Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Tenure Assessment. A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

STRMU Assistance: Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements. Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

End of PART 5

PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

Note: See definition of Stewardship Units. **N/A**

1. General information

HUD Grant Number(s)	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name	Date Facility Began Operations (mm/dd/yy)

2. Number of Units and Non-HOPWA Expenditures

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)		

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	
Site Information: Project Zip Code(s)	
Site Information: Congressional District(s)	
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

<i>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.</i>	
Name & Title of Authorized Official of the organization that continues to operate the facility:	Signature & Date (mm/dd/yy)
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i>	Contact Phone (with area code)

End of PART 6

Part 7: Summary Overview of Grant Activities

A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Permanent Housing Placement and Master Leased Units ONLY)

Note: Reporting for this section should include ONLY those individuals, beneficiaries, or households that received and/or resided in a household that received HOPWA Housing Subsidy Assistance as reported in Part 3, Chart 1, Row 7, Column b. (e.g., do not include households that received HOPWA supportive services ONLY).

Section 1. HOPWA-Eligible Individuals who Received HOPWA Housing Subsidy Assistance

a. Total HOPWA Eligible Individuals Living with HIV/AIDS

In Chart a., provide the total number of eligible (and unduplicated) low-income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance, NOT all HIV positive individuals in the household.

Individuals Served with Housing Subsidy Assistance	Total
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	131

Chart b. Prior Living Situation

In Chart b., report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

Data Check: The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing subsidy assistance reported in Chart a. above.

Category	Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance
1. Continuing to receive HOPWA support from the prior operating year	54
New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year	
2. Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	
3. Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	
4. Transitional housing for homeless persons	
5. Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)	
6. Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	
7. Psychiatric hospital or other psychiatric facility	
8. Substance abuse treatment facility or detox center	
9. Hospital (non-psychiatric facility)	
10. Foster care home or foster care group home	
11. Jail, prison or juvenile detention facility	
12. Rented room, apartment, or house	77
13. House you own	
14. Staying or living in someone else’s (family and friends) room, apartment, or house	
15. Hotel or motel paid for without emergency shelter voucher	
16. Other	
17. Don’t Know or Refused	
18. TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)	131

c. Homeless Individual Summary

In Chart c., indicate the number of eligible individuals reported in Chart b., Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c. do not need to equal the total in Chart b., Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance	2	12

Section 2. Beneficiaries

In Chart a., report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (*as reported in Part 7A, Section 1, Chart a.*), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals).

Note: See definition of HOPWA Eligible Individual

Note: See definition of Transgender.

Note: See definition of Beneficiaries.

Data Check: The sum of each of the Charts b. & c. on the following two pages equals the total number of beneficiaries served with HOPWA housing subsidy assistance as determined in Chart a., Row 4 below.

a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance

Individuals and Families Served with HOPWA Housing Subsidy Assistance	Total Number
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (equals the number of HOPWA Eligible Individuals reported in Part 7A, Section 1, Chart a.)	131
2. Number of ALL other persons diagnosed as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance	1
3. Number of ALL other persons NOT diagnosed as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefited from the HOPWA housing subsidy	74
4. TOTAL number of ALL <u>beneficiaries</u> served with Housing Subsidy Assistance (Sum of Rows 1,2, & 3)	206

b. Age and Gender

In Chart b., indicate the Age and Gender of all beneficiaries as reported in Chart a. directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a., Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a., Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11, Column E. equals the total number of beneficiaries reported in Part 7, Section 2, Chart a., Row 4.

HOPWA Eligible Individuals (Chart a, Row 1)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
1.	Under 18	0	0	0	0	0
2.	18 to 30 years	8	4	0	0	12
3.	31 to 50 years	25	31	2	0	58
4.	51 years and Older	31	30	0	0	61
5.	Subtotal (Sum of Rows 1-4)	64	65	2	0	131
All Other Beneficiaries (Chart a, Rows 2 and 3)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
6.	Under 18	16	27	0	0	43
7.	18 to 30 years	14	10	0	0	24
8.	31 to 50 years	3	1	0	0	4
9.	51 years and Older	2	2	0	0	4
10.	Subtotal (Sum of Rows 6-9)	35	40	0	0	75
Total Beneficiaries (Chart a, Row 4)						
11.	TOTAL (Sum of Rows 5 & 10)	99	105	2	0	206

c. Race and Ethnicity*

In Chart c., indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a., Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a., Row 4.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a., Row 1]	[B] Ethnicity [Also identified as Hispanic or Latino]	[C] Race [total of individuals reported in Section 2, Chart a., Rows 2 & 3]	[D] Ethnicity [Also identified as Hispanic or Latino]
1.	American Indian/Alaskan Native				
2.	Asian				
3.	Black/African American	79	3	56	7
4.	Native Hawaiian/Other Pacific Islander				
5.	White	38	5	12	4
6.	American Indian/Alaskan Native & White				
7.	Asian & White				
8.	Black/African American & White				
9.	American Indian/Alaskan Native & Black/African American				
10.	Other Multi-Racial	14	14	7	7
11.	Column Totals (Sum of Rows 1-10)	131	22	75	18

Data Check: Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA Beneficiaries reported in Part 3A, Section 2, Chart a., Row 4.

*Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)

Section 3. Households

Household Area Median Income

Report the area median income(s) for all households served with HOPWA housing subsidy assistance.

Data Check: The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 7, Column b and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).

Note: Refer to http://www.huduser.org/portal/datasets/il/il2010/select_Geography_mfi.odn for information on area median income in your community.

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	78
2.	31-50% of area median income (very low)	43
3.	51-80% of area median income (low)	10
4.	Total (Sum of Rows 1-3)	131

2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired with or without rehab				
Rental units rehabbed				
Homeownership units constructed (if approved)				

3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

Note: The number units may not equal the total number of households served.

Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.

3a. Check one only

- Permanent Supportive Housing Facility/Units
- Short-term Shelter or Transitional Supportive Housing Facility/Units

3b. Type of Facility

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

Name of Project Sponsor/Agency Operating the Facility/Leased Units:

Type of housing facility operated by the project sponsor/subrecipient		Total Number of <u>Units</u> in use during the Operating Year Categorized by the Number of Bedrooms per Units				
		SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm
a.	Single room occupancy dwelling					
b.	Community residence					
c.	Project-based rental assistance units or leased units					
d.	Other housing facility <u>Specify:</u>					

4. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a.	Leasing Costs		
b.	Operating Costs		
c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement) <u>Specify:</u>		
e.	Adjustment to eliminate duplication (subtract)		
f.	TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.)		