

CITY OF ROCHESTER  
CONSOLIDATED ANNUAL PERFORMANCE  
EVALUATION REPORT  
(CAPER)

2013-14

CONSOLIDATED ANNUAL PERFORMANCE AND EVALUATION REPORT

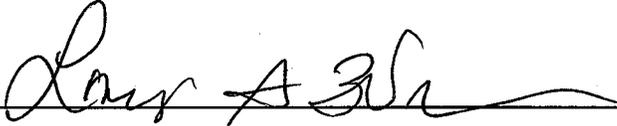
NARRATIVES

Name and Address of Grantee:

City of Rochester  
Department of Neighborhood and Business Development  
30 Church Street, 224B  
Rochester, NY 14614

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Report for Program Year ending June 30, 2014, including Community Development Block Grant, HOME Program, Emergency Solutions Grant, Housing Opportunities for Persons with AIDS, and Section 108 Loan Program

<u>Lovely A. Warren</u>	<u>Mayor</u>
Name of official authorized to submit CAPER	Title
Signature 	<u>9/24/14</u>
	Date

Certification: The information contained in this report is accurate and reflects the activities actually accomplished during the reporting period. Activities planned and/or accomplished are eligible under applicable statues and regulations and were included in the applicable listing of projects and activities in the corresponding Consolidated Community Development Plan/2013-14 Annual Action Plan.

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## FOURTH PROGRAM YEAR CAPER

The CPMP Third Consolidated Annual Performance and Evaluation Report includes Narrative Responses to CAPER questions that CDBG, HOME, HOPWA, and ESG grantees must respond to each year in order to be compliant with the Consolidated Planning Regulations. The Executive Summary narratives are optional.

The grantee must submit an updated Financial Summary Report (PR26).

## GENERAL

### EXECUTIVE SUMMARY

This module is optional, but encouraged. If you choose to complete it, provide a brief overview that includes major initiatives and highlights that were proposed and executed throughout the fourth year.

Program Year 4 CAPER Executive Summary Response:

The City of Rochester completed a five-year Consolidated Community Development Plan in 2010. The Plan consisted of a strategic plan and an annual action plan. The strategic plan contains a community development needs assessment, a housing market analysis and long term strategies to meet priority needs. The annual action plan describes specific projects and activities that will be undertaken in the coming year with federal funds to address priority needs.

The Consolidated Annual Performance and Evaluation Report (CAPER) describes the City of Rochester's progress in implementing the Plan through the proposed actions identified in the 2013-14 Annual Action Plan.

We used the Consolidated Plan Management Process (CPMP) tool that was created by the United States Department of Housing and Urban Development (HUD) to prepare the plan. This optional tool assists grantees in meeting the requirements of a Consolidated Plan-Strategic Plan, up to five Annual Action Plans and up to five Consolidated Annual Performance and Evaluation Reports.

The CPMP facilitates compliance by presenting questions for a detailed response. A complete and accurate response assures compliance with applicable federal statutes and regulations. When complete, we will submit CAPER materials to HUD almost entirely in an electronic format.

The Department of Neighborhood and Business Development, Office of the Commissioner is the lead agency responsible for preparing and overseeing the Consolidated Community Development Plan and Annual Action Plan. This new department is the result of a reorganization of the City of Rochester, whereby the Department of Community Development, Department of Economic Development and the Neighborhood Service Centers were brought together to form one unified department. The departments of Neighborhood and Business Development, Environmental Services, and Recreation and Youth Services administer assisted projects and report on accomplishments.

The City receives grants from HUD for the Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), Emergency Solutions Grant (ESG), and Housing Opportunities for

Persons with AIDS (HOPWA) Programs. Each program has specific legislative and regulatory requirements. The City also received funding as part of ARRA in the form of HPRP and CDBG-R funds. The CDBG Program provides annual grants on a formula basis to entitled cities and counties to develop viable urban communities by providing decent housing and a suitable living environment and by expanding economic opportunities principally for low- and moderate-income persons. The program is authorized under title I of the Housing and Community Development Act of 1974.

The HOME Program is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act, as amended. The program provides formula grants to States and localities that communities use often in partnership with local nonprofit groups to fund a wide range of activities that build, buy and/or rehabilitate affordable housing for rent or homeownership.

The ESG Program provides homeless persons with basic shelter and essential supportive services. It can assist with the operational costs of the shelter facility and for the administration of the grant. ESG also provides short-term homeless prevention assistance to persons at imminent risk of losing their housing due to eviction, foreclosure or utility shutoffs.

HOPWA distributes program funds using a statutory formula that relies on AIDS statistics (cumulative AIDS cases and area incidence) from the Centers for Disease Control and Prevention. HOPWA grantees are encouraged to develop community-wide strategies and form partnerships with area nonprofit organizations. HOPWA funds may be used for a wide range of housing, social services, program planning and development costs.

The following table provides an indication of formula grant funding and program income received and drawn during the period from July 1, 2013 through June 30, 2014. Funds expended during the period included funds in prior years that were not expended until the 2013-14 program year.

Table 1  
Grant Funds Received and Expended

GRANT	FUNDS RECEIVED	FUNDS EXPENDED
CDBG	\$8,198,055.00	\$7,477,780.65
HOME	\$1,897,548.00	\$1,634,552.51
ESG	\$571,885.00	\$253,164.79
HOPWA	\$657,405.00	\$160,131.71
Total	\$11,324,893.00	\$9,525,629.66

In addition, \$3,415,000 in HUD 108 Loan funds were expended.

Notes: Grant funds received include program income. Funds expended may include prior year balances. Additional funds will be expended as invoices continue to be presented and processed.

In summary, the program accomplished the following during the 2013-14 program year:

Table 2  
Summary of Objectives, Outcomes and Results

OBJECTIVE	OUTCOME	MEASURE	RESULT
Suitable Living Environment	Accessibility for the Purpose of Creating Suitable Living Environment	Number of Persons Assisted	92,926
Decent Housing	Affordability for the Purpose of Providing Decent Housing	Number of Housing Units and Households Assisted  Number of First Time Homebuyers	689
Economic Opportunity	Accessibility for the Purpose of Creating Economic Opportunities	Number of Jobs Created/Retained  Number of Businesses Assisted	485  103

**GENERAL QUESTIONS**

1. **Assessment of the one year goals and objectives:**
  - a. Describe the accomplishments in attaining the goals and objectives for the reporting period.
  - b. Provide a breakdown of the CPD formula grant funds spent on grant activities for each goal and objective.
  - c. If applicable, explain why progress was not made towards meeting the goals and objectives.
2. Describe the manner in which the recipient would change its program as a result of its experiences.
3. **Affirmatively Furthering Fair Housing:**
  - a. Provide a summary of impediments to fair housing choice.
  - b. Identify actions taken to overcome effects of impediments identified.
4. Describe Other Actions in Strategic Plan or Action Plan taken to address obstacles to meeting underserved needs.
5. **Leveraging Resources:**
  - a. Identify progress in obtaining "other" public and private resources to address needs.
  - b. How federal resources from HUD leveraged other public and private resources.
  - c. How matching requirements were satisfied.

**PROGRAM YEAR 4 CAPER GENERAL QUESTION RESPONSE:**

The City of Rochester prepared a Consolidated Community Development Plan in 2010. The plan lists the community's goals, objectives and strategies to address priority needs. The strategies and objectives are designed to meet the three Federal goals for the Consolidated Plan. The federal regulations state that the Consolidated Plan's activities should meet one of the following three goals:

- Provide decent housing

- Provide a suitable living environment
- Expand economic opportunity

The City of Rochester has developed four key goal areas to guide the program. These are identified as:

- Support Neighbors Building Neighborhoods
- Promote Economic Stability
- Improve the Housing Stock and General Property Conditions
- Respond to General Community Needs

Table 3 provides a summary of our goals, objectives, priority needs and planned activities for the 2013-14 reporting period.

The following assessments by program goal describe activities and accomplishments during the reporting period for 2013-14. We assess our expected accomplishments to actual accomplishments for each priority need. A percentage of targets achieved is calculated to determine progress.

**Promote Economic Stability**

The City’s economic development efforts concentrate on commercial and industrial development and through a series of strategies and initiatives designed to encourage investment, and retain and create jobs while strengthening city neighborhoods by providing vital neighborhood commercial services.

The primary goals are to provide economic development programs and services designed to assist projects which will result in new investment, new job creation or retention.

**Table 3**  
Summary of Goals, Objectives, Priority Needs and Planned Activities

GOAL	OBJECTIVE	PRIORITY NEED	PLANNED ACTIVITIES
Other	Improve Services for Low/Mod	Planning & Administration	-Planning Studies -Program Administration
Promote Economic Stability	Improve Economic Opportunities for Low/Mod	Economic Development	-Financial and Technical Assistance to Businesses -Rehabilitation of Commercial/Industrial Structures
Improve the Housing Stock and General Property Conditions	Improve the Quality of Owner Housing  Improve the Quality of Affordable Rental Housing  Improve Access to Affordable Housing	Owner Housing  Rental Housing  Public Facilities/Infrastructure	-Single and Multi Unit Rehabilitation -Construction of Housing -Home Ownership Assistance -Lead Based Paint Hazard Reduction -Rehab Administration -Energy Efficiency

	Improve the Availability of Owner Housing		Improvements -Legal Services -Fair Housing -Tenant/Landlord Counseling
Improve the Housing Stock and General Property Conditions	Improve Quality of Public Improvements		-Public Facilities -Neighborhood Facilities -Parks & Recreational Facilities
Respond to Community Needs	Improve Services for Low/Mod  Increase Housing Options for Persons with Special Needs	Public Services  Homeless/HIV/AIDS	-Public Services -Employment Training -Youth Services & Parent Support -Health Services -Emergency Shelter and Services -Rental Assistance

The Community Development Block Grant funds a number of activities including loan and grant programs, technical assistance and commercial rehabilitation.

The following are some highlighted business development projects:

1. Rochester Local Capital-The project involves the purchase and renovation of a building at 10 Winthrop Street in the Center City District of Rochester into a full service grocery with a focus on locally sourced and organic products. The building is 42,000 sq. ft., including 21,000 sq. ft. of first floor space that will be renovated for use as the grocery store. Second floor renovation as non-traditional loft office space will occur at a later time. The project will be primarily financed through an SBA 504 Loan with Canandaigua National Bank and the New York State Business Development Corporation.

The grocery operator, Hart's East End LLC, is a subsidiary of Rochester Local Capital. Rochester Local Capital is 60 % owned by Glenn Kellogg, an urban development consultant. Glenn's parents, Robert and Judith Kellogg, of Potomac, MD represent the remaining 40% ownership. Mr. Kellogg has retained a management team of persons experienced in grocery management for store operations.

The project will result in an investment of \$4,117,000 including property acquisition, redevelopment and FFE. Initially, the project is anticipated to create 52 FTE jobs, and projects to creating 71 FTE jobs by year five. Project financing primarily consists of an SBA 504 Loan with Canandaigua National Bank and the NY State Business Development Corporation. The SBA Loan will be for \$2,944,400 with a term of 20 years at a rate of 6.0% for \$1,000,000 and 5.54% for \$1,944,400. Construction financing in the amount of \$101,000 will have a term of nine (9) months at 4.75% interest.

The City of Rochester is providing \$75,000 in CDBG-funded grants to assist the new business. \$25,000 is a pre-development matching grant to partially fund due diligence costs related to the feasibility of the facility for a grocery store and environmental testing. \$50,000 is being provided as an equipment grant to fund a portion of the significant machinery and equipment costs associated with starting a new grocery store.

The project is adjacent to the Inner Loop East development, which will result in a major transformation of the East End District. The project also addresses the food desert issue in one of the few areas of the city that has seen a population increase in recent decades.

The project will result in a significant number of new jobs: 52 FTE's are expected to be created for the opening of the store; approximately 45 are expected to be City of Rochester residents. An additional ten new jobs will be created within three years of store opening.

2. Zweigle's, Inc.-Zweigles, founded in 1880 in Rochester, is a producer of frankfurters, ethnic sausages, deli meats, chicken sausages and condiments. In addition to its own labels, Zweigle's produces private labeled products for some of the largest retailers in the Northeast.

The Zweigle's project consists of the construction of a 4300 square foot addition to the company's existing 42,000 square foot manufacturing facility and purchase of machinery and equipment. The company is diversifying its products to include prepared foods such as pizza toppings, meatballs, sliced sausage and marinated steak to offset the seasonality of its existing product line and to add new growth opportunities.

The total project cost is \$1,285,000 for the building addition and equipment, which is being financed by a bank mortgage and term loan, a loan from the City of Rochester, and owner's equity. The equipment purchase consists of an in-line cook and freeze processing line at a total cost of \$525,000, which is being financed by the bank and a \$150,000 City of Rochester loan. The city loan will have a five year term and amortization and will be secured by an irrevocable standby letter of credit in favor of the City of Rochester.

Zweigle's Inc. currently employs 45 people, of whom 20 are City of Rochester residents. The expansion project will allow the company to retain some existing employees and in addition to the retention of its current workforce of 45 the company projects that it will create two new full-time jobs due to the expansion.

3. Tycom Recycling-Tycom Recycling, Inc (TRI) is an industrial recycling business which specializes in the granulation of plastics, film, polymer automotive and other parts. Granulation involves the use of large two-story tall grinding machines which take raw material (typically defective parts or left-over production material) and granulates the material into small pieces or pellets which are then sold to manufacturers as raw materials. The company was founded in 1995 by owner Thomas Yaskulski. The business currently employs 23 people, 5 of whom are City residents.

The business was previously located in cramped, outdated leased space at 175 Norman Street. In mid-2012, in order to provide more efficient space and room to grow, TRI acquired a 78,444 sq ft vacant manufacturing building located at 155 Holleder Parkway in the City's Holleder Industrial Park. The building was in need of substantial new investment involving new loading docks, new sprinkler system, parking lot improvements, and significant internal renovations and reconstruction. TRI purchased the facility for \$1,650,000. Following the purchase, the company invested over \$1.5 million into the facility including building new loading docks, installation of a new sprinkler system, renovations to the interior, improvements to the parking lot and site, as well as building several state-of-the-art massive concrete pits inside the building designed to support two-story tall granulator machines.

The total projected project cost was \$3,300,000: \$1,650,000 building purchase, \$1,332,000 renovations, \$158,000 new equipment, \$160,000 soft costs. BHD provided significant technical assistance during the 18-month renovation period, including assistance with a multitude of zoning, site plan, and building code. The City provided a CDBG-funded \$50,000 grant to reimburse a portion of the funding used for new equipment purchases. The company is projecting that 8 new jobs will be created within 3 years.

4. Conifer Realty, LLC-Conifer Realty, LLC is a full service real estate company specializing in the development, construction and management of high-quality affordable housing communities. Since its inception in 1975 the company has developed more than 230 properties representing 17,000 plus multi-family units.

The project consists of the relocation of Conifer's offices from leased space at 183 East Main Street to 19,700 square feet of leased space in the Gleason Business Center. The total project cost is estimated at \$964,000 and consists of office build-out costs of \$591,000, FF&E /soft costs totaling \$300,000 and technology costs of \$73,000.

The project will be funded with a City loan for build-out costs, a \$250,000 Loan-to-Grant (used for furniture, fixtures and equipment and or soft costs, and \$394,000 of cash equity contributed by the borrower.

Conifer Realty, LLC currently employs 140 people, 82 at its corporate offices and 58 at various properties in the City. The company is projecting to create 18 new jobs by 12/31/17 and an additional 12 new jobs by 12/31/19. The loan to grant agreement requires that the existing 140 jobs be retained within the City of Rochester for a five year term, and creation of an additional 18 new jobs. At least 51% of the 18 new jobs must be filled by permanent residents of the City of Rochester. At least five of the 18 new jobs must be filled by LLMI City of Rochester residents. The project will add additional investment to the Gleason Business Park. With the new Conifer lease, Gleason is building a new parking lot and relocating its own employee parking. This new permanent improvement will enhance the appearance of the Atlantic Avenue side of the Gleason facility.

### **Improve the Housing Stock**

The Department of Neighborhood and Business Development funds a number of housing programs designed to improve the quality of owner housing, improve access to affordable housing, improve the availability of owner housing and improve the quality of affordable rental housing. Over \$1.6 million in HOME funding was expended during the reporting period on housing activities.

A variety of HOME assisted housing programs assisted 379 households and housing units. Our home purchase assistance programs assisted 182 first time home buyers.

The Home Buyer Assistance Program provides comprehensive services to prospective owner-occupants who wish to purchase homes through City programs. Services include eligibility screening for purchase subsidies and referrals to home buyer training and City housing programs. In addition, a variety of foreclosure prevention activities help prevent mortgage foreclosure by providing funding for professional housing specialists and legal staff to negotiate directly with lenders to mitigate pending foreclosures.

CDBG funds are used to provide landlord training and tenant education courses along with mediation and individual counseling to create effective landlord/tenant relationships that are beneficial to both parties, the neighborhoods, and the community at large.

The City's HOME Program is designed to preserve existing housing, develop affordable housing, and provide homeownership assistance.

## Respond to General Community Needs

Youth Services needs in the City continue to be varied and extensive, as evidenced by both the high level and severity of urban poverty. Youth continue to experience high levels of school dropout rates, increasing teen pregnancy rates, and disproportionately high unemployment rates.

Acknowledging the scope of need in the context of decreasing resources, the City continues to work closely with other major funders (United Way, County of Monroe and the Rochester Area Community Foundation) to review and update established policies and funding strategies that concentrate targeted dollars and effort on established priorities.

The current major priorities for CDBG funding for City Youth Services are:

- Programs and services that promote youth employment readiness and career development;
- Programs and/or activities that are inclusive of youth development programming, specifically, the 40 Youth Development Assets; and
- Programs and/or activities that provide support to parents, as they support the developmental needs of their youth in the above two areas.

We expected to serve 512 youth and family members, through a variety of public service activities. The actual accomplishments to date were 88,012 people served. The planned number did not include public service activities that are accomplished through the Business Association Support program and fire department funding of smoke and fire detectors.

The expected number of people served for the Emergency Shelter Grant program was 3,733. The actual number served by the program was 3,369 (unduplicated).

Programs funded by HOPWA expected to assist 190 households. The actual number of households assisted was 134.

The following table provides a summary of expected and actual accomplishments by priority needs.

Important public improvement projects such as the Susan B. Anthony Pedestrian Bridge as well as Union Street and Akron Street Improvement projects are underway.

Table 4  
Summary of Expected and Actual Accomplishments by Priority Need

PRIORITY NEED	OUTCOME	PERFORMANCE MEASURE	YEAR	EXPECTED	ACTUAL	PERCENT ACHIEVED
Economic Development	Availability /Accessibility of Economic Opportunity	Businesses	2010-11	112	29	26%
			2011-12	123	63	51%
			2012-13	119	79	66%
			2013-14	80	103	129%
			2014-15			
Five Year Goal						
Economic Development	Availability /Accessibility of Economic Opportunity	Jobs	2010-11	75	182	242%
			2011-12	75	67	89%
			2012-13	87	125	143%
			2013-14	50	485	970%

			2014-15			
			Five Year Goal			
Owner and Rental Housing	Affordability of Decent Housing	Housing Units	2010-11	426	389	91%
			2011-12	523	291	56%
			2012-13	450	566	125%
			2013-14	450	358	80 %
			2014-15			
			Five Year Goal			
Owner and Rental Housing	Affordability of Decent Housing	Households	2010-11	485	122	25%
			2011-12	460	816	177%
			2012-13	460	275	60%
			2013-14	303	379	125%
			2014-15			
			Five Year Goal			
Owner and Rental Housing	Affordability of Decent Housing	People	2010-11	690	1410	204%
			2011-12	725	116	16%
			2012-13	725	663	91%
			2013-14	720	1227	170%
			2014-15			
			Five Year Goal			
Public Services	Availability /Accessibility of Suitable Living Environment	People	2010-11	934	700	75%
			2011-12	202	112023*	5545%
			2012-13	422	82,722*	1960%
			2013-14	512	88,012*	1718%
			2014-15			
			Five Year Goal			
Homeless/ HIV/AIDS	Availability /Accessibility of Suitable Living Environment	People	2010-11	5544	12752	230%
			2011-12	5440	6555	120%
			2012-13	5493	6632	120%
			2013-14	3733	3369	90%
			2014-15			
			Five Year Goal			
Homeless/ HIV/AIDS	Availability /Accessibility of Suitable Living Environment	Households	2010-11	220	295	134%
			2011-12	210	235	112%
			2012-13	200	148	74%
			2013-14	190	134	71%
			2014-15			
			Five Year Goal			
Public Facilities/ Infrastructure	Availability/ Accessibility of Suitable Living Environment	Number of Improvements	2010-11	TBD	0	
			2011-12	TBD	3	
			2012-13	TBD	3	
			2013-14	1	5	500%
			2014-15			
			Five Year Goal			

\*Plan indicated 512 for youth service activities for 2013-14 but did not include all that benefit as a result of public improvement projects (as some are to be determined). Actual includes both.  
 Table 5 provides an indication of formula grant funds (including ARRA funds) spent on activities for each objective.

Table 5  
 Formula Grant Expenditures by Performance Objectives

Performance Objective	CDBG	HOME	ESG	HOPWA	TOTAL
Improve Services for Low/Mod	\$2,825,851.17				\$2,825,851.17
Improve Economic Opportunities for Low/Mod	\$2,103,356.92				\$2,103,356.92
Improve the Quality of Owner Housing/ Improve Access to Affordable Housing/ Improve the Availability of Owner Housing	\$902,150.59	\$1,634,552.51			\$2,536,703.10
Improve Quality/Quantity of Public Improvements	\$1,646,421.97				\$1,646,421.97
Improve the Quality of Affordable Rental Housing					
Increase Housing Options for Persons with Special Needs			\$253,164.79	\$160,131.71	\$413,296.50
TOTAL	\$7,477,780.65	\$1,634,552.51	\$253,164.79	\$160,131.71	\$9,525,629.66

In addition, \$3,415,000 in HUD 108 funds were spent. Additional invoices for the program year continue to be submitted by subrecipients, vendors, etc. and are being paid as they are presented.

Historically, CDBG and HOME spending patterns reflect a widely dispersed spatial allocation. In order to achieve a greater impact, the City has defined smaller areas for public investment and focusing our expenditures over a multi-year period. This Focused Investment Strategy has been operational for the past four years and review of the initiative has shown visible change in the four identified neighborhoods. It is anticipated that at the end, the program will have produced greater neighborhood impacts as measured by housing sales prices, bank lending activity and the number of businesses.

The City released an RFP to complete a new Analysis to Impediments Study. The study will be completed with recommendations in fiscal year 2014-15.

Currently, the City undertakes many actions to affirmatively further fair housing. The Analysis of Impediments to Fair Housing Choice from a study in 1996 and the 2007 Housing Market Analysis that included a review of affordable housing and resulted in the formulation of our housing policy, shows the major impediments as follows:

1. Household Income: Housing choices are fundamentally limited by household income and purchasing power. Another element of this is job sprawl-the spatial mismatch between jobs and minorities.
2. The availability of affordable housing
3. Mobility: Most family affordable housing is located in areas with high concentrations of minorities and poverty.
4. Real Estate Practices: There is no local testing to confirm or disprove discriminatory real estate practices.
5. Lending Practices: Minorities experience mortgage lending denial rates which are two to three times greater than those for white applicants. This suggests that lenders' underwriting policies or practices have the effect of restricting housing opportunities among minorities.
6. Community Understanding and Attitudes: The current amount of fair housing education and information programming is limited.

Several ongoing programs address these impediments. The City works to increase the number of affordable housing choices so households utilizing City homeownership and rental programs spend no more than 30% of their income on housing related costs. To this end, the City provides development subsidies for affordable existing and newly constructed homes for homebuyers.

A key City program for existing homes is the Home Rochester Program. Vacant, single-family homes are rehabilitated and sold to eligible low-income buyers. The program provides a development subsidy as well as closing cost assistance to ensure affordability to the ultimate owner. In addition to closing cost and down payment assistance for purchase on the private market, funds are often matched with local employer and lender funds and have succeeded in drawing first time homebuyers to the city's neighborhoods. These homes are located on streets that are in areas of low concentrations of minorities and poverty.

For tenants, the City in partnership with local nonprofit developers creates rental projects that are affordable to households under 60% of the MFI. The City's participation usually includes bridge financing. The City sponsors Landlord Tenant Services which includes workshops and one-on-one coaching for landlords to learn to improve their businesses, properties and relationships with their tenants.

We continue to fund foreclosure prevention with access to financial counseling programs, links with HUD approved specialists in foreclosure prevention, and legal assistance where appropriate.

Neighborhood planning at the quadrant level continues. With the consolidation of the City's three departments of Community Development, Economic Development and Neighborhood Service Centers into the Department of Neighborhood and Business Development, the planning process at the neighborhood level still occurs, but with the assistance of staff at the quadrant team level.

Crime has been a major obstacle in respect to revitalization of commercial strips. The Security Camera and Lighting Program are designed to help diminish crime.

The Housing Needs Assessment contained in the Consolidated Community Development Plan documents a large percentage of households that experienced one or more housing problems in 2000, including cost burden, overcrowding and inadequate housing. A significant amount of the City's housing funds are directed towards addressing underserved needs.

There are many underserved groups such as the homeless. The Rochester/Monroe County Continuum of Care Team's Housing Options for All describes a system that ranges from emergency housing and support service to permanent housing with homeless prevention and support services that homeless individuals and families need to achieve independent living.

Funds from the grants (CDBG, HOME, ESG and HOPWA) are leveraged with a variety of public and private sources to assist the City achieve its community development goals.

Only a small portion of investment comes from City Public funds which include CDBG, CDF, REDCO, Section 108 and other grant and loan funds available to the City. The City also uses Non-City Public funds including SBA 504 direct loans and New York State funding. The vast majority of investment in projects comes from private sources. This leveraging of resources is critical to making projects happen. For economic development projects to be successful, a partnership between the City, developers and business owners (including shared financing of projects) must occur.

The New York State Empire Zone Program offers businesses that are certified a variety of NYS Income Tax Credits, sales tax exemptions, property tax abatements, and a utility discount for creating new jobs and making qualified investments in the Zone. Since 1995, the City of Rochester certified over 500 businesses. In June of 2010, the program was closed to new program entrants, however, approximately 250 businesses continue in the program and will be able to finish out any program benefits that they are entitled to. These benefits are used in conjunction with other public and private resources.

The City continues to promote economic stability. Staff is successful in developing financing for a number of projects and businesses. Leveraging other resources in the community makes significant job creation and retention a reality.

Industrial programs contribute to solving neighborhood and community problems through job creation/job retention and stabilization of neighborhoods through investment in real estate.

The City has long taken an active role in fostering an environment that encourages the retention and growth of existing businesses, the attraction of new companies and support of entrepreneurs. Efforts to facilitate business growth focus on retaining established businesses, growing existing businesses, and recruiting a diverse set of new businesses. The City also focuses on establishing entrepreneurial partnerships and collaborating with other service providers in the community to support entrepreneurship opportunities.

The City of Rochester provides financial assistance including low interest loans and grants, tax incentives and technical assistance to a wide range of city businesses. Eligible projects include real estate investments, purchases of machinery and equipment, or working capital. Special grants include those for pre-development/due diligence expenses, interest rate subsidies, targeted business assistance for businesses that have historically had difficulty accessing capital, and small businesses. We also support business associations in low to moderate income neighborhoods, and provided grants in focused

investment areas of the city. Small Business Matching Grants are also provided for essential neighborhood service companies in low to moderate income areas.

Table 15 shows amount leveraged in matching resources for homeless activities funded through ESG in 2013-14.

In report year 2013-14, the Bureau of Housing and Project Development leveraged over \$23 million to HOME funded projects as listed in the sources table below:

Table 6  
Housing Funds Leveraged

Other Federal	\$1,120,676.40
State/Local	\$6,189,867.00
Private	\$11,692,945.00
Other	\$4,514,204.00
Total	\$23,517,692.40

**Managing the Process**

- 1. Describe actions taken during the last year to ensure compliance with program and comprehensive planning requirements.**

Program Year 3 CAPER Managing the Process Response:

The City prepared a detailed Citizen Participation Plan which outlines opportunities for residents to participate in the planning and administration of the Consolidated Community Development Program.

The annual planning meeting for 2013-14 was held on April 9, 2013. In addition, the City held Voice of the Customer sessions on March 21, March, 27, April 1, and April 3, 2013 at which federal funding for 2013-14 was a topic of discussion. The annual planning meeting for 2014-15 was held on March 24, 2014. The purpose of these meetings is to solicit input and providing information on housing and community development priorities. The public was also provided information on program activities and accomplishments at the annual planning meetings.

In addition to the annual planning meetings, City staff meet regularly with neighborhood and community groups throughout the year. This year, additional input was received from a community focus group regarding housing rehabilitation priorities. The focus group response was coupled with a community survey which helped to guide how housing rehabilitation programs would be implemented.

The Focused Investment initiative (FIS) continues to be a major focus. After four years, this focused approach is showing positive signs of improvement in the selected neighborhoods.

The City of Rochester monitors all assisted program and planning activities. An effective monitoring program ensures accountability, responds to community priorities, and maximizes the efficiency and effectiveness of program delivery.

Program managers are required to review program and financial information to ensure accuracy.

All grant applications that require a Certification of Consistency with the Consolidated Community Development Plan were reviewed.

**Citizen Participation**

1. Provide a summary of citizen comments.
2. In addition, the performance report provided to citizens must identify the Federal funds made available for furthering the objectives of the Consolidated Plan. For each formula grant program, the grantee shall identify the total amount of funds available (including estimated program income), the total amount of funds committed during the reporting period, and the geographic distribution and location of expenditures. Jurisdictions are encouraged to include maps in describing the geographic distribution and location of investment (including areas of minority concentration). The geographic distribution and expenditure requirement may also be satisfied by specifying the census tracts where expenditures were concentrated.

Program Year 4 CAPER Citizen Participation Response:

Our customers had an opportunity to comment on the CAPER during the period from September 9, 2014 through September 23, 2014. A notice of opportunity was published in the Democrat & Chronicle. There were no comments.

The following table provides an indication of funds available to draw on July 1, 2013 and June 30, 2014.

Table 7  
Available Funding by Formula Grant

GRANT	FUNDS AVAILABLE ON JULY 1, 2013	FUNDS AVAILABLE ON JUNE 30, 2014
CDBG	\$9,647,402.18	\$11,198,430.54
HOME	\$1,366,214.46	\$1,305,453.73
ESG	\$459,772.03	\$318,720.21
HOPWA	\$254,468.18	\$577,758.14
Total	\$11,727,856.85	\$13,400,362.62

The PR01 was used for the above table with the exception of ESG. The amount available for ESG on the PR01 appears to be Emergency Shelter, not Emergency Solutions. The PR91 was run on September 2, 2014. The amount indicated for ESG as available in the second column is funds available as of September 2, 2014.

Many activities are available city-wide. However, the City gives priority to census tracts where 51% or more of the households have incomes that are 80% or less of the median family income for the MSA.

In addition, guidelines for allocating funds geographically are:

- Planning projects are city-wide.
- Economic development projects are city-wide and assist businesses that create jobs for low and moderate income persons or provided needed goods and services to residents of low and moderate income residential areas.
- Housing projects are city-wide and assist units occupied by low and moderate income families.
- Neighborhood improvement projects are city-wide and are targeted to neighborhoods that are primarily residential and at least 51% of the residents are low and moderate income.

- Youth service and homeless projects are limited to a specific group of people, at least 51% of whom are low and moderate income.
- HOPWA funds are distributed to agencies that serve the Rochester MSA.

## **Institutional Structure**

### **1. Describe actions taken during the last year to overcome gaps in institutional structures and enhance coordination.**

Program Year 4 CAPER Institutional Structure Response:

The City works closely with nonprofit housing and social service providers to carry out housing and community development programs.

The City is now implementing its housing support in accordance with the Housing Policy of 2008. The Policy is intended to guide the City in the development of specific plans with the overall goals of improving City neighborhoods, stabilizing and enhancing the tax base, creating healthy real estate markets, and providing a broad array of housing options that address the needs of diverse households.

The policy includes:

1. Promoting rehabilitation, redevelopment and new construction of housing
2. Promoting homeownership
3. Supporting efforts to strengthen the rental market
4. Promoting housing choice
5. Supporting the implementation of neighborhood and asset –based planning through interdepartmental collaboration

The City combined certain existing Community Development Block Grant and HOME programs as follows:

Combination of the Community Housing Development Organization-Acquisition and Rehabilitation, Housing Development Support, New Housing Construction, Lead Hazard Reduction, Affordable Housing Fund, Emergency Assistance Repair Program, and Demolition into a Housing Development Fund.

Combination of the Buyer Assistance, Foreclosure Prevention/Mortgage Default Resolution, Homebuyer Training into a Homeownership Fund.

Combination of all landlord and tenant services programs into a Rental Market Fund.

Combination of all fair housing activities into a Housing Choice Fund.

Combination of all planning and Focused Investment Strategy activities into a Neighborhood and Asset-Based Planning Fund.

The benefits of the above combinations include the following:

- Reduction in documentation and administrative functions by all City departments involved with the approval, commitment and funding processes.
- Align a variety of programs with the new Housing Policy.

- Better allocation of funds as one of the programs may run out of funding while the other does not use all allocated funds as quickly.

## Monitoring

1. Describe how and the frequency with which you monitored your activities.
2. Describe the results of your monitoring including any improvements.
3. Self-Evaluation
  - a. Describe the effect programs have in solving neighborhood and community problems.
  - b. Describe progress in meeting priority needs and specific objectives and help make community's vision of the future a reality.
  - c. Describe how you provided decent housing and a suitable living environment and expanded economic opportunity principally for low and moderate-income persons.
  - d. Indicate any activities falling behind schedule.
  - e. Describe how activities and strategies made an impact on identified needs.
  - f. Identify indicators that would best describe the results.
  - g. Identify barriers that had a negative impact on fulfilling the strategies and overall vision.
  - h. Identify whether major goals are on target and discuss reasons for those that are not on target.
  - i. Identify any adjustments or improvements to strategies and activities that might meet your needs more effectively.

### Program Year 4 CAPER Monitoring Response:

The City of Rochester continually monitors the performance of housing and community development activities to assure that they are carried out in accordance with applicable laws and regulations and to ensure that performance goals are achieved. Program managers are required to review program and financial information quarterly to ensure accuracy.

The City of Rochester plan is to perform on site visits on an annual basis for all CDBG, ESG, HOPWA and HOME funded programs. During the 2013-14 year, this was accomplished, with visits focusing on areas such as adequate source documentation, employee payroll support (timecards), timeliness of deposits, income eligibility, availability of financial statements and/or single audit, cost allocation plans, and overall compliance with the approved programs' budget. We have a monitoring schedule of the participants each year to ensure that we are documenting compliance with all programs.

The City keeps a project backlog report for business development projects. This report includes projects currently being worked on (financial assistance) and Stage 1 projects (projects in the early discussion stage). These reports are updated and periodic portfolio reports are also generated.

As part of the job/project monitoring, annual employment reports are required. We obtain job information annually, and update the IDIS system. Projects are also monitored via staff site visits. Due to improved monitoring, we have been able to close out more economic development activities on the IDIS system than in the past.

It is important that the City of Rochester evaluate program progress and impacts. In order to gauge the effectiveness and improve program performance, the City utilizes ongoing management review as a part of its self-evaluation process. All expenditures fall within categories of highest priority as determined by

the Consolidated Plan citizen participation process. This ensures that priority needs and specific objectives make the community's vision of the future a reality.

Utilization of a performance measurement system is the most effective way to determine that activities and strategies are making an impact on identified needs. It is also used to identify indicators that best describe results, identify barriers that have a negative impact on fulfilling strategies and overall vision, identify if major goals are on target, and identify any needed adjustments or improvements to strategies and goals. The City of Rochester implemented the Outcome Performance Measurement System developed by the U.S. Department of Housing and Urban Development. The system includes objectives, outcome measures, and indicators that describe outputs. The objectives are Creating Suitable Living Environments, Providing Decent Affordable Housing, and Creating Economic Opportunities. The outcome categories are: Accessibility/Availability, Affordability, and Sustainability. There is a standardized list of output indicators to report on as appropriate for the chosen objectives and outcomes. The objectives and indicators provided reflect the rationale for funding the activity. The indicators describe, in numerical terms, any particular benefit that the activity produced. The system is designed to enable grantees to inform the public of the many outcomes of assisted programs. The goal is to focus on more outcome-oriented information and on reporting the results. The system is an important tool to report to citizens the many benefits provided by assisted activities.

### **Lead Based Paint**

#### **1. Describe actions taken during the last year to evaluate and reduce lead-based paint hazards.**

Program Year 4 CAPER Lead-Based Paint Response:

The City continued its efforts to combat childhood lead-poisoning through its comprehensive Lead Hazard Control Program. The goal of the program is to reduce the incidence of childhood lead poisoning by producing lead-safe affordable housing for families with children under age six. The strategy of the program is to exercise "primary prevention" by addressing lead based paint hazards in housing before a child becomes lead-poisoned.

The Lead Hazard Control Program is funded by the U. S. Department of Housing and Urban Development's Office of Healthy Homes and Lead Hazard Control, the Community Development Block Grant, the Greater Rochester Health Foundation, the State of New York Dormitory Authority and private investment. The City received a funding award in May 2012 for \$3 million to produce 220 units of housing. These funds continue to be spent and will be expired by March 2015. In June 2014, the City applied to HUD for a \$4 million grant. If funded, the City will produce 215 units of lead safe housing over 3 years.

A total of 124 units of lead-safe housing were produced. Of this total, 117 units were CDBG funded. Of the total, 64 owner occupied properties and 60 rental units were completed. A total of 8 of these units were vacant. Participating landlords were required to produce a 10% funding match and agree to maintain assisted units as affordable and lead-safe for a period of three years.

Through a partnership with local lead-based paint evaluation firms, the City provided a combined lead-based paint inspection/risk assessment for each unit enrolled in the Lead Hazard Control Program. The risk assessment provides an evaluation of the housing unit and child play areas to determine the presence of lead-based paint and identifies existing lead hazards. The completed report summarizes

these findings and includes a remediation plan if needed. A total of 156 risk assessments were produced.

Since the Lead Hazard Control Program began in 2003, the incidence of child lead poisoning was reduced by 82%. The collective work of the City, the interventions of the Monroe County Department of Public health and awareness campaigns undertaken by concerned stakeholders all contributed to this success.

The City continued its efforts to combat childhood lead-poisoning through its comprehensive Lead Hazard Control Program. The goal of the program is to produce lead-safe affordable housing for families with children under age six residing in high risk neighborhoods.

## **HOUSING**

### **Specific Housing Objectives**

- 1. Evaluate progress in meeting the specific objective of providing affordable housing, including the number of extremely low-income, low-income, and moderate-income renter and owner households comparing actual accomplishments with proposed goals during the reporting period.**
- 2. Evaluate progress in providing affordable housing that meets the Section 215 definition of affordable housing for rental and owner households comparing actual accomplishments with proposed goals during the reporting period.**
- 3. Describe efforts to address “worst-case” housing needs of persons with disabilities.**

Program Year 4 CAPER Specific Housing Objectives Response:

The following table provides an indication of the progress made in meeting the specific objective of providing affordable housing.

**Table 8  
Affordable Housing Report**

ACTIVITY/TENURE	INCOME GROUP SERVED				TOTAL
	EXTREMELY LOW INCOME (0-30% MFI)	LOW INCOME (31-50% MFI)	MODERATE INCOME (51-60% MFI)	OTHER (61-80% MFI), VACANT, OVER 80%	
CHDO/REHAB/OWNER	12	24	26	25	87
HOME PURCHASE ASSISTANCE/EMPLOYER ASSISTED HOUSING INITIATIVE/HOME BUYER ASSISTANCE	6	34	32	61	133
NEW CONSTRUCTION- HOMEOWNERSHIP	0	5	1	1	7
LEAD PROGRAM/OWNER & RENTER	39	58	19	8	124
RENTAL HOUSING/RENTER	116	96	0	0	212

Note: Some individuals included in the CHDO/Rehab/Owner count also received assistance under Home Purchase Assistance. These households are only counted once in the CHDO line.

Sources other than HOME are used for over income individuals. The City is interested in assisting income diverse projects that will contribute to the de-concentration of poverty.

Many of the projects completed meet the Section 215 definition of affordable housing and are accessible for persons with disabilities or severe housing needs.

The City has established partnerships with organizations that provide services to persons with disabilities to remain aware and to ensure that housing developers and their projects are sensitive to their needs. This has resulted in several successful projects that have been recently completed and/or underway, i.e. Carriage Factory, Eastman Commons and Son House.

**Public Housing Strategy**

**1. Describe actions taken during the last year to improve public housing and resident initiatives.**

Program Year 4 CAPER Public Housing Strategy Response:

The Departments of Community Development, Recreation and Youth Services and the Rochester Police Department have continued their efforts to coordinate activities with those of the Rochester Housing Authority. These efforts involve planning and development of new public housing, tenant self-sufficiency training, and crime prevention and control.

## **Barriers to Affordable Housing**

### **1. Describe actions taken during the last year to eliminate barriers to affordable housing.**

Program Year 4 CAPER Barriers to Affordable Housing Response:

The City of Rochester was largely developed prior to 1940 and has the second oldest housing stock in the nation among cities of its size. As such, many of the opportunities to create affordable housing stock consist of rehabilitation of existing housing stock.

The City has long recognized that the NYS Fire Prevention and Building Code posed barriers to the development of affordable housing when existing structures are rehabilitated or adaptively re-used. The code was developed as a "new construction" standard and makes it very costly, if not impossible, to effectively redevelop existing structures. Projects also experience significant delays because they must obtain variances from the state code. The City has worked successfully with the State of New York to address this issue by replacing the former code with the NYS Enhanced International Building Code.

The City has continued to monitor the NYS Building Code and the new local zoning code for adverse effects to the development of affordable housing.

### **HOME/American Dream Down Payment Initiative (ADDI)**

- 1. Assessment of Relationship of HOME Funds to Goals and Objectives**
  - a. Evaluate progress made toward meeting goals for providing affordable housing using HOME funds, including the number and types of households served.**
- 2. HOME Match Report**
  - a. Use HOME Match Report HUD-40107-A to report on match contributions for the period covered by the Consolidated Plan program year.**
- 3. HOME MBE and WBE Report**
  - a. Use Part III of HUD Form 40107 to report contracts and subcontracts with Minority Business Enterprises (MBEs) and Women's Business Enterprises (WBEs).**
- 4. Assessments**
  - a. Detail results of on-site inspections of rental housing.**
  - b. Describe the HOME jurisdiction's affirmative marketing actions.**
  - c. Describe outreach to minority and women owned businesses.**

Program Year 4 CAPER HOME/ADDI Response:

The HOME Program is authorized under Title II of the Cranston-Gonzales National Affordable Housing Act, as amended, 24 U.S.C. 12701 et. seq. HOME funds may be used for a variety of housing activities according to local housing needs. Eligible uses of funds include developing rental housing, housing rehabilitation, assistance to first time homebuyers, and new construction. HOME funds may be used for site acquisition, site improvements, demolition, and relocation. Funds may not be used for certain activities including public housing modernization or operating subsidies for rental housing.

Low-income households are targeted by participating jurisdictions, based upon HOME income guidelines. HOME funds are awarded annually as formula grants to participating jurisdictions. The

formula is based in part on factors including age of units, substandard occupied units, number of families below the poverty rate and population.

The City of Rochester was designated as an eligible jurisdiction for participation in the HOME Program in 1992. Prior to receiving HOME funds, the City of Rochester must submit a Consolidated Plan as outlined in 24 CFR (Code of Federal Regulations) part 91. All projects receiving HOME funds are subject to an environmental review prior to receiving Federal funds.

Participating jurisdictions must set aside 15% of its annual allocation for activities undertaken by qualified Community Housing Development Organizations.

The City must contribute or match 25 cents for each dollar of HOME funds spent on affordable housing. When funds are drawn from HOME Investment Trust Funds, we incur a match liability, which must be satisfied by the end of each Federal fiscal year. The HOME statute provides for a reduction of the matching contribution requirement under three conditions:

- Fiscal distress
- Severe fiscal distress, and
- For Presidentially-declared major disasters covered under the Stafford Act.

Rochester qualifies for a 100% reduction in the required local match amount for 2013-14 as determined by HUD since it meets the requisite distress criteria.

Table 9

Participating Jurisdiction	% Families in Poverty ( $\geq 11.5\%$ )		\$PCI 1999 (<\$16,190)		Match Reduction
Rochester	25.8		\$17,865		100%

The federal HOME Program Performance “Snapshots” are quarterly cumulative performance reports which are useful in tracking the HOME program progress of participating jurisdictions such as the City of Rochester. The performance snapshot is an important tool in helping to evaluate the performance of the City by providing a context for accomplishments. During the program year, the City undertook a cleanup of vacant units and was able to provide occupancy data for all of the vacant included on IDIS reports. As of June 30, 2014, there are no vacant units that are displayed.

Following are selected performance snapshot measures cumulative program progress through June 30, 2014.

Table 10

Measure	City of Rochester	National Average
% of Funds Committed	96.75	94.96
% of Funds Disbursed	95.42	90.01
Leveraging Ratio for Rental Activities	11.28	5.49
% of Completed Rental Disbursements to All Rental Commitments	100	93.04
HOME Cost Per Unit-Rental	\$22,442	\$32,090
HOME Cost Per Unit-Homebuyer	\$12,143	\$16,827
HOME Cost Per Unit-Homeowner-Rehab Unit	\$12,230	\$21,027

The HOME allocation has been utilized for affordable housing development and rehabilitation as well as homeownership financial assistance. HOME funds are not used to provide tenant-based rental assistance.

The City allocates HOME funding to a variety of rental activities designed to increase the supply of rental housing that is affordable to households below 60% of the area median family income. The following table provides information on significant rental projects.

Table 11

Owner	Project	Units	Type	Status
Ibero-American Development	El Camino Estates II	25	Rental-Single Family	Completed
DePaul	Carriage Factory	65	Rehabilitation	Underway
Conifer Realty	Corpus Christi	42	Rehabilitation	Underway
Providence	Son House	21	New Construction	Completed
Providence	Holy Rosary	60	Redevelopment and New Construction	Completed
YWCA	YWCA	110	Rehabilitation and Redevelopment	Completed

- **Carriage Factory** is an affordable residential rental project that involves the rehabilitation of an abandoned carriage factory into 65 affordable apartments of which 39 will be for the severely mentally ill. (11 of which are Home-assisted units). The total cost of the project is \$20,039,062 a portion of which is funded by the NYS Division of Housing and Community Renewal tax credits. The City is providing \$600,000 in HOME funding for the project. Construction is underway. The project is expected to be completed during the summer of 2014.
- **Corpus Christi** involves the demolition of part of an abandoned school and the rehabilitation of the remaining building into 42 residential units (11 HOME assisted). The total cost of the project is \$9,076,824. IT is funded by the NYS Housing Finance Agency, state and federal tax credits, sponsor funding and the City. The City is providing \$300,000 in local funds as construction/permanent financing for the project. Construction is underway and is expected to be completed during the 2014-15 fiscal year.

- **El Camino Estates Affordable Rental Housing Project II** includes the construction of 25 units (eight are HOME-assisted units) of rental housing on clustered sites near the El Camino-Butterhole Trail and Phase One of the El Camino project in northeast Rochester. The total project cost is \$6,300,000. The project was provided \$500,000 in City HOME funds used for construction/permanent financing. The City also supported the project by the sale of real estate and a Payment-In-Lieu-Of-Taxes agreement. The project is completed. The City will also be providing up to \$200,000 toward the rehabilitation of adjacent owner-occupant structures.
- **Holy Rosary** is a project located in the Dewey Driving Park FIS area and involves the redevelopment of the Holy Rosary church and convent complex into 35 units of affordable housing and constructing 25 units of rental housing on focused sites. The project will serve households under 60% of AMI which is higher than the market rents in that area. The total cost of the project is \$14,881,759. The City is providing up to \$725,000 in HOME funds, a PILOT agreement and up to \$200,000 to help with the rehabilitation of adjacent owner occupant housing structures. The project is completed with all 60 of the units occupied.
- **Son House** involves the construction of 21 new residential units for formerly homeless individuals (11 of which are HOME assisted units). The total cost of the project is \$4,027,546. It is being funded by NYS tax credits, Federal Home Loan Bank and the City. The City is providing \$105,000 construction/permanent loan and a PILOT. The project is completed and fully occupied.
- **YWCA** project involves the creation of 14 new affordable units with supportive services and the renovation of 96 existing units. The project is located in the Center City. The project will service households with incomes below 60% AMI. The total project cost is \$7,952,940. The City is providing \$375,000, restructuring of an existing City loan and a PILOT. Construction is completed and project is occupied.
- **Stadium Estates** consists of the development of 45 units through the construction of new units on scattered sites in the JOSANA neighborhood. The project will service households under 60% AMI. The total cost of the project is \$10,730,000. The city is providing up to \$800,000 in HOME and local funds, the sale of City-owned vacant lots, and a PILOT agreement. The project has been awarded tax credits and is expected to begin construction in the fall of 2014.
- **Eastman Gardens** involves the redevelopment of a vacant dental dispensary into 55 units of senior housing. The project positively impacts the North East Focused Investment Strategy Area. The project will serve households with incomes under 60%. Twelve of the units will be market rate. The cost of the project is \$21,550,335. The City is providing \$600,000 of HOME funds and \$600,000 of local funding for a total of \$1,200,000, and a PILOT agreement. The project has been awarded tax credits and will begin construction in the 2014-15 fiscal year.

The City undertakes a variety of activities such as homebuyer education and down payment assistance designed to increase homeownership. A total of \$990,732.23 in HOME funds was expended to complete 172 units for first time homebuyers. Approximately 67% of these homebuyers assisted were minority. All had incomes below 80% of the area Median Family Income.

The following table provides a summary of HOME program completions and disbursed amounts by activity type.

Table 12  
Disbursements and Units Completed

ACTIVITY TYPE	DISBURSED AMOUNT	UNITS COMPLETED
Rentals	\$633,000	197
First Time Homebuyers	\$1,001,552.51	182
Existing Homeowners	\$0	52

Under the HOME Program, a minimum of 15% of the total HOME allocation is reserved for use by Community Housing Development Organizations (CHDO) for the development of affordable housing. The City allocated \$284,633 to fund CHDO housing development through the Home Rochester Program.

We comply with commitment and expenditure deadlines under the HOME regulations. To determine compliance with the deadline, one must compare the cumulative allocations from program inception to the cumulative commitment and expenditures to HOME activities from program inception through commitment deadline.

Table 13  
HOME Deadline Compliance Status Report

REQUIREMENT	REQUIREMENT AMOUNT	TOTAL BY DEADLINE	PERCENT OF TARGET ACHIEVED
Commitment	\$67,655,979	\$68,666,985	100
CHDO Reservation	\$10,068,368	\$12,494,678	18.61
Disbursements	\$59,202,197	\$66,547,036	100

The City of Rochester must match a portion of HOME funds used for affordable housing activities. The matching contributions for a fiscal year must be at least 25% of the funds drawn in that year. However, because Rochester exhibits severe fiscal distress based upon the family poverty rate and per capita income, the match requirement is reduced to 0%. (See attached HUD Form 40107-A entitled, HOME Match Report).

As a condition of receiving HOME funds, recipients agree to maintain all HOME-assisted units as affordable housing and in compliance with Housing Quality Standards (HQS). Now that the City is fully staffed with certified code inspectors, the on-site inspections of rental developments are underway. The inspectors will follow Section 8 HQS and document the results.

During the program year, the Department sent out Rent and Occupancy Reports to rental projects to complete and return. A desk review of these forms was done. In addition, the Department conducted on-site monitoring for some of the rental projects to ensure that they comply with HOME requirements that apply during the period of affordability. The compliance area included: HOME rent limits, HOME income limits, tenant selection, tenant income verification and recertification, affirmative marketing, tenant leases and protections and unit mix. No issues were identified.

It is the policy of the City of Rochester to require that at least one of the four required bids for housing rehabilitation programs is solicited from a Minority Business Enterprise (MBE) or Women Business Enterprise (WBE). As indicated on the attached report (HUD-40107), there were 20 HOME funded contracts with MBEs totaling \$6,497,117.62. Subcontracts went to 120 MBEs totaling \$1,563,778.79. 82 WBE subcontractors were awarded \$998,281.74.

The City makes efforts to promote minority participation in HOME assisted programs. We market programs to minority communities through the use of written material and community meetings.

We received a total of \$155,580.23 in HOME program income during the 2013-14 program year.

Please refer to the Program Year 2013 Summary of Accomplishments for additional information on HOME disbursements and unit completions.

## **HOMELESS**

### **Homeless Needs**

- 1. Identify actions taken to address needs of homeless persons.**
- 2. Identify actions to help homeless persons make the transition to permanent housing and independent living.**
- 3. Identify new Federal resources obtained from Homeless SuperNOFA.**

Program Year 4 CAPER Homeless Needs Response:

The City met the needs of people who are homeless through a variety of methods. Emergency Shelter Grant funds were utilized to address the needs of homeless individuals and families and to prevent homelessness. The City does not provide shelter or case management services directly, but contracts with several providers.

The City is involved in local oversight of other McKinney-Vento funds (which are not directly received) through its membership in the Rochester/Monroe County Continuum of Care (CoC) and the Homeless Services Network. The CoC is a multi-jurisdictional, community-wide group which oversees the local system to determine if the needs of the homeless are being met. The CoC continues to stress the need for permanent housing. Annually the CoC recommends allocation of HUD funds through a Super NOFA (Notice of Funding Availability), to consider proposals for additional permanent housing while maintaining existing successful shelters, Shelter Plus Care programs and supportive services.

The City and County pooled its resources during the 2014-15 fiscal year to further the recommendations included in the Homelessness Resolution Strategy Report of 2012. The recommendation of the report has been adopted by the City, County, and Service Providers as the model to follow.

Forty percent of the City and County pooled ESG funds were used for prevention and rapid rehousing efforts. The balance was used for essential services and also allowed for overflow beds to reduce the number of hotel beds.

### **Specific Homeless Prevention Elements**

- 1. Identify actions take to prevent homelessness.**

Program Year 4 CAPER Specific Homeless Prevention Elements Response:

The City of Rochester undertakes several actions to prevent homelessness. During the reporting period, specific preventive assistance included delinquent rental and utility payment assistance, security deposit

payments, and legal services for low and low-mod income households facing eviction. Tenant accountability training and eviction prevention services are also provided. The Housing Council in the Monroe County Area, Inc. provides foreclosure prevention services. The counseling and resolution service process includes household budget and income analysis as well as negotiation with mortgage lenders and other parties to arrive at appropriate resolutions. Resolutions include refinancing, negotiated repayments and sale. Legal services provided by the Empire Justice Center make possible mandated representation at settlement hearings and litigation.

### **Emergency Solutions Grant (ESG)**

- 1. Identify actions to address emergency shelter and transitional housing needs of homeless individuals and families (including significant subpopulations such as those living on the streets).**
- 2. Assessment of Relationship of ESG Funds to Goals and Objectives**
  - a. Evaluate progress made in using ESG funds to address homeless and homeless prevention needs, goals, and specific objectives established in the Consolidated Plan.**
  - b. Detail how ESG projects are related to implementation of comprehensive homeless prevention strategy, including the number and types of individuals and persons in households served with ESG funds.**
- 3. Matching Resources**
  - a. Provide specific sources and amounts of new funding used to meet match as required by 42 USC 11275(a)(1), including cash resources, grants, and staff salaries, as well as in-kind contributions such as the value of a building or lease, donated materials, or volunteer time.**
- 4. State Method of Distribution**
  - a. States must describe their method of distribution and how it rated and selected its local government agencies and private nonprofit organizations acting as sub recipients.**
- 5. Activity and Beneficiary Data**
  - a. Completion of attached Emergency Shelter Grant Program Performance Chart or other reports showing ESGP expenditures by type of activity. Also describe any problems in collecting, reporting, and evaluating the reliability of this information.**
  - b. Homeless Discharge Coordination-As part of the government developing and implementing a homeless discharge policy, ESG homeless prevention funds may be used to assist very-low income individuals and families at risk of becoming homeless after being released from publicly funded institutions such as health care facilities, foster care or other youth facilitates, or corrections institutions or programs.**
  - c. Explain how your government is instituting a homeless discharge coordination policy, and how ESG homeless prevention funds are being used in this effort.**

### **Program Year 4 CAPER ESG Response:**

The City takes several actions to address the needs of the homeless. The primary funding sources for these actions are the federal Emergency Shelter Grant Program (ESG) and Housing Opportunities for Persons with AIDS (HOPWA) which are administered by the Department of Neighborhood and Business Development.

Our funding allocations for 2013-14 accomplished five important objectives:

- 1) Coordinated Access development and implementation
- 2) Focusing more resources on Rapid Rehousing

- 3) Implementing a Diversion Strategy
- 4) Implementing additional strategies included in the Homelessness Resolution Strategy Study
- 5) Maximizing community resources

The Emergency Shelter Grant Program provides homeless persons with basic shelter and essential supportive services. It can assist with the operational costs of shelters and provide short-term homeless prevention assistance to persons at imminent risk of losing their housing due to eviction, foreclosure or utility shutoffs. ESG is a formula-based program that uses the CDBG formula as the basis for allocating funds to eligible jurisdictions.

The City of Rochester received \$571,885 under the ESG Program for 2013-14 activities. The program was designed to:

- Help meet the costs of operating emergency shelters.
- Provide certain essential services to homeless individuals so that these persons have access to safe and sanitary shelter as well as supportive services and other kinds of assistance they need to improve their situations.
- Restrict the increase of homelessness by linking to prevention programs and activities.
- Expanded Rapid Rehousing Services

Services included for the 2013-14 program year were:

- Beds and services targeted for the male population, especially those transitioning from other services.
- Beds and services targeted for homeless women and families with children, including pregnant older teens.
- Beds and services targeted for older homeless youth.

Our service providers have the specialty skills needed to deliver services which meet the needs of each of the subpopulations: individuals, families, Veterans, recovering substance abusers, chronically homeless, persons with HIV/AIDS, victims of domestic abuse, those leaving jail/prison and those at imminent risk of becoming homeless.

All projects are eligible under the regulations and are consistent with the Consolidated Community Development Plan and the Continuum of Care Plan.

All agencies have the capacity to adhere to the Emergency Shelter Grant regulations and provide the intended program within guidelines to the eligible population.

ESG funds were allocated to the following organizations to address the homeless that were described in the Consolidated Community Development Plan. The table provides an indication of the amount of funds allocated, planned and actual served, and a percent of total target achieved. This is the beginning of our transitioning to working with HMIS. The community's funded Heading Home program has been the predominant prevention and rapid re-housing service through July 2013. It is Heading Home that serves as the basic example or reference for continued community-approved funding for prevention and rapid re-housing.

Table 14  
Allocation Amounts and Number Served by Recipient

RECIPIENT	AMOUNT ALLOCATED	CONTRACTED/PLANNED NUMBER OF PEOPLE TO BE SERVED	ACTUAL NUMBER SERVED
Alternatives for Battered Women, Inc.	\$26,145	400	512
Catholic Family Center/ Francis Center	\$20,650	825	803
Catholic Family Center/ Scattered Sites	\$4,600	200	0-program did not proceed
CCSI	\$207,758	Planned number unknown	296
Dimitri House	\$16,290	42	19
Mercy Community Services	\$10,293	5	13
Recovery House	\$15,370	24	63
RAIHN (Rochester Area Interfaith Hospitality Network)	\$19,800	80	90
Spiritus Christi/Jennifer House	\$28,400	60	46
Spiritus Christi/ Nielsen House	\$12,400	72	61
The Center for Youth Services	\$38,536	265	215
Spiritus Christi/Prison Outreach	\$10,000	300	Included in count for Nielson and Jennifer
Salvation Army	\$27,850	450	456
Volunteers of America	\$44,750	660	641
Wilson Commencement Park	\$35,312	50	127
YWCA	\$55,620	300	358

The planned number served was 3,733. The number served by each organization was approximate. The actual number served by the program was 3,369 (unduplicated). This information is from HMIS and records for ABW (domestic violence organizations do not input participation information into HMIS). 6 of the projects funded exceeded their individual goal. Overall, the City achieved over 90% of the target

number. Many of the programs support emergency shelters that have set or increasing expenses. The costs of services are also impacted by flattened or decreasing funding. Thus maintaining both the number of beds and services is a challenge for the providers.

The City may use up to 5% of the grant for administrative costs. The administrative budget for the City for 2013-14 was \$42,891.

Agencies are required to provide a 100% match for Emergency Shelter Grant funding. In general, matching funds may include:

- Amount of funds from other sources;
- Salary paid to staff (not included in the award) to carry out the project of the recipient;
- Time contributed by volunteers (currently determined at the rate of \$5 per hour); and
- The value of any donated material or building, or of any lease, calculated using a reasonable method to establish a fair market value.

The following shows funds expended by category of eligible activity.

Table 15  
ESG Funds Expended

ELIGIBLE ACTIVITY	FUNDS EXPENDED
Shelter	\$253,164.79
Homelessness Prevention Activities	\$0
Rapid Rehousing Activities	\$0
Administration	\$0
Total	\$253,164.79

Processing of additional invoices for ESG activities that occurred during the 2013 program year is underway.

Discharge Coordination Policies have been developed and implemented by the Homeless Services Network (HSN) and the Continuum of Care (CoC), groups which include representatives from the City. Through the common issues committees work with the Homeless Services Network. Community-wide plans to prevent homelessness upon discharge have been developed, and staff at the discharging facilities is aware of the need to make appropriate arrangements for departing individuals. Specific planning has occurred for youth, for those leaving prison/jail, for those with mental health issues, and for those leaving hospitals. The HSN Special Needs Population Committee will work with other institutions, i.e., inpatient rehab programs, other residential programs, to develop similar discharge protocols.

**COMMUNITY DEVELOPMENT**

**Community Development**

1. **Assessment of Relationship of CDBG Funds to Goals and Objectives**
  - a. **Assess use of CDBG funds in relation to the priorities, needs, goals, and specific objectives in the Consolidated Plan, particularly the highest priority activities.**

- b. Evaluate progress made toward meeting goals for providing affordable housing using CDBG funds, including the number and types of households served.
  - c. Indicate the extent to which CDBG funds were used for activities that benefitted extremely low-income, low income, and moderate-income persons.
- 2. Changes in Program Objectives
  - a. Identify the nature of and the reasons for any changes in program objectives and how the jurisdiction would change its program as a result of its experiences.
- 3. Assessment of Efforts in Carrying Out Planned Actions
  - a. Indicate how grantee pursued all resources indicated in the Consolidated Plan.
  - b. Indicate how grantee provided certifications of consistency in a fair and impartial manner.
  - c. Indicate how grantee did not hinder Consolidated Plan Implementation by action or willful inaction.
- 4. For Funds Not Used for National Objectives
  - a. Indicate how use of CDBG funds did not meet national objectives.
  - b. Indicate how it did not comply with overall benefit certification.
- 5. Anti-displacement and Relocation—for activities that involve acquisition, rehabilitation or demolition of occupied real property
  - a. Describe steps actually taken to minimize the amount of displacement resulting from the CDBG-assisted activities.
  - b. Describe steps taken to identify households, businesses, farms or nonprofit organizations who occupied properties subject to the Uniform Relocation Act or Section 104(d) of the Housing and Community Development Act of 1974, as amended, and whether or not they were displaced, and the nature of their needs and preferences.
  - c. Describe steps taken to ensure the timely issuance of information notices to displaced households, businesses, farms, or nonprofit organizations.
- 6. Low/Mod Job Activities—for economic development activities undertaken where jobs were made available but not taken by low- or moderate-income persons
  - a. Describe actions taken by grantee and businesses to ensure first consideration was or will be given to low/mod persons.
  - b. List by job title of all the permanent jobs created/retained and those that were made available to low/mod persons.
  - c. If any of jobs claimed as being available to low/mod persons require special skill, work experience, or education, provide a description of steps being taken or that will be taken to provide such skills, experience, or education.
- 7. Low/Mod Limited Clientele Activities—for activities not falling within one of the categories of presumed limited clientele low and moderate income benefit
  - a. Describe how the nature, location, or other information demonstrates the activities benefit a limited clientele at least 51% of whom are low- and moderate-income.
- 8. Program Income received
  - a. Detail the amount of program income reported that was returned to each individual revolving fund, e.g., housing rehabilitation, economic development, or other type of revolving fund.
  - b. Detail the amount repaid on each float-funded activity.
  - c. Detail all other loan repayments broken down by categories of housing rehabilitation, economic development, or other.
  - d. Detail the amount of income received from the sale of property by parcel.

9. **Prior period adjustments—where reimbursement was made this reporting period for expenditures (made in previous reporting periods) that have been disallowed provide the following information:**
  - a. **The activity name and number as shown in IDIS;**
  - b. **The program year(s) in which the expenditure(s) for the disallowed activity(ies) was reported;**
  - c. **The amount returned to line-of-credit or program account; and**
  - d. **Total amount to be reimbursed and the time period over which the reimbursement is to be made, if the reimbursement is made with multi-year payments.**
10. **Loans and other receivables**
  - a. **List the principal balance for each float-funded activity outstanding as of the end of the reporting period and the date(s) by which the funds are expected to be received.**
  - b. **List the total number of other loans outstanding and the principal balance owed as of the end of the reporting period.**
  - c. **List separately the total number of outstanding loans that are deferred or forgivable, the principal balance owed as of the end of the reporting period, and the terms of the deferral or forgiveness.**
  - d. **Detail the total number and amount of loans made with CDBG funds that have gone into default and for which the balance was forgiven or written off during the reporting period.**
  - e. **Provide a list of the parcels of property owned by the grantee or its sub recipients that have been acquired or improved using CDBG funds and that are available for sale as of the end of the reporting period.**
11. **Lump sum agreements**
  - a. **Provide the name of the financial institution.**
  - b. **Provide the date the funds were deposited.**
  - c. **Provide the date the use of funds commenced.**
  - d. **Provide the percentage of funds disbursed within 180 days of deposit in the institution.**
12. **Housing Rehabilitation—for each type of rehabilitation program for which projects/units were reported as completed during the program year**
  - a. **Identify the type of program and number of projects/units completed for each program.**
  - b. **Provide the total CDBG funds involved in the program.**
  - c. **Detail other public and private funds involved in the project.**
13. **Neighborhood Revitalization Strategies—for grantees that have HUD-approved neighborhood revitalization strategies**
  - a. **Describe progress against benchmarks for the program year. For grantees with Federally-designated EZs or ECs that received HUD approval for a neighborhood revitalization strategy, reports that are required as part of the EZ/EC process shall suffice for purposes of reporting progress.**

Program Year 4 CAPER Community Development Response:

Community Development Block Grant funds may be used to carry out a wide range of community and economic development activities specifically authorized by the Housing and Community Development Act. All assisted projects, with the exception of planning and administration, must address one of the three national objectives of the program: benefit low and moderate income persons; prevent or eliminate slums and blight; or when no other financial resources are available, meet other community development needs that are particularly urgent because existing conditions pose a serious and immediate threat to the health and welfare of the community.

Activities that can be carried out with CDBG funds include, but are not limited to: acquisition of real property, relocation, demolition, rehabilitation of residential and non-residential structures, and the construction of public facilities and improvements such as water and sewer facilities, streets, and neighborhood centers. In addition, CDBG funds may be used to pay for public services within certain limits and for activities relating to energy conservation and renewable resources. Communities may also provide assistance to businesses to carry out economic development activities.

The types of activities that are generally considered ineligible include the construction or rehabilitation of buildings for the general conduct of government, political activities, and certain income payments.

The following table provides an indication of CDBG expenditures (entitlement and HUD 108) by activity group. This chart includes funds disbursed for activities underway as well as activities that were completed.

Table 16  
CDBG Expenditures by Activity Group

ACTIVITY GROUP	AMOUNT
Economic Development	\$5,162,078.27
Housing	\$2,124,275.90
Public Facilities/Improvements	\$1,943,544.54
Public Services	\$822,668.30
Planning and Administration	\$840,213.64
Acquisition	\$0
Total	\$10,892,780.65

The amount for economic development includes \$3,415,000 in funds from Section 108 loan.

HUD has established accomplishment types for CDBG activities so that units of accomplishment may be reported. The following table provides an indication of our accomplishment by priority need. The percent of target achieved is also shown.

Table 17  
CDBG Accomplishment by Priority Need and Accomplishment Type

PRIORITY NEED	OUTCOME	PERFORMANCE MEASURE	YEAR	EXPECTED	ACTUAL	PERCENT ACHIEVED
Economic Development	Availability/ Accessibility of Economic Opportunity	Businesses	2010-11	106	29	27%
			2011-12	123	63	51%
			2012-13	119	79	66%
			2013-14	80	103	129%
			2014-15			
		Five Year Goal				
Economic Development	Availability/ Accessibility of Economic Opportunity	Jobs	2010-11	57	182	319%
			2011-12	75	67	89%
			2012-13	87	125	143%
			2013-14	50	485	970%
			2014-15			
		Five Year Goal				
Owner and Rental Housing	Affordability of Decent Housing	Housing Units/Households	2010-11	290	349	120%
			2011-12	639	944	147%
			2012-13	639	566	89%
			2013-14	360	358	99%
			2014-15			
		Five Year Goal				
Owner and Rental Housing	Affordability of Decent Housing	People	2010-11	690	700	101%
			2011-12	725	116	16%
			2012-13	725	663	91%
			2013-14	720	1227	170%
			2014-15			
		Five Year Goal				
Public Services	Availability/ Accessibility of Suitable Living Environment	People	2010-11	244	1410	577%
			2011-12	202	112023*	5545%
			2012-13	422	82722*	1960%
			2013-14	512	88017*	1718%
			2014-15			
		Five Year Goal				
Public Facilities/ Infrastructure	Availability/ Accessibility of Suitable Living Environment	Number of Improvements	2010-11	TBD	0	--
			2011-12	TBD	3	
			2012-13	TBD	3	
			2013-14	1	5	500%
			2014-15			
		Five Year Goal				

\*Plan indicated 512 for youth service activities but did not include all that benefit as a result of public improvement projects (as some were to be determined). Actual includes both.

The following table provides an indication of the extent CDBG entitlement funds were used on activities that benefit low and moderate income persons. All funds were used for national objectives.

Table 18  
Expenditures for Low and Moderate Income Activities

TYPE	EXPENDITURES
Low/Area	\$2,612,958.54
Low/Mod Limited Clientele	\$320,027.26
Low/Mod Housing	\$902,150.59
Low/Mod Jobs	\$1,636,430.62
Total	\$5,471,567.01

In addition, the City spent \$3,415,000 in HUD 108 funds for Low/Mod Jobs.

The City of Rochester made 7 changes to the Consolidated Community Development Plan during the reporting period. These changes included budget transfers and new activities. The needs and priorities identified in the plan were the basis for allocating funds during the reporting period.

Summary of Amendments to the Consolidated Plan

Ordinance Number	Summary
2013-234	Amending the 2013-14 Consolidated Community Development Annual Action Plan
2013-240	Authorizing an amendatory 2010-11 Community Development Program Plan for the Midtown Tower Project
2013-314	Amending Ordinance 2011-322 and the 2011-12 Community Development Program and authorizing agreements for the Targeted Rehabilitation Program
2014-126	Authorizing amendatory Community Development Program Plans

We pursued all resources outlined in the plan. Sources of additional funding included:

- City of Rochester Operating and Capital Budgets
- HUD Lead Hazard Control Grant Program
- Section 8 Rental Assistance Program
- Low Income Housing Tax Credit
- McKinney-Vento Homeless Assistance Program
- Rochester Housing Authority
- NYS Housing Finance Agency
- NYS Affordable Housing Corporation
- NYS Housing Trust Fund
- Various private foundations, corporations and individuals
- Operating budgets of organizations receiving funds

The City periodically receives requests for a Certification of Consistency with the Consolidated Community Development Plan. Organizations seeking a certification are not discriminated against on any basis and certifications are provided in a fair and impartial manner.

The City maintains an open and transparent process for the implementation of the Consolidated Plan. There were no actions or willful inactions that hindered the implementation of plan activities.

The City allocates HOME funding to a variety of rental activities designed to increase the supply of rental housing that is affordable to households below 60% of the area median family income. The following are examples of this.

Son House involves the construction of 21 new residential units for formerly homeless individuals (11 of which are HOME assisted units). The total cost of the project is \$4,027,546. It is being funded by NYS tax credits, Federal Home Loan Bank and the City. The City is providing \$105,000 construction/permanent loan and a PILOT.

The City continues to improve its tracking system for low/mod jobs that result from loans and grants. Hiring targeted low/mod city residents is a priority for the City. We continue our relationship with Rochester Business Alliance and RochesterWorks! to ensure that the businesses we work with have a resource to find qualified low/mod city residents to fill the jobs they create.

We are always seeking innovative ways to work with neighborhood organizations and businesses in order to match residents with business employment needs.

The City of Rochester works with local firms to increase the numbers of jobs retained and created in the community, seeking innovative ways to work with neighborhood organizations and businesses in order to match city residents with available employment opportunities.

An ongoing focus is small area development projects. We work to engage neighborhood residents, business owners, and business associations in the implementation of programs that will improve the success and opportunities of neighborhood commercial areas. We provide matching funds for façade improvements on selected neighborhood commercial corridors after a planning process that involves a broad-based visioning process, analysis of existing conditions, market feasibility analysis and revitalization plans for commercial corridors.

Recipients of loans or grants funded with CDBG sign a Hiring Preference Agreement prior to receiving funds which requires the borrower/grantee to give first priority to LMI persons for at least 51% of the new jobs projected to be created by virtue of the project. All commitment letters for CDBG funded assistance require that the borrower report on an annual basis the number and other details on the new jobs created during the year. Annual reporting on jobs continues until the goals have been met; all jobs data is entered into the HUD monitoring system.

Many public service activities are classified as limited clientele activities without personal records or presumptive benefits. This is permitted because 65.6% of city residents are considered low and moderate income.

Program Income activity is summarized as follows:

Repayments are broken down by the categories of housing rehabilitation and economic development:

Proceeds from the Sale/Rental of Land	\$	0
Housing Programs	\$	130,446
Economic Development Programs	\$	391,339
Urban Renewal	\$	25,000
Section 108 Repayments	\$	0

Loans and other receivable activity are summarized as follows:

Principal balances for each float-funded activity outstanding as of the end of the reporting period and the date(s) by which the funds are expected to be received:

Sibley Building                \$1,500,000        Date: 8/1998 (Delinquent)

Total number of loans outstanding and principal balance owed as of the end of the reporting period:

3 Section 108 Loans	\$12,583,924
39 Housing Rehabilitation Loans	\$5,276,013
11 Rental Rehabilitation Loans	\$61,481
35 Economic Development Loans	\$8,198,884

Total number and amount of loans made with CDBG funds that have gone into default and for which the balance was forgiven or written off during the reporting period: 0

There were 4 lump sum agreements.

The following rehabilitation program summary provides an indication of projects/units underway and completed and funds expended by program type.

Table 19  
Rehabilitation Program Summary

PROGRAM TYPE	PROJECTS/UNITS UNDERWAY OR COMPLETED	FUNDS EXPENDED
Single Unit Residential	94	\$341,820.12
Multi-Unit Residential	7	\$19,075.48
Energy Efficiency Improvements	1	\$0
Rehabilitation Administration	15	\$1,387,989.11
Lead-Based Paint	281	\$375,391.19
Total	398	\$2,124,275.90

## **Antipoverty Strategy**

- 1. Describe actions taken during the last year to reduce the number of persons living below the poverty level.**

Program Year 4 CAPER Antipoverty Strategy Response:

The activities funded by the Consolidated Community Development Plan alone cannot address and resolve poverty. The housing programs can be used to mitigate the degree of poverty by reducing housing costs and preventing homelessness while creating and retaining jobs. Assisted economic development activities resulted in the creation or retention of 485 jobs in 2013-14. The City's housing programs are designed to create more affordable housing units, assist low-income homeowners maintain their homes, produce more affordable rental units and prevent homelessness.

The fundamental solution to reducing poverty is increasing household income. The City lobbies state and federal bodies to allocate more funding for economic development programs to bring job creation and training to qualified residents for higher paying jobs.

The City, County and the Rochester Housing Authority are working collaboratively to develop a Section 3 Plan that will assist in securing jobs for Section 3 eligible residents. To date, a draft plan is under review and it is anticipated that it will receive full approval by each party soon. The City is also seeking to increase its efforts toward workforce development in order for unemployed workers to be matched with meaningful employment.

Several of the City's housing programs are effectively used to mitigate poverty by reducing housing costs and preventing homelessness, i.e. Rental Housing Development Fund, Foreclosure Prevention, Rehab Rochester, and the Emergency Assistance Rehabilitation Program. These programs are designed to develop affordable rental units, assist low income homeowners in maintaining their homes and preserving owner-occupancy (and thus preventing homelessness) through financial assistance. Each of these programs provided assistance during the reporting period.

## **NON-HOMELESS SPECIAL NEEDS**

### **Non-Homeless Special Needs**

- 1. Identify actions taken to address special needs of persons that are not homeless but require supportive housing (including persons with HIV/AIDS and their families).**

Program Year 4 CAPER Non-Homeless Special Needs Response:

The City of Rochester received HOPWA funds in the amount of \$657,405 for the 2013-14 program year. Funding was allocated to two organizations for rental assistance activities.

### **Specific HOPWA Objectives**

- 1. Overall Assessment of Relationship of HOPWA Funds to Goals and Objectives**

Grantees should demonstrate through the CAPER and related IDIS reports the progress they are making at accomplishing identified goals and objectives with HOPWA funding. Grantees should demonstrate:

- a. That progress is being made toward meeting the HOPWA goal for providing affordable housing using HOPWA funds and other resources for persons with HIV/AIDS and their families through a comprehensive community plan;
  - b. That community-wide HIV/AIDS housing strategies are meeting HUD's national goal of increasing the availability of decent, safe, and affordable housing for low-income persons living with HIV/AIDS;
  - c. That community partnerships between State and local governments and community-based nonprofits are creating models and innovative strategies to serve the housing and related supportive service needs of persons living with HIV/AIDS and their families;
  - d. That through community-wide strategies Federal, State, local, and other resources are matched with HOPWA funding to create comprehensive housing strategies;
  - e. That community strategies produce and support actual units of housing for persons living with HIV/AIDS; and finally,
  - f. That community strategies identify and supply related supportive services in conjunction with housing to ensure the needs of persons living with HIV/AIDS and their families are met.
2. This should be accomplished by providing an executive summary (1-5 pages) that includes:
- a. Grantee Narrative
    - Grantee and Community Overview
      1. A brief description of your organization, the area of service, the name of each project sponsor and a broad overview of the range/type of housing activities and related services.
      2. How grant management oversight of project sponsor activities is conducted and how project sponsors are selected.
      3. A description of the local jurisdiction, its need, and the estimated number of persons living with HIV/AIDS.
      4. A brief description of the planning and public consultations involved in the use of HOPWA funds including reference to any appropriate planning document or advisory body.
      5. What other resources or materials provided by volunteers or by other individuals or organizations.
      6. Collaborative efforts with related programs including coordination and planning with clients, advocates, Ryan White CARE Act planning bodies, AIDS Drug Assistance Programs, homeless assistance programs, or efforts that assist persons living with HIV/AIDS and their families.
    - Project Accomplishment Overview
      1. A brief summary of all housing activities broken down by three types: emergency or short-term rent, mortgage or utility payments to prevent homelessness; rental assistance; facility based housing, including development cost, operating cost for those facilities and community residences
      2. The number of units of housing which have been created through acquisition, rehabilitation, or new construction since 1993 with any HOPWA funds.
      3. A brief description of any unique supportive service or other service delivery models or efforts.

4. Any other accomplishments recognized in your community due to the use of HOPWA funds, including any projects in developmental stages that are not operational.
    - Barriers or Trends Overview
      1. Describe any barriers encountered, actions in response to barriers, and recommendations for program improvement.
      2. Trends you expect your community to face in meeting the needs of persons with HIV/AIDS, and
      3. Any other information you feel may be important as you look at providing services to persons with HIV/AIDS in the next 5-10 years.
- b. Accomplishment Data
1. Completion of CAPER Performance Chart 1 of Actual Performance in the Provision of Housing (Table II-1 to be submitted with CAPER).
  2. Completion of CAPER Performance Chart 2 of Comparison to Planned Housing Actions (Table II-2 to be submitted with CAPER).

Program Year 4 CAPER Specific HOPWA Objective Response:

The Housing Opportunities for Persons with AIDS (HOPWA) Program was established to address the specific needs of persons living with HIV/AIDS and their families. HUD makes HOPWA grants to local communities, States and non-profit organizations for projects that benefit low income persons medically diagnosed with HIV/AIDS and their families.

HUD distributes HOPWA funds using a statutory formula that relies on AIDS statistics (cumulative AIDS cases and area incidence) from the Centers for Disease Control and Prevention. HOPWA grantees are encouraged to develop community-wide strategies and form partnerships with area non-profit organizations. HOPWA funds may be used for a wide range of housing, social services, program planning, and development costs.

The City of Rochester received \$657,405 in HOPWA funds for 2013-14. There was a small balance from prior years that was also allocated. Funding was allocated to two organizations as follows:

Table 20  
HOPWA Funding Allocations by Agency

AGENCY	AMOUNT
Trillium (AC Center Inc. d/b/a AIDS Care)	\$395,535
Catholic Charities Community Services	\$269,938

\$19,710 was earmarked for program administration.

AC Center is now known as Trillium Health.

HUD'S award to the City provides that the HOPWA service area include Livingston, Monroe, Orleans, Ontario, and Wayne counties. Trillium, formerly AC Center Inc. d/b/a AIDS Care has the capacity to deliver services throughout the area. Approximately 90-95% of the participants reside within Rochester city limits.

Both sponsors focused specifically on housing case work to develop the appropriate housing placement and financial supports needed for placing or keeping participants in stable housing. The planned number of households to be served was 190. The actual number served was 134. Both agencies experienced changes in demand compared to the original estimates. We have been overly optimistic in our expectation that economic conditions would improve enough to provide more employment or financial resources for the individuals and households served. In particular, AIDS Care found that expected service goals (based on factors including higher levels of funding than in the past) had not taken into account the changing nature of demands, therefore budget modification was necessary. Maintaining commitments to TBRA households will lead to budgeting fewer resources for STRMU. Both sponsors report that there are waiting lists for assistance.

The sponsors' first priority is to assure funds for TBRA participants. As stated above, patterns of decreasing employment, stagnation in income supports, increasing rents/utility expenses, and improved life expectancy have factored into the burden on TBRA funds.

Both agencies provide housing services for participants and their families. These services include Permanent Housing Placement, rent and utility assistance, work with landlords, and referrals to appropriate housing. These agencies have close working relationships with each other, the medical community, Rochester Housing Authority (Rochester Area PHA), companies managing low-income housing, and community based organizations. The sponsors' networking makes it possible to devote HOPWA funds to housing support. In the 2012-13 program year, the City specified that sponsors continue to interact with the Rochester/Monroe County Continuum of Care and in the community's Homeless Services Network (network of providers including but not limited to recipients of Federal funds for the homeless).

The participants may have multiple conditions or issues including mental illness or substance abuse. For the participants who have drug/alcohol relapses and/or mental health crisis, it is often difficult to keep them stably housed. Participants with histories of incarceration face an additional barrier to placement in affordable housing. Poor credit is an ongoing issue for some participants and may prevent them from finding adequate housing, especially in the suburbs.

Housing choice often becomes limited to the city where there are more affordable rents. The agencies work with landlords, making site visits to explain the program (without disclosing the participant's health status), and to ensure that the housing is adequate.

Both sponsors are experienced in utilizing the community's service provider network. As examples: participants are linked to employment readiness, rehabilitation programs for outpatients, and education (GED preparation) and training. Trillium (AIDS Care) provides an extensive array of services including medical, pharmacy, and counseling. Working to maximize the support, both agencies work with participants for applications for other sources of benefits including Section 8. The process is long but leads to affordable permanent housing.

Finding affordable housing and assuring the resources to maintain stability continue to challenge the sponsors and participants. The economic downturn is a significant factor. Jobs lost to the area are not being replaced and participants find it more difficult to secure employment. Notably, Rochester is the site for a pilot program that addresses the benefits of employment and housing stability. Effective January 2012, Cares, Inc., (Albany, NY-based) in concert with Catholic Charities Community Services was awarded one of HUD/HOPWA's 9 pilot initiative grants. The program, Foundations for Living, will

continue through 2015. Foundations for Living links participants to housing units and employment readiness/placement services. Experience with Foundations for Living may provide a specific model that could well become a model for the nation.





Office of Community Planning and Development  
U.S. Department of Housing and Urban Development  
Integrated Disbursement and Information System  
PR26 - CDBG Financial Summary Report  
Program Year 2013  
ROCHESTER, NY

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TIME: 9:28  
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**PART I: SUMMARY OF CDBG RESOURCES**

01 UNEXPENDED CDBG FUNDS AT END OF PREVIOUS PROGRAM YEAR	15,489,787.47
02 ENTITLEMENT GRANT	8,198,055.00
03 SURPLUS URBAN RENEWAL	0.00
04 SECTION 108 GUARANTEED LOAN FUNDS	0.00
05 CURRENT YEAR PROGRAM INCOME	1,178,249.66
05a CURRENT YEAR SECTION 108 PROGRAM INCOME (FOR SI TYPE)	0.00
06 RETURNS	0.00
07 ADJUSTMENT TO COMPUTE TOTAL AVAILABLE	(117,686.24)
08 TOTAL AVAILABLE (SUM, LINES 01-07)	24,748,405.89

**PART II: SUMMARY OF CDBG EXPENDITURES**

09 DISBURSEMENTS OTHER THAN SECTION 108 REPAYMENTS AND PLANNING/ADMINISTRATION	10,052,567.01
10 ADJUSTMENT TO COMPUTE TOTAL AMOUNT SUBJECT TO LOW/MOD BENEFIT	3,415,000.00
11 AMOUNT SUBJECT TO LOW/MOD BENEFIT (LINE 09 + LINE 10)	13,467,567.01
12 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	840,213.64
13 DISBURSED IN IDIS FOR SECTION 108 REPAYMENTS	0.00
14 ADJUSTMENT TO COMPUTE TOTAL EXPENDITURES	0.00
15 TOTAL EXPENDITURES (SUM, LINES 11-14)	14,307,780.65
16 UNEXPENDED BALANCE (LINE 08 - LINE 15)	10,440,625.24

**PART III: LOWMOD BENEFIT THIS REPORTING PERIOD**

17 EXPENDED FOR LOW/MOD HOUSING IN SPECIAL AREAS	0.00
18 EXPENDED FOR LOW/MOD MULTI-UNIT HOUSING	902,150.59
19 DISBURSED FOR OTHER LOW/MOD ACTIVITIES	8,709,529.18
20 ADJUSTMENT TO COMPUTE TOTAL LOW/MOD CREDIT	0.00
21 TOTAL LOW/MOD CREDIT (SUM, LINES 17-20)	9,611,679.77
22 PERCENT LOW/MOD CREDIT (LINE 21/LINE 11)	71.37%

**LOW/MOD BENEFIT FOR MULTI-YEAR CERTIFICATIONS**

23 PROGRAM YEARS(PY) COVERED IN CERTIFICATION	PY: 2013 PY: 2014 PY: 2015
24 CUMULATIVE NET EXPENDITURES SUBJECT TO LOW/MOD BENEFIT CALCULATION	10,052,567.01
25 CUMULATIVE EXPENDITURES BENEFITTING LOW/MOD PERSONS	8,886,567.01
26 PERCENT BENEFIT TO LOW/MOD PERSONS (LINE 25/LINE 24)	88.40%

**PART IV: PUBLIC SERVICE (PS) CAP CALCULATIONS**

27 DISBURSED IN IDIS FOR PUBLIC SERVICES	822,668.30
28 PS UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	332,885.14
29 PS UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	66,273.57
30 ADJUSTMENT TO COMPUTE TOTAL PS OBLIGATIONS	0.00
31 TOTAL PS OBLIGATIONS (LINE 27 + LINE 28 - LINE 29 + LINE 30)	1,089,279.87
32 ENTITLEMENT GRANT	8,198,055.00
33 PRIOR YEAR PROGRAM INCOME	875,762.08
34 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PS CAP	0.00
35 TOTAL SUBJECT TO PS CAP (SUM, LINES 32-34)	9,073,817.08
36 PERCENT FUNDS OBLIGATED FOR PS ACTIVITIES (LINE 31/LINE 35)	12.00%

**PART V: PLANNING AND ADMINISTRATION (PA) CAP**

37 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	840,213.64
38 PA UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	137,550.66
39 PA UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	29,200.00
40 ADJUSTMENT TO COMPUTE TOTAL PA OBLIGATIONS	0.00
41 TOTAL PA OBLIGATIONS (LINE 37 + LINE 38 - LINE 39 +LINE 40)	948,564.30
42 ENTITLEMENT GRANT	8,198,055.00
43 CURRENT YEAR PROGRAM INCOME	1,178,249.66
44 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PA CAP	0.00
45 TOTAL SUBJECT TO PA CAP (SUM, LINES 42-44)	9,376,304.66
46 PERCENT FUNDS OBLIGATED FOR PA ACTIVITIES (LINE 41/LINE 45)	10.12%



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**LINE 17 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 17**  
 Report returned no data.

**LINE 18 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 18**

Plan Year	IDIS Project	IDIS Activity	Activity Name	Matrix Code	National Objective	Drawn Amount
2013	4	10921	292 Scio St	14B	LMH	\$2,387.00
2011	5	10798	1004-1006 Avenue D	14I	LMH	\$690.00
2011	5	10800	68 Valois St	14I	LMH	\$690.00
2011	5	10890	342 Lexington Ave	14I	LMH	\$830.00
2012	6	10100	396 Ravine Ave	14I	LMH	\$3,388.80
2012	6	10135	858 Jay St	14I	LMH	\$7,425.00
2012	6	10137	474 Ames St	14I	LMH	\$1,713.14
2012	6	10173	1046 Arnett Blvd	14I	LMH	\$2,240.26
2012	6	10177	116-118 York Street	14I	LMH	\$4,253.40
2012	6	10338	344 Bay St	14I	LMH	\$2,872.04
2012	6	10340	151-153 Melrose St	14I	LMH	\$5,666.54
2012	6	10341	125 Dorset	14I	LMH	\$4,468.80
2012	6	10466	74 Reynolds St	14I	LMH	\$72,456.00
2012	6	10468	6 Caffery Place	14I	LMH	\$11,375.00
2012	6	10598	1381 Dewey Ave	14I	LMH	\$10,256.90
2012	6	10610	741 Portland Ave	14I	LMH	\$3,953.40
2012	6	10659	112 Lyell Avenue	14I	LMH	\$2,587.04
2012	6	10690	600 Grand Ave	14I	LMH	\$650.00
2012	6	10707	72 Kron St	14I	LMH	\$650.00
2012	6	10709	42 Second St	14I	LMH	\$650.00
2012	6	10722	142 Woodbine Ave	14I	LMH	\$650.00
2012	6	10743	246 Lyndhurst St	14I	LMH	\$830.00
2012	6	10753	618-624 Garson Ave	14I	LMH	\$950.00
2012	6	10754	21-21.5 Clifton St	14I	LMH	\$650.00
2012	6	10757	296 Scio Street	14I	LMH	\$830.00
2012	6	10760	53-55 Pinnacle Road	14I	LMH	\$650.00
2012	6	10761	146 Delamaine Dr	14I	LMH	\$650.00
2012	6	10769	711 Bay St	14I	LMH	\$830.00
2012	6	10772	46-48 Pioneer St	14I	LMH	\$830.00
2012	6	10773	42 Rosewood Terr	14I	LMH	\$830.00
2012	6	10776	49 Fifth St	14I	LMH	\$4,526.03
2012	6	10777	27-29 Wellesley St	14I	LMH	\$830.00
2012	6	10802	249-251 Hazelwood Terr	14I	LMH	\$650.00
2012	6	10834	196-198 Shelter St	14I	LMH	\$650.00
2012	6	10847	774-776 University Ave	14I	LMH	\$650.00
2009	8	9687	19-21 Rosewood Terr	14I	LMH	\$5,150.00
2009	8	9739	275-277 Lyndhurst St	14I	LMH	\$300.00
2008	10	10920	15 Rosewood Terr	14B	LMH	\$15,108.48
2011	10	10927	179-181 Oriole St	14B	LMH	\$750.00
2011	10	10929	183 N Union St	14B	LMH	\$830.00
2011	10	10976	101 Woodward St	14I	LMH	\$690.00
<b>Total</b>						<b>\$177,037.83</b>

**LINE 19 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 19**

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2004	11	10388	5635817	Alanax Mini Mart - 1149-1151 Portland Ave	18A	LMA	\$33,708.89
2004	143	8152	5622717	CGI COMMUNICATIONS	18A	LMJP	\$29,225.83
2005	6	10796	5641416	Great Wang Chinese Restaurant, Inc.	18A	LMJ	\$25,250.00
2005	6	10796	5702961	Great Wang Chinese Restaurant, Inc.	18A	LMJ	\$11,800.00



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Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2006	99	10749	5665028	The University of Rochester	03R	LMJP	\$62,000.00
2007	7	10058	5623046	Baker's Delight d/b/a Cheesy Eddie's	18A	LMJP	\$220.55
2007	7	10058	5638126	Baker's Delight d/b/a Cheesy Eddie's	18A	LMJP	\$779.46
2007	7	10129	5613982	Snidermans Hardware	18A	LMA	\$2,245.73
2007	7	10129	5623041	Snidermans Hardware	18A	LMA	\$138.00
2007	7	10129	5633047	Snidermans Hardware	18A	LMA	\$138.00
2007	7	10129	5638128	Snidermans Hardware	18A	LMA	\$138.00
2007	7	10129	5651107	Snidermans Hardware	18A	LMA	\$138.00
2007	7	10163	5629878	Panzarella Physical Therapy	18A	LMA	\$486.12
2007	7	10163	5685113	Panzarella Physical Therapy	18A	LMA	\$287.86
2007	7	10163	5694514	Panzarella Physical Therapy	18A	LMA	\$336.15
2007	7	10577	5623044	O'Callaghan's Pub	18A	LMA	\$1,075.00
2007	7	10618	5623029	Exercise Express, LLC	18C	LMJP	\$4,829.15
2007	7	10618	5650862	Exercise Express, LLC	18C	LMJP	\$313.78
2007	20	9563	5663780	117 Weld St	14I	LMH	\$1,100.00
2008	1	10642	5660728	Caring and Sharing Child Care Center, Inc.	18A	LMJP	\$50,000.00
2008	3	10160	5642086	Highland Contractors of Western NY, Inc.	18A	LMA	\$2,390.40
2008	3	10201	5625981	Neighborhood of the Arts Business Association - PS	05	LMA	\$180.00
2008	3	10201	5643319	Neighborhood of the Arts Business Association - PS	05	LMA	\$337.50
2008	3	10202	5625981	Neighborhood of the Arts Business Association - PI	03	LMA	\$776.35
2008	3	10487	5624826	LORRAINE'S FOOD FACTORY	18A	LMA	\$4,921.46
2008	3	10850	5689930	Mt Hope Business Association	05	LMA	\$524.88
2008	10	10787	5650859	24 Ligne LLC dba Black Button Distilling	18A	LMJP	\$40,000.00
2008	10	10816	5646714	18 Broezel St	14A	LMH	\$9,300.00
2008	10	10816	5651041	18 Broezel St	14A	LMH	\$15,650.00
2008	10	10816	5696732	18 Broezel St	14A	LMH	\$24,870.00
2008	60	10599	5675717	Parent Leadership Training Institute	05	LMC	\$10,000.00
2009	3	9111	5634702	REVOLVING LOAN FUND PROGRAM	14A	LMH	\$92,300.00
2009	8	10595	5691638	Rochester's Youth Year Program - U of R	05D	LMC	\$7,000.00
2009	8	10596	5691641	Urban Fellows Program - U of R	05D	LMC	\$1,000.00
2009	8	10734	5618439	City Youth Art Squad	05D	LMC	\$1,595.25
2009	8	10734	5619157	City Youth Art Squad	05D	LMC	\$4,802.12
2009	8	10734	5624234	City Youth Art Squad	05D	LMC	\$508.75
2009	8	10734	5630969	City Youth Art Squad	05D	LMC	\$1,509.20
2009	8	10734	5631031	City Youth Art Squad	05D	LMC	\$130.32
2009	8	10734	5633032	City Youth Art Squad	05D	LMC	\$321.77
2009	8	10734	5635828	City Youth Art Squad	05D	LMC	\$443.25
2009	8	10734	5635829	City Youth Art Squad	05D	LMC	\$617.40
2009	8	10734	5637208	City Youth Art Squad	05D	LMC	\$3,050.05
2009	8	10734	5644427	City Youth Art Squad	05D	LMC	\$3,641.83
2009	8	10734	5693583	City Youth Art Squad	05D	LMC	\$46,427.55
2009	8	10740	5695347	Citizen U Program - FY 13-14	05D	LMC	\$26,368.95
2010	1	10396	5654967	El Sabor De La Isla	18A	LMJP	\$27,136.00
2010	1	10396	5658070	El Sabor De La Isla	18A	LMJP	\$2,864.00
2010	1	10643	5631221	El Pilon Criollo Corp.	18A	LMJP	\$20,000.00
2010	3	10007	5624836	ACTION FOR A BETTER COMMUNITY - STREET MANAGER	05	LMA	\$17,124.68
2010	3	10392	5613432	Espada, LLC dba Espada Brazilian Steak	18A	LMA	\$1,453.25
2010	3	10779	5642090	HOLLEY'S CAFE & CATERING	18A	LMA	\$1,150.00
2010	3	10828	5699480	Aratari Auto Finishers	18A	LMA	\$2,439.86
2010	3	10851	5672227	Callan-Harris Physical Therapy	18A	LMA	\$6,096.85
2010	5	10794	5634745	Neighborworks RLF- YR 10-11	14A	LMH	\$7,040.00
2010	7	9722	5632111	147 Roxborough Rd	14I	LMH	\$1,581.36
2010	14	10696	5642108	Rochester After School Acedemy at School #19	05D	LMC	\$6,000.00
2010	14	10696	5650554	Rochester After School Acedemy at School #19	05D	LMC	\$3,000.00
2010	14	10696	5665968	Rochester After School Acedemy at School #19	05D	LMC	\$3,000.00
2010	14	10696	5679627	Rochester After School Acedemy at School #19	05D	LMC	\$3,000.00
2010	26	10488	5611219	Akron Group Improvement Project	03L	LMA	\$36,152.89



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2011	2	10822	5660731	Structural Remediation Services, Inc.	18A	LMJP	\$25,000.00
2011	2	10871	5667450	Canfield & Track, Inc.	18A	LMJP	\$30,000.00
2011	2	10872	5661303	Hunt's Hardware, Inc.	18A	LMJ	\$40,000.00
2011	3	10023	5613983	Aratari Auto Finishers	18A	LMA	\$726.14
2011	3	10030	5613879	Napier Enterprises, LLC	18A	LMA	\$139.86
2011	3	10034	5623049	Luvaboos, Inc.	18C	LMJ	\$2,000.00
2011	3	10035	5613882	Ming Take Out	18A	LMA	\$3,322.90
2011	3	10037	5613883	Digu Design	18A	LMJ	\$1,818.30
2011	3	10037	5623052	Digu Design	18A	LMJ	\$739.48
2011	3	10040	5638523	South East Area Coalition	05	LMA	\$3,750.00
2011	3	10060	5613885	Lilac Coin Laundry, LLC	18A	LMA	\$5,520.15
2011	3	10070	5628615	Flower City Tax	18A	LMA	\$692.30
2011	3	10070	5629876	Flower City Tax	18A	LMA	\$1,053.07
2011	3	10085	5613881	Scott's Photo by Rowe	18A	LMJ	\$1,928.99
2011	3	10085	5652625	Scott's Photo by Rowe	18A	LMJ	\$229.50
2011	3	10086	5628731	Louis P. Ferrari Agency, Inc.	18A	LMA	\$688.50
2011	3	10086	5643318	Louis P. Ferrari Agency, Inc.	18A	LMA	\$850.00
2011	3	10087	5642091	Ristorante Lucano	18A	LMA	\$1,321.50
2011	3	10128	5643317	Dan C Fulmer Inc.	18A	LMA	\$2,375.72
2011	3	10162	5659082	Emmanuel Grocery	18A	LMA	\$600.00
2011	3	10209	5642089	Fracassi Lashes	18A	LMA	\$978.49
2011	3	10210	5623042	Ferris Agency, Inc.	18A	LMA	\$2,557.61
2011	3	10384	5681772	Rochester Store Fixtures	18A	LMJP	\$8,000.00
2011	3	10570	5673134	Isotope Consulting, LLC dba Crossroads Coffeehouse	18C	LMA	\$1,176.96
2011	3	10603	5634142	J & J Tire Sales - Airport Transmission	18A	LMA	\$3,586.14
2011	3	10665	5615718	Thurston Brooks Merchants Association	05	LMA	\$4,489.04
2011	3	10691	5690428	Hudson Avenue Business Association	03	LMA	\$2,950.00
2011	3	10702	5663621	Monroe Ave Merchants Association - PROM	05	LMA	\$1,500.00
2011	3	10703	5694510	Monroe Ave Merchants Association - BEAU	03	LMA	\$1,396.22
2011	3	10789	5654011	J.F. Jones Jewelers	18A	LMJP	\$5,000.00
2011	3	10795	5672229	Salvatore's Pizza - 1985 E Main Street	18A	LMA	\$4,054.40
2011	3	10795	5694465	Salvatore's Pizza - 1985 E Main Street	18A	LMA	\$2,857.00
2011	3	10846	5672191	Rochester Design and Development LLC	18A	LMA	\$6,675.52
2011	3	10846	5699467	Rochester Design and Development LLC	18A	LMA	\$1,120.98
2011	3	10862	5704207	Bruce Stranton DBA The Auto Stop	18A	LMA	\$2,150.00
2011	3	10866	5685116	Brooks Landing Diner	18A	LMA	\$2,698.22
2011	3	10973	5699472	The Gluten Free Chef	18A	LMA	\$220.21
2011	5	10586	5609462	59 Pollard St	14I	LMH	\$396.20
2011	5	10670	5623125	138 Northview Terrace	14A	LMH	\$11,625.00
2011	5	10670	5641967	138 Northview Terrace	14A	LMH	\$3,500.00
2011	5	10674	5623132	205 Portage St	14A	LMH	\$5,395.00
2011	5	10692	5613738	178 Campbell Park	14A	LMH	\$7,150.00
2011	5	10693	5623137	111 Bradburn St	14A	LMH	\$10,700.00
2011	5	10695	5622399	336 Ellicott Street	14A	LMH	\$11,775.00
2011	5	10697	5633004	28 Manchester St	14A	LMH	\$9,245.00
2011	5	10718	5615358	50 Elm Street	14A	LMH	\$10,500.00
2011	5	10733	5617912	71 Saratoga Ave	14I	LMH	\$14,750.00
2011	5	10735	5634232	229 Randolph St	14A	LMH	\$11,725.00
2011	5	10765	5623885	12 Treyer St	14I	LMH	\$1,713.14
2011	5	10766	5623885	15 Gladys St	14I	LMH	\$2,503.82
2011	5	10767	5665979	84 Branch St	14A	LMH	\$1,200.00
2011	5	10778	5625760	517 Norton St	14I	LMH	\$17,600.00
2011	5	10781	5626965	115 East Ridge Rd	14I	LMH	\$3,558.06
2011	5	10781	5634232	115 East Ridge Rd	14I	LMH	\$263.56
2011	5	10782	5626965	3 Gladys St	14I	LMH	\$2,635.60
2011	5	10783	5626965	295 Ave A	14I	LMH	\$2,097.51
2011	5	10784	5626965	41 Warsaw St	14I	LMH	\$1,729.58



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2011	5	10790	5643575	1291 Lake Ave	14A	LMH	\$14,825.00
2011	5	10792	5639888	777 Post Ave	14A	LMH	\$10,225.00
2011	5	10799	5637208	436 Jay st	14I	LMH	\$565.00
2011	5	10811	5643575	82 Roslyn St	14A	LMH	\$4,505.00
2011	5	10812	5643599	123 Shelter St	14I	LMH	\$2,240.26
2011	5	10812	5659898	123 Shelter St	14I	LMH	\$131.78
2011	5	10814	5663760	253 Avenue D	14A	LMH	\$12,270.00
2011	5	10826	5649758	45 Huntington Pk	14A	LMH	\$2,409.30
2011	5	10877	5659368	82 Whitney St	14I	LMH	\$560.00
2011	5	10891	5668230	382 Fernwood Ave	14I	LMH	\$585.00
2011	5	11010	5699132	420 Arnett Blvd	14A	LMH	\$585.00
2011	5	11010	5699607	420 Arnett Blvd	14A	LMH	\$790.68
2011	10	9829	5611162	Union Street Improvement Project	03K	LMA	\$82.00
2011	10	9829	5612419	Union Street Improvement Project	03K	LMA	\$137.80
2011	10	9829	5614285	Union Street Improvement Project	03K	LMA	\$639.47
2011	10	9829	5623842	Union Street Improvement Project	03K	LMA	\$51.25
2011	10	9829	5628355	Union Street Improvement Project	03K	LMA	\$8,251.70
2011	10	9829	5630875	Union Street Improvement Project	03K	LMA	\$127,209.35
2011	10	9829	5635813	Union Street Improvement Project	03K	LMA	\$89,091.25
2011	10	9829	5639886	Union Street Improvement Project	03K	LMA	\$6,097.00
2011	10	9829	5642126	Union Street Improvement Project	03K	LMA	\$25,872.60
2011	10	9829	5642294	Union Street Improvement Project	03K	LMA	\$264.08
2011	10	9829	5646727	Union Street Improvement Project	03K	LMA	\$64,020.41
2011	10	9829	5658241	Union Street Improvement Project	03K	LMA	\$34,866.34
2011	10	9829	5658399	Union Street Improvement Project	03K	LMA	\$1,392.48
2011	10	9829	5662025	Union Street Improvement Project	03K	LMA	\$86,293.35
2011	10	10239	5615725	Citizen U Program	05D	LMC	\$2,797.56
2011	10	10239	5623028	Citizen U Program	05D	LMC	\$7,954.50
2011	10	10239	5623036	Citizen U Program	05D	LMC	\$150.00
2011	10	10239	5640459	Citizen U Program	05D	LMC	\$20,691.07
2011	10	10239	5658977	Citizen U Program	05D	LMC	\$8,785.39
2011	10	10897	5671673	277 Electric Ave	14A	LMH	\$585.00
2011	10	10898	5672543	309 Augustine St	14A	LMH	\$585.00
2011	10	10904	5671673	37 Ellsinore St	14A	LMH	\$585.00
2011	10	10905	5671673	10 Kay St	14A	LMH	\$585.00
2011	10	10906	5671673	317 Augustine St	14A	LMH	\$585.00
2011	10	10907	5671673	11 Kestrel St	14A	LMH	\$585.00
2011	10	10913	5672559	93 Weston Road	14A	LMH	\$585.00
2011	10	10914	5672559	47 Perinton St	14A	LMH	\$585.00
2011	10	10915	5672559	371 Roycroft St	14A	LMH	\$585.00
2011	10	10928	5677710	54 Dorbeth Rd	14A	LMH	\$585.00
2011	10	10930	5677710	644 Parsells Ave	14A	LMH	\$585.00
2011	10	10948	5682553	386 Lexington Avenue	14I	LMH	\$600.00
2011	10	10953	5683803	22 Hertel St	14A	LMH	\$585.00
2011	10	10975	5690942	100 Ontario St	14I	LMH	\$600.00
2011	10	10982	5693000	73 Woodward St	14A	LMH	\$585.00
2011	10	10994	5697001	169 Penhurst St	14A	LMH	\$585.00
2011	10	10995	5697001	702 Arnett Blvd	14A	LMH	\$585.00
2011	10	10996	5697001	140 Normandy Ave	14A	LMH	\$585.00
2011	11	9710	5658201	Smoke and CO Detectors	05	LMA	\$12,500.00
2011	11	10057	5671697	Ultralife	05	LMA	\$10,100.00
2012	1	10739	5628620	Whitney Baird Associates, LLC	18A	LMJ	\$416,000.00
2012	1	10748	5656289	Rochester Childfirst Network	03	LMA	\$230,000.00
2012	1	10785	5628862	155 East Main St., LLC	18A	LMJP	\$350,000.00
2012	1	10785	5628884	155 East Main St., LLC	18A	LMJP	\$400,000.00
2012	1	10939	5695348	Tycom Recycling, Inc.	18A	LMJP	\$50,000.00
2012	1	10986	5694515	Rochester Local Capital LLC	18A	LMJP	\$25,000.00



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2012	2	10490	5650857	Bunga Burger Bar	18A	LMA	\$312.75
2012	2	10508	5623038	Historic Houseparts	18A	LMA	\$703.00
2012	2	10508	5628843	Historic Houseparts	18A	LMA	\$340.19
2012	2	10508	5672187	Historic Houseparts	18A	LMA	\$1,330.41
2012	2	10571	5676471	Linda's New York Pizzeria	18A	LMA	\$2,500.65
2012	2	10574	5642094	My-Tee Automotive Service, Inc.	18A	LMA	\$158.98
2012	2	10574	5659101	My-Tee Automotive Service, Inc.	18A	LMA	\$115.84
2012	2	10574	5671747	My-Tee Automotive Service, Inc.	18A	LMA	\$112.50
2012	2	10574	5694512	My-Tee Automotive Service, Inc.	18A	LMA	\$324.00
2012	2	10576	5697502	Rochester Chiropractic Associates	18A	LMA	\$6,356.75
2012	2	10578	5642081	Allwrights Insurance	18A	LMA	\$1,556.96
2012	2	10578	5659432	Allwrights Insurance	18A	LMA	\$229.99
2012	2	10578	5694513	Allwrights Insurance	18A	LMA	\$972.93
2012	2	10578	5699482	Allwrights Insurance	18A	LMA	\$951.70
2012	2	10579	5628336	Echotone Music LLC	18C	LMJP	\$1,787.50
2012	2	10579	5671748	Echotone Music LLC	18C	LMJP	\$1,105.00
2012	2	10615	5628337	Lynch Dollar Palace	18A	LMA	\$435.27
2012	2	10620	5623032	Temple Bar & Grille	18A	LMJP	\$3,403.08
2012	2	10699	5652627	Mike Vesa's Automotive Service, Inc.	18A	LMA	\$4,351.79
2012	2	10699	5659431	Mike Vesa's Automotive Service, Inc.	18A	LMA	\$3,424.20
2012	2	10700	5623034	Goodman Glass & Mirror	18A	LMA	\$3,524.23
2012	2	10700	5629540	Goodman Glass & Mirror	18A	LMA	\$943.52
2012	2	10700	5642110	Goodman Glass & Mirror	18A	LMA	\$685.79
2012	2	10701	5623033	Hot Rod Betties	18A	LMA	\$985.00
2012	2	10730	5643316	South Wedge Pizza & Deli	18A	LMA	\$5,000.00
2012	2	10744	5653203	UPSTATE FURNITURE OUTLET	18A	LMA	\$7,000.00
2012	2	10744	5672185	UPSTATE FURNITURE OUTLET	18A	LMA	\$1,000.00
2012	2	10745	5681769	New Orleans Louisiana Waterfront BBQ	18A	LMA	\$2,974.50
2012	2	10746	5644212	My Apartment	18A	LMA	\$2,000.00
2012	2	10786	5659099	Brandy Inc. DBA Henry's Check Cashing	18A	LMA	\$5,000.00
2012	2	10848	5665034	Brother's Collision, Inc.	18A	LMA	\$5,847.46
2012	2	10852	5673136	Paternico Bakery Inc.	18A	LMA	\$2,000.00
2012	2	10853	5665031	Kaleron, Inc. dba Salena's Mexican Restaurant	18A	LMA	\$6,588.05
2012	2	10853	5686032	Kaleron, Inc. dba Salena's Mexican Restaurant	18A	LMA	\$411.95
2012	2	10880	5696923	MWMA DBA Park Avenue Laundry	18A	LMA	\$2,000.00
2012	6	10092	5623856	543 Emerson Street	14I	LMH	\$2,570.60
2012	6	10113	5609465	119 Flower St	14I	LMH	\$1,976.00
2012	6	10113	5609576	119 Flower St	14I	LMH	\$0.70
2012	6	10150	5626965	114 McKinley St	14I	LMH	\$3,931.46
2012	6	10152	5659373	193 Elmdorf Ave	14I	LMH	\$19,000.00
2012	6	10155	5609492	98 Ravenwood Ave	14I	LMH	\$1,721.36
2012	6	10170	5659898	268 Sherwood Avenue	14I	LMH	\$2,108.48
2012	6	10171	5632112	97 River Street	14I	LMH	\$2,372.04
2012	6	10172	5686885	21 Wyand Crescent	14I	LMH	\$2,287.35
2012	6	10178	5609471	472 Post Ave	14I	LMH	\$3,437.18
2012	6	10194	5633000	51 Hortense St	14I	LMH	\$2,229.29
2012	6	10195	5623856	160 Midland	14I	LMH	\$1,186.02
2012	6	10197	5623856	397 Ravine Ave	14I	LMH	\$4,173.08
2012	6	10212	5634232	888 Genesee Park Blvd	14I	LMH	\$1,954.76
2012	6	10214	5626965	90 Resolute St	14I	LMH	\$492.93
2012	6	10225	5625751	89 Somerset St	14I	LMH	\$10,450.00
2012	6	10226	5643599	155 Oneida St	14I	LMH	\$1,899.20
2012	6	10227	5686885	157 Morton St	14I	LMH	\$2,635.60
2012	6	10252	5649672	67 Earl Street	14I	LMH	\$3,766.77
2012	6	10252	5652708	67 Earl Street	14I	LMH	\$263.56
2012	6	10253	5643599	57 Electric Ave	14I	LMH	\$3,547.09
2012	6	10255	5626965	429 Rosewood Terr	14I	LMH	\$2,767.38



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2012	6	10337	5643599	84 Radio St	14I	LMH	\$395.34
2012	6	10339	5659898	228 Melville St	14I	LMH	\$3,933.49
2012	6	10355	5668230	298 Reynolds St	14I	LMH	\$1,691.20
2012	6	10401	5653110	978 Clifford Ave	14I	LMH	\$3,097.72
2012	6	10401	5666105	978 Clifford Ave	14I	LMH	\$131.78
2012	6	10402	5643599	203 Rockview Terrace	14I	LMH	\$3,140.78
2012	6	10403	5652708	52 Schum Lane	14I	LMH	\$1,746.46
2012	6	10464	5609485	102 Sawyer st	14I	LMH	\$2,767.38
2012	6	10464	5625751	102 Sawyer st	14I	LMH	\$150.00
2012	6	10464	5640464	102 Sawyer st	14I	LMH	\$750.00
2012	6	10469	5632109	385 Ravenwood Ave	14I	LMH	\$3,067.38
2012	6	10489	5643599	118 Sherwood Avenue	14I	LMH	\$3,030.94
2012	6	10494	5634232	855 Seward St	14I	LMH	\$1,669.26
2012	6	10497	5666105	146 Myrtle Street	14I	LMH	\$2,339.13
2012	6	10500	5653110	135 Holcroft Rd	14I	LMH	\$527.12
2012	6	10501	5686888	194 Kisingbury	14I	LMH	\$922.46
2012	6	10502	5659898	1661 Norton St	14I	LMH	\$2,317.19
2012	6	10505	5699644	58 Baird St	14I	LMH	\$827.12
2012	6	10646	5659898	129 Melrose St	14I	LMH	\$4,016.09
2012	6	10647	5699648	406 Colvin St	14I	LMH	\$3,514.18
2012	6	10648	5686885	65 Yarker St	14I	LMH	\$131.78
2012	6	10651	5699655	88 Avenue C	14I	LMH	\$2,917.38
2012	6	10652	5699649	3 Broezel Street	14I	LMH	\$1,833.95
2012	6	10684	5699600	35 Council St	14I	LMH	\$1,317.80
2012	6	10685	5686885	194 Salisbury St	14A	LMH	\$3,044.63
2012	6	10685	5699675	194 Salisbury St	14A	LMH	\$3,531.00
2012	6	10706	5613594	299 Adams St	14I	LMH	\$500.00
2012	6	10708	5613594	380 Troup St	14I	LMH	\$500.00
2012	6	10710	5613594	262 Ravenwood Ave	14I	LMH	\$500.00
2012	6	10711	5613594	68 Holbrooke St	14I	LMH	\$500.00
2012	6	10721	5617622	467 Clifford Ave	14I	LMH	\$560.00
2012	6	10723	5617666	48 Rosewood Terrace	14I	LMH	\$560.00
2012	6	10724	5617674	26 Casper St	14I	LMH	\$500.00
2012	6	10725	5617678	981 Exchange St	14I	LMH	\$500.00
2012	6	10726	5617688	9 Ravenwood	14I	LMH	\$500.00
2012	6	10727	5617691	255 Adams St	14I	LMH	\$500.00
2012	6	10728	5617754	100 Normandy Ave	14I	LMH	\$560.00
2012	6	10729	5617886	289 Adams St	14I	LMH	\$560.00
2012	6	10731	5617892	284 Adams St	14I	LMH	\$560.00
2012	6	10755	5623555	106 Babbit Place	14I	LMH	\$560.00
2012	6	10756	5623622	162 Norran Drive	14I	LMH	\$560.00
2012	6	10758	5623666	179 Kenwood Ave	14I	LMH	\$560.00
2012	6	10759	5623690	221 Fieldwood Drive	14I	LMH	\$560.00
2012	6	10762	5623705	194 Lyndhurst St	14I	LMH	\$560.00
2012	6	10763	5623715	213-215 Selye Terrace	14I	LMH	\$560.00
2012	6	10764	5623717	835 Seward St	14I	LMH	\$560.00
2012	6	10770	5625751	285 Chili Ave	14I	LMH	\$560.00
2012	6	10771	5625751	205 Bay St	14I	LMH	\$560.00
2012	6	10774	5625751	126 Raeburn Ave	14I	LMH	\$560.00
2012	6	10775	5625751	29 Rustic St	14I	LMH	\$560.00
2012	6	10775	5699597	29 Rustic St	14I	LMH	\$3,030.94
2012	6	10797	5637208	518 Driving Park	14I	LMH	\$500.00
2012	6	10801	5637208	473 Winchester St	14I	LMH	\$500.00
2012	6	10806	5639896	691 W. Broad St	14I	LMH	\$500.00
2012	6	10831	5651096	56 Holbrook St	14I	LMH	\$565.00
2012	6	10832	5651093	389 Thurston Rd	14I	LMH	\$565.00
2012	6	10833	5651093	408 Alphonse St	14I	LMH	\$565.00



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2012	6	10835	5651093	52 Holbrooke St	14I	LMH	\$500.00
2012	6	10836	5651096	96 Ravenwood Ave	14I	LMH	\$500.00
2012	9	10071	5612211	Tenant Education/The Housing Council	05	LMA	\$2,052.06
2012	11	10419	5623856	155 Grafton Street	14I	LMH	\$922.46
2012	11	10420	5666105	1855 Norton Street	14I	LMH	\$483.24
2012	11	10423	5687459	150 Clifton Street	14I	LMH	\$302.13
2012	11	10425	5627492	279 Rand Street	14I	LMH	\$11,322.00
2012	12	10479	5617694	Smoke and Co Detectors	05	LMA	\$14,830.44
2012	14	10600	5694642	Southwest Youth Organizing Project	05D	LMC	\$30,000.00
2012	15	10985	5693068	Youth Training Academy	05D	LMC	\$17,232.30
2013	2	10788	5669727	Sector 4 Community Development Corporation	05	LMA	\$6,250.00
2013	2	10788	5689932	Sector 4 Community Development Corporation	05	LMA	\$6,250.00
2013	2	10889	5692922	Action for a Better Community - Street Mgr	05	LMA	\$6,250.00
2013	2	10947	5682534	South Wedge Planning Committee	05	LMA	\$6,249.99
2013	2	10947	5699465	South Wedge Planning Committee	05	LMA	\$6,249.99
2013	4	10543	5699579	891 Post Avenue	14I	LMH	\$2,987.06
2013	4	10809	5684565	ABC Emergency Assistance Repair Program	14H	LMA	\$10,207.20
2013	4	10817	5645086	320 Murray St	14A	LMH	\$600.00
2013	4	10817	5679332	320 Murray St	14A	LMH	\$65.00
2013	4	10825	5649743	234 Sunset St	14I	LMH	\$1,581.36
2013	4	10865	5657146	113 Glendale Pk	14A	LMH	\$246.00
2013	4	10865	5661574	113 Glendale Pk	14A	LMH	\$167.62
2013	4	10867	5657146	64 Harris St	14A	LMH	\$195.00
2013	4	10868	5657146	146 Burrows St	14A	LMH	\$195.00
2013	4	10868	5661574	146 Burrows St	14A	LMH	\$88.27
2013	4	10882	5662044	42 Concord St	14A	LMH	\$195.00
2013	4	10884	5662580	243 Fernwood Ave	14A	LMH	\$127.00
2013	4	10886	5665142	220 St Casmir St	14A	LMH	\$94.00
2013	4	10893	5668230	359 Birr St	14A	LMH	\$600.00
2013	4	10894	5668230	56 Netherton Rd	14A	LMH	\$600.00
2013	4	10895	5668230	110 Avis St	14A	LMH	\$615.00
2013	4	10908	5671673	967 Arnett Blvd	14A	LMH	\$491.11
2013	4	10909	5671673	196 Garfield St	14A	LMH	\$144.00
2013	4	10910	5671701	54 Hollister St	14A	LMH	\$2,387.00
2013	4	10910	5679644	54 Hollister St	14A	LMH	\$600.00
2013	4	10911	5671721	90 Quincy St	14A	LMH	\$94.00
2013	4	10912	5671726	5 Caves Place	14A	LMH	\$281.00
2013	4	10922	5674773	856 Portland Ave	14A	LMH	\$3,031.00
2013	4	10925	5676660	104 Danforth St	14A	LMH	\$665.00
2013	4	10926	5677321	830 North St	14A	LMH	\$853.00
2013	4	10935	5678611	421 Bernard St	14A	LMH	\$348.00
2013	4	10936	5678611	220 St Casimir St	14A	LMH	\$353.31
2013	4	10936	5679646	220 St Casimir St	14A	LMH	\$0.20
2013	4	10937	5679630	29 Dickinson St	14A	LMH	\$665.00
2013	4	10938	5679757	23 Lorenzo St	14A	LMH	\$348.00
2013	4	10941	5681412	127 Saranac Street	14A	LMH	\$600.00
2013	4	10949	5683974	421 Bernard Street	14A	LMH	\$600.00
2013	4	10954	5683975	317 Augustine Street	14A	LMH	\$600.00
2013	4	10955	5683981	45 Huntington Park	14A	LMH	\$13,753.00
2013	4	10956	5684565	368 Electric Ave	14A	LMH	\$2,987.00
2013	4	10957	5684565	660 Avenue D	14A	LMH	\$2,387.00
2013	4	10960	5686885	58 Laser St	14A	LMH	\$600.00
2013	4	10961	5686885	411 Parsells Ave	14A	LMH	\$600.00
2013	4	10962	5686885	41 Orlando St.	14A	LMH	\$600.00
2013	4	10966	5689831	49 Champlain St	14A	LMH	\$2,860.00
2013	4	10967	5689831	172 Mohawk St	14A	LMH	\$2,387.00
2013	4	10983	5693048	SWPC - OORP	14H	LMA	\$2,741.18



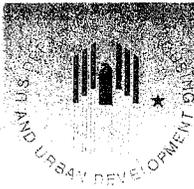
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2013	4	10983	5697007	SWPC - OORP	14H	LMA	\$2,121.08
2013	4	10984	5693048	SWPC - ESRP FIS	14H	LMA	\$2,741.18
2013	4	10984	5697007	SWPC - ESRP FIS	14H	LMA	\$2,098.46
2013	4	10990	5696732	11 Bremen St	14A	LMH	\$600.00
2013	4	11011	5699666	109 McNaughton Street	14A	LMH	\$2,387.00
2013	4	11012	5699701	81 Iceland Park	14A	LMH	\$3,027.00
2013	4	11013	5699690	45 Parkdale Terrace	14A	LMH	\$263.00
2013	4	11014	5702448	Sector 4 Targeted Rehab	14H	LMH	\$1,800.00
2013	5	10945	5681979	Staff Cost	14H	LMA	\$1,202,216.21
2013	6	10747	5637208	RHDFC - OPERATING	14H	LMH	\$36,551.91
2013	6	10747	5640456	RHDFC - OPERATING	14H	LMH	\$33,747.78
2013	6	10747	5650053	RHDFC - OPERATING	14H	LMH	\$17,641.77
2013	6	10747	5665990	RHDFC - OPERATING	14H	LMH	\$16,694.39
2013	6	10747	5671705	RHDFC - OPERATING	14H	LMH	\$23,810.20
2013	6	10747	5682557	RHDFC - OPERATING	14H	LMH	\$18,381.85
2013	6	10747	5694647	RHDFC - OPERATING	14H	LMH	\$17,235.90
2013	6	10874	5699670	349 Grand Ave	14I	LMH	\$3,427.00
2013	6	10888	5665965	Foreclosure Prevention - Housing Council	05	LMA	\$53,634.29
2013	6	10888	5666112	Foreclosure Prevention - Housing Council	05	LMA	\$27,697.54
2013	6	10888	5672047	Foreclosure Prevention - Housing Council	05	LMA	\$2,000.00
2013	6	10888	5673002	Foreclosure Prevention - Housing Council	05	LMA	\$113,626.74
2013	6	10888	5691081	Foreclosure Prevention - Housing Council	05	LMA	\$26,765.75
2013	6	10888	5699416	Foreclosure Prevention - Housing Council	05	LMA	\$23,979.90
2013	6	10917	5672999	Empire Justice Center - Foreclosure Prev	05	LMA	\$20,000.00
2013	6	10917	5685935	Empire Justice Center - Foreclosure Prev	05	LMA	\$10,000.00
2013	7	10918	5673693	Legal Aid Society - Tenant Education	05	LMA	\$36,662.38
2013	7	10918	5681637	Legal Aid Society - Tenant Education	05	LMA	\$38,447.33
2013	7	10918	5683474	Legal Aid Society - Tenant Education	05	LMA	\$38,424.67
2013	7	10977	5691138	Tenant Services - Housing Council	05	LMA	\$4,423.68
2013	7	10977	5699419	Tenant Services - Housing Council	05	LMA	\$2,182.36
2013	7	10977	5702430	Tenant Services - Housing Council	05	LMA	\$6,157.82
2013	11	10845	5652189	SOOP	05D	LMC	\$110,000.00
2013	19	10972	5700153	College Town - Acquisition & Construction	17C	LMJ	\$3,415,000.00
<b>Total</b>							<b>\$8,709,529.18</b>

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Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2008	3	10201	5625981	Neighborhood of the Arts Business Association - PS	05	LMA	\$180.00
2008	3	10201	5643319	Neighborhood of the Arts Business Association - PS	05	LMA	\$337.50
2008	3	10850	5689930	Mt Hope Business Association	05	LMA	\$524.88
2008	60	10599	5675717	Parent Leadership Training Institute	05	LMC	\$10,000.00
2009	8	10595	5691638	Rochester's Youth Year Program - U of R	05D	LMC	\$7,000.00
2009	8	10596	5691641	Urban Fellows Program - U of R	05D	LMC	\$1,000.00
2009	8	10734	5618439	City Youth Art Squad	05D	LMC	\$1,595.25
2009	8	10734	5619157	City Youth Art Squad	05D	LMC	\$4,802.12
2009	8	10734	5624234	City Youth Art Squad	05D	LMC	\$508.75
2009	8	10734	5630969	City Youth Art Squad	05D	LMC	\$1,509.20
2009	8	10734	5631031	City Youth Art Squad	05D	LMC	\$130.32
2009	8	10734	5633032	City Youth Art Squad	05D	LMC	\$321.77
2009	8	10734	5635828	City Youth Art Squad	05D	LMC	\$443.25
2009	8	10734	5635829	City Youth Art Squad	05D	LMC	\$617.40
2009	8	10734	5637208	City Youth Art Squad	05D	LMC	\$3,050.05
2009	8	10734	5644427	City Youth Art Squad	05D	LMC	\$3,641.83
2009	8	10734	5693583	City Youth Art Squad	05D	LMC	\$46,427.55



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2009	8	10740	5695347	Citizen U Program - FY 13-14	05D	LMC	\$26,368.95
2010	3	10007	5624836	ACTION FOR A BETTER COMMUNITY - STREET MANAGER	05	LMA	\$17,124.68
2010	14	10696	5642108	Rochester After School Acedemy at School #19	05D	LMC	\$6,000.00
2010	14	10696	5650554	Rochester After School Acedemy at School #19	05D	LMC	\$3,000.00
2010	14	10696	5665968	Rochester After School Acedemy at School #19	05D	LMC	\$3,000.00
2010	14	10696	5679627	Rochester After School Acedemy at School #19	05D	LMC	\$3,000.00
2011	3	10040	5638523	South East Area Coalition	05	LMA	\$3,750.00
2011	3	10665	5615718	Thurston Brooks Merchants Association	05	LMA	\$4,489.04
2011	3	10702	5663621	Monroe Ave Merchants Association - PROM	05	LMA	\$1,500.00
2011	10	10239	5615725	Citizen U Program	05D	LMC	\$2,797.56
2011	10	10239	5623028	Citizen U Program	05D	LMC	\$7,954.50
2011	10	10239	5623036	Citizen U Program	05D	LMC	\$150.00
2011	10	10239	5640459	Citizen U Program	05D	LMC	\$20,691.07
2011	10	10239	5658977	Citizen U Program	05D	LMC	\$8,785.39
2011	11	9710	5658201	Smoke and CO Detectors	05	LMA	\$12,500.00
2011	11	10057	5671697	Ultralife	05	LMA	\$10,100.00
2012	9	10071	5612211	Tenant Education/The Housing Council	05	LMA	\$2,052.06
2012	12	10479	5617694	Smoke and Co Detectors	05	LMA	\$14,830.44
2012	14	10600	5694642	Southwest Youth Organizing Project	05D	LMC	\$30,000.00
2012	15	10985	5693068	Youth Training Academy	05D	LMC	\$17,232.30
2013	2	10788	5669727	Sector 4 Community Development Corporation	05	LMA	\$6,250.00
2013	2	10788	5689932	Sector 4 Community Development Corporation	05	LMA	\$6,250.00
2013	2	10889	5692922	Action for a Better Community - Street Mgr	05	LMA	\$6,250.00
2013	2	10947	5682534	South Wedge Planning Committee	05	LMA	\$6,249.99
2013	2	10947	5699465	South Wedge Planning Committee	05	LMA	\$6,249.99
2013	6	10888	5665965	Foreclosure Prevention - Housing Council	05	LMA	\$53,634.29
2013	6	10888	5666112	Foreclosure Prevention - Housing Council	05	LMA	\$27,697.54
2013	6	10888	5672047	Foreclosure Prevention - Housing Council	05	LMA	\$2,000.00
2013	6	10888	5673002	Foreclosure Prevention - Housing Council	05	LMA	\$113,626.74
2013	6	10888	5691081	Foreclosure Prevention - Housing Council	05	LMA	\$26,765.75
2013	6	10888	5699416	Foreclosure Prevention - Housing Council	05	LMA	\$23,979.90
2013	6	10917	5672999	Empire Justice Center - Foreclosure Prev	05	LMA	\$20,000.00
2013	6	10917	5685935	Empire Justice Center - Foreclosure Prev	05	LMA	\$10,000.00
2013	7	10918	5673693	Legal Aid Society - Tenant Education	05	LMA	\$36,662.38
2013	7	10918	5681637	Legal Aid Society - Tenant Education	05	LMA	\$38,447.33
2013	7	10918	5683474	Legal Aid Society - Tenant Education	05	LMA	\$38,424.67
2013	7	10977	5691138	Tenant Services - Housing Council	05	LMA	\$4,423.68
2013	7	10977	5699419	Tenant Services - Housing Council	05	LMA	\$2,182.36
2013	7	10977	5702430	Tenant Services - Housing Council	05	LMA	\$6,157.82
2013	11	10845	5652189	SOOP	05D	LMC	\$110,000.00
<b>Total</b>							<b>\$822,668.30</b>

**LINE 37 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 37**

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2006	86	9618	5672834	Program Management	21A		\$559.18
2006	86	9618	5682013	Program Management	21A		\$743.18
2011	10	10114	5627490	Bergmann Associates	20		\$9,500.00
2012	6	10791	5632995	NEAD Community Building	20		\$45,000.00
2012	11	10418	5621301	MVH Urban Renewal District Plan	20		\$14,200.00
2012	11	10418	5635806	MVH Urban Renewal District Plan	20		\$5,800.00
2013	9	10944	5681979	Planning Staff	20		\$276,781.10
2013	16	10943	5681979	Indirect Staff	21B		\$304,863.43
2013	17	10942	5681979	Program management Staff	21A		\$182,766.75
<b>Total</b>							<b>\$840,213.64</b>

PR26 ADDITIONAL INFORMATION

#7 -\$205,457.68 for 2012-13 Program Income that was receipted in 2013-14  
+\$87,771.44 for 2013-14 Program Income that was receipted in 2014-15

#10 \$3,415,000 in 108 loan payments

#19 Includes \$3,415,000 in 108 loan payments



# Annual Performance Report HOME Program

U.S. Department of Housing  
and Urban Development  
Office of Community Planning  
and Development

OMB Approval No. 2506-0171  
(exp. 8/31/2009)

Public reporting burden for this collection of information is estimated to average 2.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

This form is intended to collect numeric data to be aggregated nationally as a complement to data collected through the Cash and Management Information (C/MI) System. Participants should enter the reporting period in the first block. The reporting period is October 1 to September 30. Instructions are included for each section if further explanation is needed.

Submit this form on or before December 31.	This report is for period (mm/dd/yyyy)		Date Submitted (mm/dd/yyyy)
Send one copy to the appropriate HUD Field Office and one copy to: <b>HOME Program, Rm 7176, 451 7th Street, S.W., Washington D.C. 20410</b>	Starting 07/01/2013	Ending 06/30/2014	09/28/2014

## Part I Participant Identification

1. Participant Number MC360504	2. Participant Name City of Rochester		
3. Name of Person completing this report Mary Kay Kenrick	4. Phone Number (Include Area Code) 585 428-6309		
5. Address 30 Church Street, 224B	6. City Rochester	7. State NY	8. Zip Code 14614

## Part II Program Income

Enter the following program income amounts for the reporting period: in block 1, enter the balance on hand at the beginning; in block 2, enter the amount generated; in block 3, enter the amount expended; and in block 4, enter the amount for Tenant-Based rental Assistance.

1. Balance on hand at Beginning of Reporting Period \$37,531.00	2. Amount received during Reporting Period \$155,580.23	3. Total amount expended during Reporting Period \$130,342.86	4. Amount expended for Tenant-Based Rental Assistance \$0	5. Balance on hand at end of Reporting Period (1 + 2 - 3) = 5 \$62,768.37
--	--	--	--	--

## Part III Minority Business Enterprises (MBE) and Women Business Enterprises (WBE)

In the table below, indicate the number and dollar value of contracts for HOME projects completed during the reporting period.

	a. Total	Minority Business Enterprises (MBE)			f. White Non-Hispanic	
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic		e. Hispanic
<b>A. Contracts</b>						
1. Number	31	0	6	12	2	11
2. Dollar Amount	\$21,825,769.88	\$0	\$545,952.44	\$1,129,901.00	\$4,821,264.18	\$15,328,652.26
<b>B. Sub-Contracts</b>						
1. Number	368	0	0	62	58	248
2. Dollar Amount	\$11,227,752.02	\$0	\$0	\$1,422,936.79	\$140,842.00	\$9,663,973.23
	a. Total	b. Women Business Enterprises (WBE)	c. Male			
<b>C. Contracts</b>						
1. Number	31	0	31			
2. Dollar Amount	\$21,825,769.88	\$0	\$21,825,769.88			
<b>D. Sub-Contracts</b>						
1. Number	368	82	286			
2. Dollar Amounts	\$11,227,752.02	\$998,281.74	\$10,229,470.28			

**Part IV Minority Owners of Rental Property**

In the table below, indicate the number of HOME assisted rental property owners and the total dollar amount of HOME funds in these rental properties assisted during the reporting period.

	a. Total	Minority Property Owners				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
1. Number						
2. Dollar Amount						

**Part V Relocation and Real Property Acquisition**

Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition. The data provided should reflect only displacements and acquisitions occurring during the reporting period.

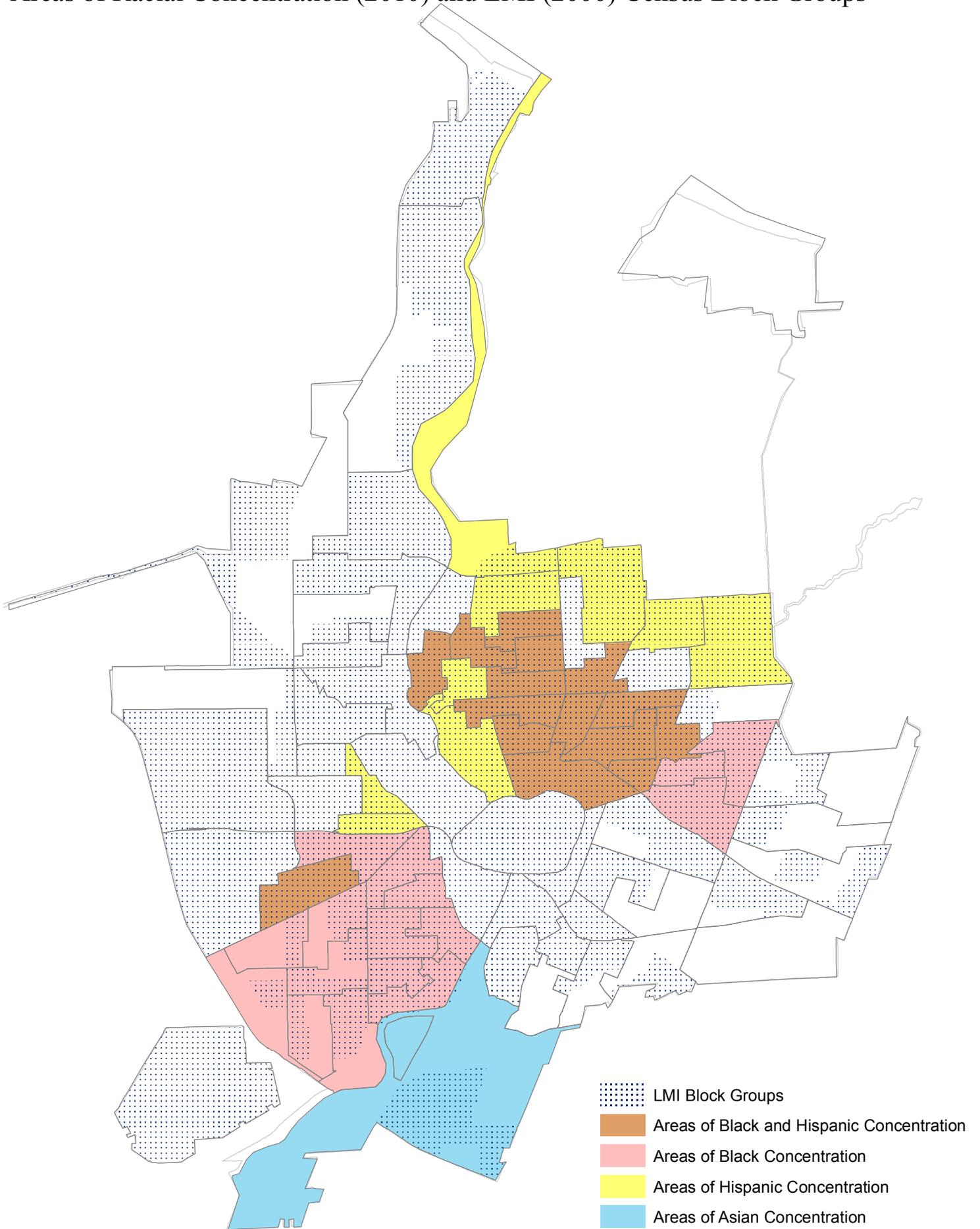
	a. Number	b. Cost
1. Parcels Acquired		
2. Businesses Displaced		
3. Nonprofit Organizations Displaced		
4. Households Temporarily Relocated, not Displaced		

Households Displaced	a. Total	Minority Business Enterprises (MBE)				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
5. Households Displaced - Number						
6. Households Displaced - Cost						

# City of Rochester

## Areas of Racial Concentration (2010) and LMI (2000) Census Block Groups





# **Housing Opportunities for Persons with AIDS (HOPWA) Program**

## **Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outcomes**

**OMB Number 2506-0133 (Expiration Date: 10/31/2014)**

The CAPER report for HOPWA formula grantees provides annual information on program accomplishments that supports program evaluation and the ability to measure program beneficiary outcomes as related to: maintain housing stability; prevent homelessness; and improve access to care and support. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning regulations. The public reporting burden for the collection of information is estimated to average 42 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 60 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.

**Overview.** The Consolidated Annual Performance and Evaluation Report (CAPER) provides annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives.

HOPWA formula grantees are required to submit a CAPER, and complete annual performance information for all activities undertaken during each program year in the IDIS, demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER and IDIS data to obtain essential information on grant activities, project sponsors, Subrecipient organizations, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

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2. Project Sponsor Information
3. Administrative Subrecipient Information
4. Program Subrecipient Information
5. Grantee Narrative and Performance Assessment
  - a. Grantee and Community Overview
  - b. Annual Performance under the Action Plan
  - c. Barriers or Trends Overview
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**PART 2: Sources of Leveraging and Program Income**

1. Sources of Leveraging
2. Program Income and Resident Rent Payments

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**PART 4: Summary of Performance Outcomes**

1. Housing Stability: Permanent Housing and Related Facilities
2. Prevention of Homelessness: Short-Term Housing Payments
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**PART 6: Annual Certification of Continued Use for HOPWA Facility-Based Stewardship Units (Only)**

**PART 7: Summary Overview of Grant Activities**

- A. Information on Individuals, Beneficiaries and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, PHP, Facility Based Units, Master Leased Units ONLY)
- B. Facility-Based Housing Assistance

**Continued Use Periods.** Grantees that received HOPWA funding for new construction, acquisition, or substantial rehabilitations are required to operate their facilities for HOPWA-eligible beneficiaries for a ten (10) years period. If no further HOPWA funds are used to support the facility, in place of completing Section 7B of the CAPER, the grantee must submit an Annual Certification of Continued Project Operation throughout the required use periods. This certification is included in Part 6 in CAPER. The required use period is three (3) years if the rehabilitation is non-substantial.

In connection with the development of the Department's standards for Homeless Management Information Systems (HMIS), universal data elements are being collected for clients of HOPWA-funded homeless assistance projects. These project sponsor/subrecipient records would include: Name, Social Security Number, Date of Birth, Ethnicity and Race, Gender, Veteran Status, Disabling Conditions, Residence Prior to Program Entry, Zip Code of Last Permanent Address, Housing Status, Program Entry Date, Program Exit Date, Personal Identification Number, and Household Identification Number. These are intended to match the elements under HMIS. The HOPWA program-level data elements include: Income and Sources, Non-Cash Benefits, HIV/AIDS Status, Services Provided, and Housing Status or Destination at the end of the operating year. Other suggested but optional elements are: Physical Disability, Developmental Disability, Chronic Health Condition, Mental Health, Substance Abuse, Domestic Violence, Date of Contact, Date of Engagement, Financial

Assistance, Housing Relocation & Stabilization Services, Employment, Education, General Health Status, Pregnancy Status, Reasons for Leaving, Veteran's Information, and Children's Education. Other HOPWA projects sponsors may also benefit from collecting these data elements.

**Final Assembly of Report.** After the entire report is assembled, please number each page sequentially.

**Filing Requirements.** Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee's State or Local HUD Field Office, and to the HOPWA Program Office: at [HOPWA@hud.gov](mailto:HOPWA@hud.gov). Electronic submission to HOPWA Program office is preferred; however, if electronic submission is not possible, hard copies can be mailed to: Office of HIV/AIDS Housing, Room 7212, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C.

**Record Keeping.** Names and other individual information must be kept confidential, as required by 24 CFR 574.440. However, HUD reserves the right to review the information used to complete this report for grants management oversight purposes, except for recording any names and other identifying information. **In the case that HUD must review client level data, no client names or identifying information will be retained or recorded. Information is reported in aggregate to HUD without personal identification. Do not submit client or personal information in data systems to HUD.**

**Definitions**

**Adjustment for Duplication:** Enables the calculation of unduplicated output totals by accounting for the total number of households or units that received more than one type of HOPWA assistance in a given service category such as HOPWA Subsidy Assistance or Supportive Services. For example, if a client household received both TBRA and STRMU during the operating year, report that household in the category of HOPWA Housing Subsidy Assistance in Part 3, Chart 1, Column [1b] in the following manner:

<b>HOPWA Housing Subsidy Assistance</b>		<b>[1] Outputs: Number of Households</b>
1.	Tenant-Based Rental Assistance	
2a.	<b>Permanent Housing Facilities:</b> Received Operating Subsidies/Leased units	
2b.	<b>Transitional/Short-term Facilities:</b> Received Operating Subsidies	
3a.	<b>Permanent Housing Facilities:</b> Capital Development Projects placed in service during the operating year	
3b.	<b>Transitional/Short-term Facilities:</b> Capital Development Projects placed in service during the operating year	
4.	Short-term Rent, Mortgage, and Utility Assistance	
5.	<b>Adjustment for duplication (subtract)</b>	
6.	<b>TOTAL Housing Subsidy Assistance (Sum of Rows 1-4 minus Row 5)</b>	

**Administrative Costs:** Costs for general management, oversight, coordination, evaluation, and reporting. By statute, grantee administrative costs are limited to 3% of total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive.

**Beneficiary(ies):** All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefitted from the assistance.

**Central Contractor Registration (CCR):** The primary registrant database for the U.S. Federal Government. CCR collects, validates, stores, and disseminates data in support of agency acquisition missions, including Federal agency contract and assistance awards. Both current and potential federal government registrants (**grantees**) are required to register in CCR in order to be awarded contracts by the federal government. Registrants must update or renew their registration at least once per year to maintain an active status. Although recipients of direct federal contracts and grant awards have been required to be registered with CCR since 2003, this requirement is now being extended to indirect recipients of federal funds with the passage of ARRA (American Recovery and Reinvestment Act). Per ARRA and FFATA (Federal Funding Accountability and Transparency Act) federal regulations, all **grantees** and sub-grantees or subcontractors receiving federal grant awards or contracts must have a DUNS (Data Universal Numbering System) Number.

**Chronically Homeless Person:** An individual or family who : (i) is homeless and lives or resides individual or family who: (i) Is homeless and lives or resides in a place not meant for human habitation, a safe haven, or in an emergency shelter; (ii) has been homeless and living or residing in a place not meant for human habitation, a safe haven, or in an emergency shelter continuously for at least 1 year or on at least 4 separate occasions in the last 3 years; and (iii) has an adult head of household (or a minor head of household if no adult is present in the household) with a diagnosable substance use disorder, serious mental illness, developmental disability (as defined in section 102 of the Developmental Disabilities Assistance and Bill of Rights Act of 2000 (42 U.S.C. 15002)), post traumatic stress disorder, cognitive impairments resulting from a brain injury, or chronic physical illness or disability, including the co-occurrence of 2 or more of those conditions. Additionally, the statutory definition includes as chronically homeless a person who currently lives or resides in an institutional care facility, including a jail, substance abuse or mental health treatment facility, hospital or other similar facility, and has resided there for fewer than 90 days if such person met the other criteria for homeless prior to entering that facility. (See 42 U.S.C. 11360(2)) This does not include doubled-up or overcrowding situations.

**Disabling Condition:** Evidencing a diagnosable substance use disorder, serious mental illness, developmental disability, chronic physical illness, or disability, including the co-occurrence of two or more of these conditions. In addition, a disabling condition may limit an individual's ability to work or perform one or more activities of daily living. An HIV/AIDS diagnosis is considered a disabling condition.

**Facility-Based Housing Assistance:** All eligible HOPWA Housing expenditures for or associated with supporting facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.

**Faith-Based Organization:** Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

**Grassroots Organization:** An organization headquartered in the local community where it provides services; has a social services budget of \$300,000 or less annually, and six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered

“grassroots.”

**HOPWA Eligible Individual:** The one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered “Head of Household.” When the CAPER asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH/A(s), would be considered a beneficiary(s).

**HOPWA Housing Information Services:** Services dedicated to helping persons living with HIV/AIDS and their families to identify, locate, and acquire housing. This may also include fair housing counseling for eligible persons who may encounter discrimination based on race, color, religion, sex, age, national origin, familial status, or handicap/disability.

**HOPWA Housing Subsidy Assistance Total:** The unduplicated number of households receiving housing subsidies (TBRA, STRMU, Permanent Housing Placement services and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the operating year.

**Household:** A single individual or a family composed of two or more persons for which household incomes are used to determine eligibility and for calculation of the resident rent payment. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Live-In Aides (see definition for Live-In Aide) and non-beneficiaries (e.g. a shared housing arrangement with a roommate) who resided in the unit are not reported on in the CAPER.

**Housing Stability:** The degree to which the HOPWA project assisted beneficiaries to remain in stable housing during the operating year. See *Part 5: Determining Housing Stability Outcomes* for definitions of stable and unstable housing situations.

**In-kind Leveraged Resources:** These involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the rate established in HUD notices, such as the rate of ten dollars per hour. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

**Leveraged Funds:** The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance are used directly in or in support of HOPWA program delivery.

**Live-In Aide:** A person who resides with the HOPWA Eligible Individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive services. See the *Code of Federal Regulations Title 24, Part 5.403 and the HOPWA Grantee Oversight Resource Guide for additional reference.*

**Master Leasing:** Applies to a nonprofit or public agency that leases units of housing (scattered-sites or entire buildings) from a landlord, and subleases the units to homeless or low-income tenants. By assuming the tenancy burden, the agency facilitates housing of clients who may not be able to maintain a lease on their own due to poor credit, evictions, or lack of sufficient income.

**Operating Costs:** Applies to facility-based housing only, for facilities that are currently open. Operating costs can include day-to-day housing

function and operation costs like utilities, maintenance, equipment, insurance, security, furnishings, supplies and salary for staff costs directly related to the housing project but not staff costs for delivering services.

**Outcome:** The degree to which the HOPWA assisted household has been enabled to establish or maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

**Output:** The number of units of housing or households that receive HOPWA assistance during the operating year.

**Permanent Housing Placement:** A supportive housing service that helps establish the household in the housing unit, including but not limited to reasonable costs for security deposits not to exceed two months of rent costs.

**Program Income:** Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration requirements on program income for state and local governments at 24 CFR 85.25, or for non-profits at 24 CFR 84.24.

**Project-Based Rental Assistance (PBRA):** A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor or Subrecipient. Assistance is tied directly to the properties and is not portable or transferable.

**Project Sponsor Organizations:** Any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee to provide eligible housing and other support services or administrative services as defined in 24 CFR 574.300. Project Sponsor organizations are required to provide performance data on households served and funds expended. Funding flows to a project sponsor as follows:

HUD Funding → Grantee → Project Sponsor

**Short-Term Rent, Mortgage, and Utility (STRMU) Assistance:** A time-limited, housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52 week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

**Stewardship Units:** Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction and rehabilitation that no longer receive operating subsidies from HOPWA. Report information for the units is subject to the three-year use agreement if rehabilitation is non-substantial and to the ten-year use agreement if rehabilitation is substantial.

**Subrecipient Organization:** Any organization that receives funds from a project sponsor to provide eligible housing and other support services and/or administrative services as defined in 24 CFR 574.300. If a subrecipient organization provides housing and/or other supportive services directly to clients, the subrecipient organization must provide performance data on household served and funds expended. Funding flows to subrecipients as follows:

HUD Funding → Grantee → Project Sponsor → Subrecipient

**Tenant-Based Rental Assistance (TBRA):** TBRA is a rental subsidy program similar to the Housing Choice Voucher program that grantees can provide to help low-income households access affordable housing. The TBRA voucher is not tied to a specific unit, so tenants may move to a different unit without losing their assistance, subject to individual program rules. The subsidy amount is determined in part based on household income and rental costs associated with the tenant's lease.

**Transgender:** Transgender is defined as a person who identifies with, or presents as, a gender that is different from his/her gender at birth.

**Veteran:** A veteran is someone who has served on active duty in the Armed Forces of the United States. This does not include inactive military reserves or the National Guard unless the person was called up to active duty.

**Housing Opportunities for Person with AIDS (HOPWA)  
Consolidated Annual Performance and Evaluation Report (CAPER)  
Measuring Performance Outputs and Outcomes**

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

**Part I: Grantee Executive Summary**

As applicable, complete the charts below to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program. Chart 1 requests general Grantee Information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of \$25,000 or greater that assists grantees or project sponsors carrying out their administrative or evaluation activities. In Chart 4, indicate each subrecipient organization with a contract/agreement to provide HOPWA-funded services to client households. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

*Note: Please see the definition section for distinctions between project sponsor and subrecipient.*

*Note: If any information does not apply to your organization, please enter N/A. Do not leave any section blank.*

**1. Grantee Information**

<b>HUD Grant Number</b> NYH13-F003		<b>Operating Year for this report</b> From (mm/dd/yy) 07/01/2013 To (mm/dd/yy) 06/30/2014		
<b>Grantee Name</b> City of Rochester				
<b>Business Address</b>		30 Church Street		
<b>City, County, State, Zip</b>		Rochester	Monroe	NY 14614
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		16-6002551		
<b>DUN &amp; Bradstreet Number (DUNs):</b>		002465805	<b>Central Contractor Registration (CCR):</b> Is the grantee's CCR status currently active? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide CCR Number: 30SP8	
<b>*Congressional District of Grantee's Business Address</b>		28		
<b>*Congressional District of Primary Service Area(s)</b>		28 25		
<b>*City(ies) and County(ies) of Primary Service Area(s)</b>		Cities: Rochester		Counties: Monroe, Livingston, Orleans, Wayne, Ontario
<b>Organization's Website Address</b> www.cityofrochester.gov		<b>Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee service Area?</b> <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section what services maintain a waiting list and how this list is administered. Sponsors keep lists		

\* Service delivery area information only needed for program activities being directly carried out by the grantee.

## 2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

*Note: Please see the definitions for distinctions between project sponsor and subrecipient.*

*Note: If any information does not apply to your organization, please enter N/A.*

Project Sponsor Agency Name Catholic Charities Community Services, Inc.		Parent Company Name, if applicable The Diocese of Rochester, Inc.	
Name and Title of Contact at Project Sponsor Agency	Phyllis McElligott-Supportive Services Director		
Email Address	pmcelligott@dor.org		
Business Address	Water Tower Office Park, 1099 Jay Street, Building J		
City, County, State, Zip,	Rochester, Monroe, New York, 14611		
Phone Number (with area code)	(585)	339-9800	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	35-2313041	Fax Number (with area code) (585) 339-9787	
DUN & Bradstreet Number (DUNs):	603722661		
Congressional District of Project Sponsor's Business Address	25		
Congressional District(s) of Primary Service Area(s)	25		
City(ies) and County(ies) of Primary Service Area(s)	Cities: Rochester		
Total HOPWA contract amount for this Organization for the operating year	\$269,938.00	Counties: Monroe	
Organization's Website Address cccs@dor.org	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  If yes, explain in the narrative section how this list is administered.		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  Please check if yes and a faith-based organization. <input checked="" type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  If yes, explain in the narrative section how this list is administered.		

<b>Project Sponsor Agency Name</b> Trillium Health		<b>Parent Company Name, if applicable</b>	
<b>Name and Title of Contact at Project Sponsor Agency</b>		Javier Elias, Housing Coordinator	
<b>Email Address</b>		jeliass@trilliumhealth.org	
<b>Business Address</b>		259 Monroe Avenue	
<b>City, County, State, Zip,</b>		Rochester, Monroe, New York, 14607	
<b>Phone Number (with area code)</b>		(585) 210-4187	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		16-1356734	<b>Fax Number (with area code)</b> (585) 244-1197
<b>DUN &amp; Bradstreet Number (DUNS):</b>		032487069	
<b>Congressional District of Project Sponsor's Business Address</b>		29	
<b>Congressional District(s) of Primary Service Area(s)</b>		24, 25, 26, 28, 29	
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Cities: Rochester, Geneva	
<b>Total HOPWA contract amount for this Organization for the operating year</b>		\$428,605	Counties: Monroe, Wayne, Ontario
<b>Organization's Website Address</b> www.trilliumhealthny.org		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  If yes, explain in the narrative section how this list is administered.	
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  If yes, explain in the narrative section how this list is administered.	

### 3. Administrative Subrecipient Information

NA

Use Chart 3 to provide the following information for each subrecipient with a contract/agreement of \$25,000 or greater that assists project sponsors to carry out their administrative services but no services directly to client households. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. (Organizations listed may have contracts with project sponsors) These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

*Note: Please see the definitions for distinctions between project sponsor and subrecipient.*

*Note: If any information does not apply to your organization, please enter N/A.*

Subrecipient Name				Parent Company Name, if applicable
Name and Title of Contact at Subrecipient				
Email Address				
Business Address				
City, State, Zip, County				
Phone Number (with area code)				Fax Number (include area code)
Employer Identification Number (EIN) or Tax Identification Number (TIN)				
DUN & Bradstreet Number (DUNs):				
North American Industry Classification System (NAICS) Code				
Congressional District of Subrecipient's Business Address				
Congressional District of Primary Service Area				
City (ies) <u>and</u> County (ies) of Primary Service Area(s)	Cities:		Counties:	
Total HOPWA Subcontract Amount of this Organization for the operating year				

**4. Program Subrecipient Information**

**NA**

Complete the following information for each subrecipient organization providing HOPWA-funded services to client households. These organizations would hold a contract/agreement with a project sponsor(s) to provide these services. For example, a subrecipient organization may receive funds from a project sponsor to provide nutritional services for clients residing within a HOPWA facility-based housing program. Please note that subrecipients who work directly with client households must provide performance data for the grantee to include in Parts 2-7 of the CAPER.

*Note: Please see the definition of a subrecipient for more information.*

*Note: Types of contracts/agreements may include: grants, sub-grants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders.*

*Note: If any information is not applicable to the organization, please report N/A in the appropriate box. Do not leave boxes blank.*

Sub-recipient Name				Parent Company Name, if applicable
Name <u>and</u> Title of Contact at Contractor/ Sub-contractor Agency				
Email Address				
Business Address				
City, County, State, Zip				
Phone Number (included area code)				Fax Number (include area code)
Employer Identification Number (EIN) or Tax Identification Number (TIN)				
DUN & Bradstreet Number (DUNs)				
North American Industry Classification System (NAICS) Code				
Congressional District of the Sub-recipient's Business Address				
Congressional District(s) of Primary Service Area				
City(ies) <u>and</u> County(ies) of Primary Service Area	Cities:		Counties:	
Total HOPWA Subcontract Amount of this Organization for the operating year				

## **5. Grantee Narrative and Performance Assessment**

### **a. Grantee and Community Overview**

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. *Note: Text fields are expandable.*

Grant Organization: *Catholic Charities Community Services, Inc. (CCCS) main location is at Water Tower Office Park, 1099 Jay Street, Building J, Rochester, NY 14611. CCCS delivers multiple services to the disabled and chronically ill in a twelve county area. (Counties include: Monroe, Wayne, Cayuga, Seneca, Ontario, Livingston, Yates, Schuyler, Tompkins, Steuben, Chemung, and Tioga) Executive Director is Lori Van Auken. CCCS's HOPWA contract mainly serves the City of Rochester and Monroe County. Program Contacts for the HOPWA grant are: Phyllis McElligott-Supportive Services Director, and Heidi L. Donner-Housing and Transportation Supervisor. Housing activities under the HOPWA grant include: Tenant Based Rental Assistance, Short Term Rent, Mortgage, and Utility Assistance, Permanent Housing Placement, and Employment Assistance. During the 2013-2014 HOPWA contract year at CCCS, more than half of the total grant provided was used to pay Tenant Based Rental Assistance or (TBRA). Total grant: \$269,938. Percentage of the grant used for TBRA to maintain participants at high risk of homelessness \$160,000-60%. Preventing homelessness and seeing participants live in safe, adequate housing units are our main objectives.*

*In 2011 program staff applied for Section 8 for all of our TBRA participants and staff were able to get them on the Section 8 wait list which was only open for a few weeks. In 2014 many of these same participants are getting their initial letters asking them to begin the Section 8 process. This is notable for two reasons: The local Housing Authority rarely opens its wait list within 5 years and if many of our participants receive Section 8, it will make room for our waiting list of 33 potential participants to become new HOPWA participants. It is more important than ever for these potential participants to keep their information current with program staff so that when the time comes to review their materials; their applications will be updated.*

*HIV+ is now categorized as a chronic illness. People can live with HIV+ for many years and they can have an AIDS free life if they continue their medications. These people can go back to work, buy a house, etc., longer term plans that even ten years ago were not realistic.*

*CCCS has a Health Homes Program that began in 2012. The main objectives of this program are to coordinate care and medical adherence for persons living with a chronic illness (HIV+ would qualify them) who are also Medicaid eligible. The program assists eligible persons with coordination of their care and promotes as much independence for the person as possible. This crucial coordination is helping keep participants out of the emergency room by streamlining their care and supports. It is an important piece of the TBRA participants care as well. Care Managers can troubleshoot problems that arise and let HOPWA program staff know.*

*Trillium served a total of 74 households with STRMU and TBRA. The number of households served with TBRA remained flat throughout the contract year, however, going into the 2014-15 year they anticipate adding 10 new subsidies. All TBRA participants maintained communication with care management services which in turn provided additional stabilization. All STRMU applicants who were approved to receive assistance were required to develop a realistic plan that would address reasons why they fell upon financial hardship.*

### **b. Annual Performance under the Action Plan**

Provide a narrative addressing each of the following four items:

**1. Outputs Reported.** Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

*In 2013-2014 CCCS proposed to serve 35 households with TBRA and actually served 36 households. Seven cases were closed and three cases were opened during the 13-14 contract. Of the seven closures, four were private housing/life events and three were unstable arrangements. 33 of the 36 households (92%) either remained in the HOPWA Program, moved out of the program voluntarily, or passed away. The federal rate is 80%.*

In 2013-2014 CCCS proposed to serve 20 households with STRMU funds and actually served 18 households (90%). CCCS proposed to serve 12 households with Permanent Housing Placement funds and actually served 6 (50%). This is misleading because all six households received security deposits AND first month's rents which could constitute two grants each. Employment Assistance served 24 households and 35 households benefitted from bus passes monthly.

Trillium served a total of 74 households with STRMU and TBRA.

**2. Outcomes Assessed.** Assess your program's success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

Catholic Charities 2013-2014 HOPWA Program had very clear projected outcomes to try and meet for the contract year. CCCS projected serving 35 households (70 persons) in TBRA and exceeded that outcome by serving 36 households (50 persons). TBRA remains the most vital part of CCCS's HOPWA grant. To be able to subsidize the participant's rent and stabilize their housing also stabilizes medical adherence, nutrition, income and finances, ability to pay other essential expenses, and overall piece of mind. CCCS's TBRA closed seven cases during the contract. Two participants died, three participants closed voluntarily, and three participants closed due to non-compliance with program requirements. Federal overall rate is 85%, CCCS has exceeded the Federal rate at 92%. Participants are living longer due to better healthcare and this stability along with case management and housing follow up allows them to keep their rent subsidies longer. CCCS's STRMU projected to serve 20 households (55 persons) and actually served 18 households (25 persons). It is important to note here that of the participants who received STRMU in 2013-2014, none of these same participants received STRMU in 2012-2013 and only three of these participants received STRMU in 2011-2012. STRMU funds are usually the funds cut if TBRA needs funds or if the overall grant is cut. CCCS does begin each contract with projected outcomes it intends to strive for though the amount of funding and numbers served do fluctuate. CCCS projected to serve 12 households (40 persons) in PH Placement and actually served six households (9 persons). Again these funds are moved if TBRA needs funds to maintain rent subsidies or if the overall HOPWA grant is cut. Thirty five persons per month benefitted from bus passes. Employment assistance did serve 24 households with benefits counseling and employment soft skills.

Trillium served a total of 74 households with STRMU and TBRA. They will be implementing a system that will allow them to contact applicants three months after they receive assistance and assess their financial situation. Some of the trends they saw during the contract year include an increase in food and heating costs and a decrease in the value of the household's income. This contributed to prolonged periods of financial instability, thus requiring HOPWA funds.

**3. Coordination.** Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

CCCS continues collaborations with several agencies and programs that offer housing services in Monroe County. Housing staff attend monthly meetings at Continuum of Care, Homeless Services Network, Housing Council, Temporary Assistance-TANF, and Rochester Housing Authority-Section 8. CCCS and its HOPWA partner Trillium Health have a long, positive relationship serving clients. Referrals from qualified participants continue to come in from all parts of the region. Leveraged funds are listed on page 8 of the CAPER.

**4. Technical Assistance.** Describe any program technical assistance needs and how they would benefit program beneficiaries.

CCCS utilizes Tech support from our HOPWA field office in Buffalo, NY and from our grantee the City of Rochester. Both try to keep CCCS informed on new rules, regulations, data entry, etc. for the program.
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### **c. Barriers and Trends Overview**

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program's ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA program, how they affected your program's ability to achieve the objectives and outcomes discussed, and, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

HOPWA/HUD Regulations-HOPWA contracts continue to have a maximum 7% administration rate that has never changed. Frequently budget modifications are done and funds are moved between the client services lines so that none of the three categories fall short.

Supportive Services-CCCS knows these services such as care management, treatment adherence, behavioral health, employment, and housing placement are vital to keeping participants in stable housing and in the TBRA program. Participants need supports in place to troubleshoot any problem areas and let housing know immediately. Programs work together to help maintain the overall wellbeing of the participants. If CCCS does not offer the needed support services the participants are referred out to agencies that do offer them.

Housing Affordability-It is a difficult task for participants on fixed incomes to find affordable housing that is also adequately maintained. The HUD federal fair market rents for Monroe County/Rochester, NY allow persons to move into units they can afford. These fair market rents normally include utilities. HOPWA staff have strongly advocated with landlords for persons to get partial utilities covered in the rents at a minimal cost to help tenants stay in their units. CCCS has seen multiple participants being referred who present with no ability to pay thousands of dollars of back utility costs so they can move into a unit. Participants are referred to HEAP and Community Heating fund to try and pay a down payment so they can move. Staff also advocate for year leases rather than month to month as year leases protect tenants from being quickly evicted.

Multiple Diagnosis-An issue many persons living with HIV+ encounter. Being an HIV+ service provider for many years; CCCS takes referrals from all over the region. About 95% of referrals come to us for issues more emergent than the person's HIV+ status. They are initially referred due to their HIV+ status but are also referred for mental illness, chronic homelessness, drug and alcohol issues, diabetes, end stage renal disease, developmental disabilities, issues with child and adult protective, criminal history, domestic violence, acute illnesses, employment loss, benefit loss, etc. Multiple chronic illnesses that affect their health and can jeopardize their housing.

Combining Credit History, Rural Access, Availability, Eligibility, Rental History, FMR's, Criminal Justice History-Challenges any HIV+ housing provider faces. There is little to no adequate housing in the rural counties for persons on fixed incomes so persons just take what they can get. In the City of Rochester availability is becoming scarce due to Monroe County DHS only paying \$400.00 a month for a single person's apartment and the FMR is \$685.00. DHS hasn't updated their rent amounts in many years. For the same FMR of \$685.00 how does a person on SSI of \$808.00 afford these units? (Credit, Eligibility, Rental and Evictions, Criminal History) It is crucial persons are honest with housing programs about these issues so staff can come up with realistic housing goals for each person. Records that are accessible by "public record" can be the most damaging to a potential tenant if he/she hasn't been honest about their issues. Although HUD funded housing does not rent to convicted felons, some non HUD funded complexes realize persons with felonies need housing too and created reasonable accommodation for felonies that happened a certain number of years ago and are in

<input checked="" type="checkbox"/> HOPWA/HUD Regulations	<input type="checkbox"/> Planning	<input checked="" type="checkbox"/> Housing Availability	<input checked="" type="checkbox"/> Rent Determination and Fair Market Rents
<input type="checkbox"/> Discrimination/Confidentiality	<input checked="" type="checkbox"/> Multiple Diagnoses	<input checked="" type="checkbox"/> Eligibility	<input type="checkbox"/> Technical Assistance or Training
<input checked="" type="checkbox"/> Supportive Services	<input checked="" type="checkbox"/> Credit History	<input checked="" type="checkbox"/> Rental History	<input checked="" type="checkbox"/> Criminal Justice History
<input checked="" type="checkbox"/> Housing Affordability	<input checked="" type="checkbox"/> Geography/Rural Access	<input checked="" type="checkbox"/> Other, please explain further	Dwindling of resources each year

less damaging felony categories.

Annual dwindling of emergency resources-Every contract year it seems the emergency funding lines are either not enough, spent too fast, or committed to Long Term Subsidies to last the year. Catholic Charities has had to be creative and flexible in modifying budgets so funds last. Referrals for services continue to come in and the issue becomes: With flat or less funding every contract how do we make the funds help as many persons as it can?

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

Statistics provided by a 2012 Community Health Assessments in Monroe County show that the rate of HIV+ infection in Monroe County is lower than New York State as a whole. African American and Latino youth and young adults are the second highest infected group after Men Who Have Sex with Men (MSM). Three fourths of Monroe County cases occur in African Americans and Latinos. New HIV+ diagnosis' case rate per 100,000 people: Monroe County 11.50%, NYS 21.6%, Difference in rates African American-White new cases Monroe County 38%, NYS 59.40%, Difference in rates Latino-White new cases Monroe County 22.40%, NYS 31.10%. 2010 data from the New York State Department of Health shows total of 50,204 new HIV+ cases of which 34,692 were male, 15,509 were female; age group infected the most 40-49, African American, and a MSM.

The Center for Disease Control reported in 2010 that in the United States the most prevalent HIV+ risk group are African American MSM's age 20-29.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public. Materials can be found at HUD.gov, CDC.gov, NYSDOH.gov, HUD field office in Buffalo, NY, and the local Rochester Area task Force on AIDS.

**d. Unmet Housing Needs: An Assessment of Unmet Housing Needs**

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require HOPWA housing subsidy assistance but are not currently served by any HOPWA-funded housing subsidy assistance in this service area.

In Row 1, report the total unmet need of the geographical service area, as reported in *Unmet Needs for Persons with HIV/AIDS*, Chart 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool.

*Note: Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.*

If data is collected on the type of housing that is needed in Rows a. through c., enter the number of HOPWA-eligible households by type of housing subsidy assistance needed. For an approximate breakdown of overall unmet need by type of housing subsidy assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds. Do not include clients who are already receiving HOPWA-funded housing subsidy assistance.

Refer to Chart 2, and check all sources consulted to calculate unmet need. Reference any data from neighboring states' or municipalities' Consolidated Plan or other planning efforts that informed the assessment of Unmet Need in your service area.

*Note: In order to ensure that the unmet need assessment for the region is comprehensive, HOPWA formula grantees should include those unmet needs assessed by HOPWA competitive grantees operating within the service area.*

**1. Planning Estimate of Area's Unmet Needs for HOPWA-Eligible Households**

1. Total number of households that have unmet housing subsidy assistance need.	648
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2. From the total reported in Row 1, identify the number of households with unmet housing needs by type of housing subsidy assistance:	348
a. Tenant-Based Rental Assistance (TBRA)	
b. Short-Term Rent, Mortgage and Utility payments (STRMU)	150
• Assistance with rental costs	90
• Assistance with mortgage payments	10
• Assistance with utility costs.	50
c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities	150

**2. Recommended Data Sources for Assessing Unmet Need (check all sources used)**

X	= Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives
X	= Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
	= Data from client information provided in Homeless Management Information Systems (HMIS)
X	= Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need including those completed by HOPWA competitive grantees operating in the region.
	= Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
X	= Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
X	= Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

**End of PART 1**

**PART 2: Sources of Leveraging and Program Income**

**1. Sources of Leveraging**

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

*Note: Be sure to report on the number of households supported with these leveraged funds in Part 3, Chart 1, Column d.*

**A. Source of Leveraging Chart**

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
<b>Public Funding</b>			
Ryan White-Housing Assistance			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White-Other			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Housing Choice Voucher Program			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Low Income Housing Tax Credit			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
HOME			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Shelter Plus Care			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Emergency Solutions Grant			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: New York State AIDS Institute Housing and Supportive Services Grant 2013-2014	\$127,000		<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public New York State AIDS Institute Housing and Supportive Services Grant 2013-2014	\$130,000		<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: : Foundations for Living (competitive HOPWA funds for Employment Program) January 2014	\$114,000		<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: Foundations for Living (competitive HOPWA funds for Employment Program) January 2014	\$46,000		<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: Grants-United Way (Rochester only)	\$10,000	Emergency Assistance	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
<b>Private Funding</b>			

Grants			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
In-kind Resources			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Funding			
Grantee/Project Sponsor/Subrecipient (Agency) Cash			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Resident Rent Payments by Client to Private Landlord			
<b>TOTAL (Sum of all Rows)</b>		\$427,000	

**2. Program Income and Resident Rent Payments**

In Section 2, Chart A., report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

*Note: Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).*

**A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year**

<b>Program Income and Resident Rent Payments Collected</b>		<b>Total Amount of Program Income (for this operating year)</b>
1.	Program income (e.g. repayments)	\$350.00
2.	Resident Rent Payments made directly to HOPWA Program	0
3.	<b>Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)</b>	\$350.00

**B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households**

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, PHP, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

<b>Program Income and Resident Rent Payment Expended on HOPWA programs</b>		<b>Total Amount of Program Income Expended (for this operating year)</b>
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	350
2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	0
3.	<b>Total Program Income Expended (Sum of Rows 1 and 2)</b>	350

**End of PART 2**

**PART 3: Accomplishment Data Planned Goal and Actual Outputs**

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

*Note: The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.*

**1. HOPWA Performance Planned Goal and Actual Outputs**

HOPWA Performance Planned Goal and Actual							
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
1.	Tenant-Based Rental Assistance	72	73			\$525,236.66	\$361,088.26
2a.	<b>Permanent Housing Facilities:</b> Received Operating Subsidies/Leased units (Households Served)						
2b.	<b>Transitional/Short-term Facilities:</b> Received Operating Subsidies/Leased units (Households Served) (Households Served)						
3a.	<b>Permanent Housing Facilities:</b> Capital Development Projects placed in service during the operating year (Households Served)						
3b.	<b>Transitional/Short-term Facilities:</b> Capital Development Projects placed in service during the operating year (Households Served)						
4.	Short-Term Rent, Mortgage and Utility Assistance	55	55			\$66,539.36	\$71,971.07
5.	Permanent Housing Placement Services	52	6			\$59,133.48	\$13,571.25
6.	Adjustments for duplication (subtract)	0	0				
7.	<b>Total HOPWA Housing Subsidy Assistance</b> (Columns a. – d. equal the sum of Rows 1-5 minus Row 6; Columns e. and f. equal the sum of Rows 1-5)	179	134			\$650,909.50	\$446,630.58
8.	Facility-based units; Capital Development Projects not yet opened (Housing Units)						
9.	Stewardship Units subject to 3 or 10 year use agreements						
10.	<b>Total Housing Developed</b> (Sum of Rows 78. & 9)						
11a.	Supportive Services provided by project sponsors/subrecipient that also delivered HOPWA housing subsidy assistance						
11b.	Supportive Services provided by project sponsors/subrecipient that only provided supportive services.						
12.	Adjustment for duplication (subtract)						
13.	<b>Total Supportive Services</b> (Columns a. – d. equal the sum of Rows 11 a. & b. minus Row 12; Columns e. and f. equal the sum of Rows 11a. & 11b.)						
14.	Housing Information Services						
15.	<b>Total Housing Information Services</b>						

Grant Administration and Other Activities		Fiscal Year 2014				Fiscal Year 2015	
16.	Resource Identification to establish, coordinate and develop housing assistance resources					0	0
17.	Technical Assistance (if approved in grant agreement)					0	0
18.	Grantee Administration (maximum 3% of total HOPWA grant)					\$19,710.00	\$19,710.00
19.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)					\$47,633.50	\$28,961.96
20.	<b>Total Grant Administration and Other Activities (Sum of Rows 17 – 20)</b>					\$67,343.50	\$48,671.96
21.	<b>Total Expenditures for program year (Sum of Rows 7, 10, 13, 15, and 20)</b>					\$718,253.00	\$495,302.54

Additional draws are underway.

## 2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

*Data check: Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 13.*

Supportive Services		[1] Output: Number of <u>Households</u>	[2] Output: Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance		
2.	Alcohol and drug abuse services		
3.	Case management		
4.	Child care and other child services		
5.	Education		
6.	Employment assistance and training	24	3,166
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310		
8.	Legal services		
9.	Life skills management (outside of case management)		
10.	Meals/nutritional services		
11.	Mental health services		
12.	Outreach		
13.	Transportation		
14.	Other Activity (if approved in grant agreement). Specify: bus passes	35	\$2,000
15.	<b>Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)</b>		
16.	<b>Adjustment for Duplication (subtract)</b>		
17.	<b>TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)</b>	59	5,166

### 3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary

In Row a., enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility (STRMU) Assistance. In Row b., enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c., enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d., enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e., enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f., enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. In row g., report the amount of STRMU funds expended to support direct program costs such as program operation staff.

**Data Check:** The total households reported as served with STRMU in Row a., column [1] and the total amount of HOPWA funds reported as expended in Row a., column [2] equals the household and expenditure total reported for STRMU in Part 3, Chart 1, Row 4, Columns b. and f., respectively.

**Data Check:** The total number of households reported in Column [1], Rows b., c., d., e., and f. equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b., c., d., e., f., and g. equal the total amount of STRMU expenditures reported in Column [2], Row a.

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of Households Served	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
a.	Total Short-term mortgage, rent and/or utility (STRMU) assistance	55	\$53,235.99
b.	Of the total STRMU reported on Row a, total who received assistance with mortgage costs ONLY.	1	\$992.22
c.	Of the total STRMU reported on Row a, total who received assistance with mortgage and utility costs.	0	\$0.00
d.	Of the total STRMU reported on Row a, total who received assistance with rental costs ONLY.	40	\$37,910.32
e.	Of the total STRMU reported on Row a, total who received assistance with rental and utility costs.	2	\$2,335.51
f.	Of the total STRMU reported on Row a, total who received assistance with utility costs ONLY.	12	\$8,836.94
g.	Direct program delivery costs (e.g., program operations staff time)		7,326.24

End of PART 3

**Part 4: Summary of Performance Outcomes**

In Column [1], report the total number of eligible households that received HOPWA housing subsidy assistance, by type. In Column [2], enter the number of households that continued to access each type of housing subsidy assistance into next operating year. In Column [3], report the housing status of all households that exited the program.  
**Data Check:** The sum of Columns [2] (Number of Households Continuing) and [3] (Exited Households) equals the total reported in Column[1].  
**Note:** Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.

**Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)**

**A. Permanent Housing Subsidy Assistance**

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
<b>Tenant-Based Rental Assistance</b>	73	61	1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
			2 Temporary Housing		<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing	2	<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA		
			5 Other Subsidy	1	
			6 Institution		<i>Unstable Arrangements</i>
			7 Jail/Prison		
			8 Disconnected/Unknown	4	
			9 Death	5	<i>Life Event</i>
<b>Permanent Supportive Housing Facilities/ Units</b>	NA		1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
			2 Temporary Housing		<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing		<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		<i>Unstable Arrangements</i>
			7 Jail/Prison		
			8 Disconnected/Unknown		
			9 Death		<i>Life Event</i>

**B. Transitional Housing Assistance**

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
<b>Transitional/ Short-Term Housing Facilities/ Units</b>	NA		1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
			2 Temporary Housing		<i>Temporarily Stable with Reduced Risk of Homelessness</i>
			3 Private Housing		<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		<i>Unstable Arrangements</i>
			7 Jail/Prison		
			8 Disconnected/unknown		
			9 Death		<i>Life Event</i>

**Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Subsidy Assistance)**

Report the total number of households that received STRMU assistance in Column [1].

In Column [2], identify the outcomes of the households reported in Column [1] either at the time that they were known to have left the STRMU program or through the project sponsor or subrecipient's best assessment for stability at the end of the operating year.

Information in Column [3] provides a description of housing outcomes; therefore, data is not required.

At the bottom of the chart:

- In Row 1a., report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b., report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

**Data Check:** The total households reported as served with STRMU in Column [1] equals the total reported in Part 3, Chart 1, Row 4, Column b.

**Data Check:** The sum of Column [2] should equal the number of households reported in Column [1].

**Assessment of Households that Received STRMU Assistance**

[1] Output: Total number of households	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
55	<b>Maintain Private Housing without subsidy</b> <i>(e.g. Assistance provided/completed and client is stable, not likely to seek additional support)</i>	50	<i>Stable/Permanent Housing (PH)</i>
	<b>Other Private Housing without subsidy</b> <i>(e.g. client switched housing units and is now stable, not likely to seek additional support)</i>	1	
	Other HOPWA Housing Subsidy Assistance	3	
	Other Housing Subsidy (PH)		
	<b>Institution</b> <i>(e.g. residential and long-term care)</i>		
	Likely that additional STRMU is needed to maintain current housing arrangements	1	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
	<b>Transitional Facilities/Short-term</b> <i>(e.g. temporary or transitional arrangement)</i>		
	<b>Temporary/Non-Permanent Housing arrangement</b> <i>(e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)</i>		
	Emergency Shelter/street		<i>Unstable Arrangements</i>
	Jail/Prison		
	Disconnected		
	Death		<i>Life Event</i>
1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year (e.g. households that received STRMU assistance in two consecutive operating years).			0
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years (e.g. households that received STRMU assistance in three consecutive operating years).			3

### Section 3. HOPWA Outcomes on Access to Care and Support

#### 1a. Total Number of Households

Line [1]: For project sponsors/subrecipients that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, PHP and Master Leasing) and HOPWA funded case management services. Use Row c. to adjust for duplication among the service categories and Row d. to provide an unduplicated household total.

Line [2]: For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received HOPWA funded case management services.

*Note: These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b. below.*

Total Number of Households	
<b>1. For Project Sponsors/Subrecipients that provided HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded services:</b>	
a. Housing Subsidy Assistance (duplicated)-TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing	134
b. Case Management	
c. Adjustment for duplication (subtraction)	
<b>d. Total Households Served by Project Sponsors/Subrecipients with Housing Subsidy Assistance (Sum of Rows a.b. minus Row c.)</b>	<b>134</b>
<b>2. For Project Sponsors/Subrecipients that did NOT provide HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded services:</b>	
a. HOPWA Case Management	
<b>b. Total Households Served by Project Sponsors/Subrecipients without Housing Subsidy Assistance</b>	

#### 1b. Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report the number of households that demonstrated access or maintained connections to care and support within the program year.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report the number of households that demonstrated improved access or maintained connections to care and support within the program year.

*Note: For information on types and sources of income and medical insurance/assistance, refer to Charts below.*

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing	127		Support for Stable Housing
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan (may include leveraged services such as Ryan White Medical Case Management)	133		Access to Support
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan	134		Access to Health Care
4. Accessed and maintained medical insurance/assistance	134		Access to Health Care
5. Successfully accessed or maintained qualification for sources of income	131		Sources of Income

**Chart 1b., Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)**

<ul style="list-style-type: none"> <li>• MEDICAID Health Insurance Program, or use local program name</li> <li>• MEDICARE Health Insurance Program, or use local program name</li> </ul>	<ul style="list-style-type: none"> <li>• Veterans Affairs Medical Services</li> <li>• AIDS Drug Assistance Program (ADAP)</li> <li>• State Children's Health Insurance Program (SCHIP), or use local program name</li> </ul>	<p>Ryan White-funded Medical or Dental Assistance</p>
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**Chart 1b., Row 5: Sources of Income include, but are not limited to the following (Reference only)**

<ul style="list-style-type: none"> <li>• Earned Income</li> <li>• Veteran's Pension</li> <li>• Unemployment Insurance</li> <li>• Pension from Former Job</li> <li>• Supplemental Security Income (SSI)</li> </ul>	<ul style="list-style-type: none"> <li>• Child Support</li> <li>• Social Security Disability Income (SSDI)</li> <li>• Alimony or other Spousal Support</li> <li>• Veteran's Disability Payment</li> <li>• Retirement Income from Social Security</li> <li>• Worker's Compensation</li> </ul>	<ul style="list-style-type: none"> <li>• General Assistance (GA), or use local program name</li> <li>• Private Disability Insurance</li> <li>• Temporary Assistance for Needy Families (TANF)</li> <li>• Other Income Sources</li> </ul>
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**1c. Households that Obtained Employment**

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or case management/counseling services.

*Note: This includes jobs created by this project sponsor/subrecipients or obtained outside this agency.*

*Note: Do not include jobs that resulted from leveraged job training, employment assistance, education or case management/counseling services.*

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	3	

**End of PART 4**

**PART 5: Worksheet - Determining Housing Stability Outcomes (optional)**

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

Permanent Housing Subsidy Assistance	Stable Housing (# of households remaining in program plus 3+4+5+6)	Temporary Housing (2)	Unstable Arrangements (1+7+8)	Life Event (9)
Tenant-Based Rental Assistance (TBRA)	31	0	3	2
Permanent Facility-based Housing Assistance/Units	0	0	0	0
Transitional/Short-Term Facility-based Housing Assistance/Units	0	0	0	0
<b>Total Permanent HOPWA Housing Subsidy Assistance</b>	<b>31</b>	<b>0</b>	<b>3</b>	<b>2</b>
Reduced Risk of Homelessness: Short-Term Assistance	Stable/Permanent Housing	Temporarily Stable, with Reduced Risk of Homelessness	Unstable Arrangements	Life Events
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)	18	17	1	1
<b>Total HOPWA Housing Subsidy Assistance</b>	<b>49</b>	<b>17</b>	<b>1</b>	<b>1</b>

**Background on HOPWA Housing Stability Codes**

**Stable Permanent Housing/Ongoing Participation**

- 3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.
- 4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.
- 5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).
- 6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

**Temporary Housing**

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

**Unstable Arrangements**

- 1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).
- 7 = Jail /prison.
- 8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

**Life Event**

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

**Tenant-based Rental Assistance:** Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Permanent Facility-Based Housing Assistance:** Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households

that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Transitional/Short-Term Facility-Based Housing Assistance:** Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Tenure Assessment.** A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

**STRMU Assistance:** Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements. Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

**End of PART 5**

**PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)**

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

*Note: See definition of Stewardship Units. NA*

**1. General information**

HUD Grant Number(s)	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6;  <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name	Date Facility Began Operations (mm/dd/yy)

**2. Number of Units and Non-HOPWA Expenditures**

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)		

**3. Details of Project Site**

Project Sites: Name of HOPWA-funded project	
Site Information: Project Zip Code(s)	
Site Information: Congressional District(s)	
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

<i>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.</i>	
Name & Title of Authorized Official of the organization that continues to operate the facility:	Signature & Date (mm/dd/yy)
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program)	Contact Phone (with area code)

End of PART 6

**Part 7: Summary Overview of Grant Activities**  
**A: Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, S/ERMU, Facility-Based Units, Permanent Housing Placement and Master Leased Units ONLY)**

*Note: Reporting for this section should include ONLY those individuals, beneficiaries, or households that received and/or resided in a household that received HOPWA Housing Subsidy Assistance as reported in Part 3, Chart 1, Row 7, Column b. (e.g., do not include households that received HOPWA supportive services ONLY).*

**Section 1. HOPWA-Eligible Individuals who Received HOPWA Housing Subsidy Assistance**

**a. Total HOPWA Eligible Individuals Living with HIV/AIDS**

In Chart a., provide the total number of eligible (and unduplicated) low-income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance, NOT all HIV positive individuals in the household.

Individuals Served with Housing Subsidy Assistance	Total
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	134

**Chart b. Prior Living Situation**

In Chart b., report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

**Data Check:** The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing subsidy assistance reported in Chart a. above.

Category	Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance
1. Continuing to receive HOPWA support from the prior operating year	70
<b>New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year</b>	
2. Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	
3. Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	
4. Transitional housing for homeless persons	
5. <b>Sum of Rows 2-4</b>	
6. Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	2
7. Psychiatric hospital or other psychiatric facility	
8. Substance abuse treatment facility or detox center	
9. Hospital (non-psychiatric facility)	
10. Foster care home or foster care group home	
11. Jail, prison or juvenile detention facility	1
12. Rented room, apartment, or house	47
13. House you own	1
14. Staying or living in someone else's (family and friends) room, apartment, or house	11
15. Hotel or motel paid for without emergency shelter voucher	
16. Other	2
17. Don't Know or Refused	
18. <b>TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)</b>	134

**c. Homeless Individual Summary**

In Chart c., indicate the number of eligible individuals reported in Chart b., Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c. do not need to equal the total in Chart b., Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance	2	10

**Section 2. Beneficiaries**

In Chart a., report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (as reported in Part 7A, Section 1, Chart a.), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals).

*Note: See definition of HOPWA Eligible Individual*

*Note: See definition of Transgender.*

*Note: See definition of Beneficiaries.*

**Data Check:** The sum of *each* of the Charts b. & c. on the following two pages equals the total number of beneficiaries served with HOPWA housing subsidy assistance as determined in Chart a., Row 4 below.

**a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance**

Individuals and Families Served with HOPWA Housing Subsidy Assistance	Total Number
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (equals the number of HOPWA Eligible Individuals reported in Part 7A, Section 1, Chart a.)	134
2. Number of ALL other persons <b>diagnosed</b> as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance	0
3. Number of ALL other persons <b>NOT diagnosed</b> as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefitted from the HOPWA housing subsidy	87
<b>4. TOTAL number of ALL <u>beneficiaries</u> served with Housing Subsidy Assistance (Sum of Rows 1,2, &amp; 3)</b>	<b>221</b>

**b. Age and Gender**

In Chart b., indicate the Age and Gender of all beneficiaries as reported in Chart a. directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a., Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a., Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11, Column E. equals the total number of beneficiaries reported in Part 7, Section 2, Chart a., Row 4.

HOPWA Eligible Individuals (Chart a, Row 1)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
1.	Under 18	0	0	0	0	0
2.	18 to 30 years	4	7	0	0	11
3.	31 to 50 years	32	34	1	0	67
4.	51 years and Older	32	24	0	0	56
5.	<b>Subtotal (Sum of Rows 1-4)</b>	<b>68</b>	<b>65</b>	<b>1</b>	<b>0</b>	<b>134</b>
All Other Beneficiaries (Chart a, Rows 2 and 3)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
6.	Under 18	23	22	0	0	45
7.	18 to 30 years	17	6	0	0	23
8.	31 to 50 years	3	4	0	0	7
9.	51 years and Older	5	7	0	0	12
10.	<b>Subtotal (Sum of Rows 6-9)</b>	<b>48</b>	<b>39</b>	<b>0</b>	<b>0</b>	<b>87</b>
Total Beneficiaries (Chart a, Row 4)						
11.	<b>TOTAL (Sum of Rows 5 &amp; 10)</b>	<b>116</b>	<b>104</b>	<b>1</b>	<b>0</b>	<b>221</b>

**c. Race and Ethnicity\***

In Chart c., indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a., Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a., Row 4.

Category	HOPWA Eligible Individuals		All Other Beneficiaries	
	[A] Race [all individuals reported in Section 2, Chart a., Row 1]	[B] Ethnicity [Also identified as Hispanic or Latino]	[C] Race [total of individuals reported in Section 2, Chart a., Rows 2 & 3]	[D] Ethnicity [Also identified as Hispanic or Latino]
1. American Indian/Alaskan Native	0	0	0	0
2. Asian	0	0	0	0
3. Black/African American	92	5	62	3
4. Native Hawaiian/Other Pacific Islander	0	0	0	0
5. White	37	8	23	2
6. American Indian/Alaskan Native & White				
7. Asian & White				
8. Black/African American & White				
9. American Indian/Alaskan Native & Black/African American				
10. Other Multi-Racial	5	5	2	2
11. Column Totals (Sum of Rows 1-10)	134	18	87	7

*Data Check: Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA Beneficiaries reported in Part 3A, Section 2, Chart a., Row 4.*

\*Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)

**Section 3. Households**

**Household Area Median Income**

Report the area median income(s) for all households served with HOPWA housing subsidy assistance.

**Data Check:** The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 7, Column b and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).

**Note:** Refer to [http://www.huduser.org/portal/datasets/il/il2010/select\\_Geography\\_mfi.odn](http://www.huduser.org/portal/datasets/il/il2010/select_Geography_mfi.odn) for information on area median income in your community.

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	64
2.	31-50% of area median income (very low)	61
3.	51-80% of area median income (low)	9
4.	<b>Total (Sum of Rows 1-3)</b>	134

**Part 7: Summary Overview of Grant Activities**  
**B. Facility-Based Housing Assistance**

Complete one Part 7B for each facility developed or supported through HOPWA funds.

**Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds.** If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor or subrecipient should complete Part 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

Complete Charts 2a., Project Site Information, and 2b., Type of HOPWA Capital Development Project Units, for all Development Projects, including facilities that were past development projects, but continued to receive HOPWA operating dollars this reporting year.

**1. Project Sponsor/Subrecipient Agency Name (Required)**

NA
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**2. Capital Development**

**2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)**

*Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."*

	Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility:
	<input type="checkbox"/> New construction	\$	\$	<b>Type of Facility [Check <u>only one</u> box.]</b> <input type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
	<input type="checkbox"/> Rehabilitation	\$	\$	
	<input type="checkbox"/> Acquisition	\$	\$	
	<input type="checkbox"/> Operating	\$	\$	
a.	Purchase/lease of property:			Date (mm/dd/yy):
b.	Rehabilitation/Construction Dates:			Date started: <span style="float: right;">Date Completed:</span>
c.	Operation dates:			Date residents began to occupy: <input type="checkbox"/> Not yet occupied
d.	Date supportive services began:			Date started: <input type="checkbox"/> Not yet providing services
e.	Number of units in the facility:			HOPWA-funded units = <span style="float: right;">Total Units =</span>
f.	Is a waiting list maintained for the facility?			<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year</i>
g.	What is the address of the facility (if different from business address)?			
h.	Is the address of the project site confidential?			<input type="checkbox"/> Yes, protect information; do not publish list <input type="checkbox"/> No, can be made available to the public

**2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year) NA**

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired with or without rehab				
Rental units rehabbed				
Homeownership units constructed (if approved)				

**3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient**

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

*Note: The number units may not equal the total number of households served.*

**Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.**

**3a. Check one only**

- Permanent Supportive Housing Facility/Units
- Short-term Shelter or Transitional Supportive Housing Facility/Units

**3b. Type of Facility**

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

**Name of Project Sponsor/Agency Operating the Facility/Leased Units:**

Type of housing facility operated by the project sponsor/subrecipient	Total Number of Units in use during the Operating Year Categorized by the Number of Bedrooms per Units					
	SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm	5+bdrm
a. Single room occupancy dwelling						
b. Community residence						
c. Project-based rental assistance units or leased units						
d. Other housing facility <u>Specify:</u>						

**4. Households and Housing Expenditures**

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing	Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a. Leasing Costs		
b. Operating Costs		
c. Project-Based Rental Assistance (PBRA) or other leased units		
d. Other Activity (if approved in grant agreement) <u>Specify:</u>		
e. Adjustment to eliminate duplication (subtract)		
f. TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.)		

<b>Grantee Name</b> City of Rochester	<b>Program Year for this report</b> From (mm/dd/yy) 07/01/2013 To (mm/dd/yy) 06/30/2014
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**Part I: Summary Overview of Grant Activities: Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Assistance**

**Section 1. HOPWA-Eligible Individuals.**

<b>Chart a. Individuals Served with Housing Assistance</b>	<b>Total</b>
Total number of individuals with HIV/AIDS who received HOPWA housing assistance	134

<b>Chart b. Special Needs</b>	<b>Total</b>
Number of HOPWA eligible individuals served with Housing Assistance who are veterans?	2
Number of HOPWA eligible individuals served with Housing Assistance who were chronically homeless?	10

**Chart c. Prior Living Situation:** Indicate the prior living arrangements for all eligible individuals, referenced in Chart a, who received HOPWA housing assistance. *Note: The total number of eligible individuals served in Row 17 should equal the total number of individuals served through housing assistance reported in Chart a above.*

<b>Category</b>		<b>Number of HOPWA Eligible Individuals Served with Housing Assistance</b>
1.	Continuing to receive HOPWA support from the prior operating year	70
<b>New Individuals who received HOPWA Housing Assistance support during Operating Year</b>		
2.	Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	
3.	Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	2
4.	Transitional housing for homeless persons	3
5.	Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	1
6.	Psychiatric hospital or other psychiatric facility	
7.	Substance abuse treatment facility or detox center	
8.	Hospital (non-psychiatric facility)	
9.	Foster care home or foster care group home	
10.	Jail, prison or juvenile detention facility	1
11.	Rented room, apartment, or house	45
12.	House you own	1
13.	Staying or living in someone else's (family and friends) room, apartment, or house	9
14.	Hotel or motel paid for without emergency shelter voucher	
15.	Other	2
16.	Don't Know or Refused	
17.	<b>TOTAL (sum of items 1-16)</b>	134

**Section 2. HOPWA Beneficiaries.**

**Chart a. Total Number of HOPWA Beneficiaries Served with Housing Assistance**

Individuals and Families Served with Housing Assistance	Total Number
1. Number of individuals with HIV/AIDS who received HOPWA housing assistance (Chart a page 4)	134
2. Number of other persons residing with the above eligible individuals in HOPWA-assisted housing	87
<b>3. TOTAL number of beneficiaries served with Housing Assistance (Rows 1 + 2)</b>	221

In Charts b and c below, indicate the age, gender, race and ethnicity for all beneficiaries referenced in Chart a. *Note: The sum of each of the following charts should equal the total number of beneficiaries served with HOPWA housing assistance (in Chart a, Row 3).*

**Chart b. Age and Gender**

Category	Male	Female
1. Under 18	23	22
2. 18 to 30 years	21	13
3. 31 to 50 years	36	38
4. 51 years and Older	37	31

**Chart c. Race and Ethnicity\***

Category	Total Beneficiaries Served with Housing Assistance	Total Beneficiaries also identified as Hispanic or Latino	Category	Total Beneficiaries Served with Housing Assistance	Total Beneficiaries also identified as Hispanic or Latino
1. American Indian/Alaskan Native			6. American Indian/Alaskan Native & White		
2. Asian			7. Asian & White		
3. Black/African American	154	8	8. Black/African American and White		
4. Native Hawaiian/Other Pacific Islander			9. American Indian/Alaskan Native & Black/African American		
5. White	60	10	10. Other Multi-Racial	7	7

\*Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)

**Section 3. Household Income**

**Household Area Median Income.** Report the area median income(s) for all households served with HOPWA housing assistance. The total number of households served with housing assistance should equal total households reported in Part 3C, Section 1, Line 6 of the CAPER. *Note: Refer to [www.hud.gov](http://www.hud.gov) for information on area median income in your community.*

Percentage of Area Median Income	Households Served with Housing Assistance
1. 0-30% of area median income (extremely low)	64
2. 31-50% of area median income (very low)	61
3. 51-60% of area median income (low)	9
4. 61-80% of area median income (low)	

**Part 2: Summary of Project Sponsor Information- Facility-based Housing Assistance**

Complete this following section for each facility being developed or supported through HOPWA funds. In Chart 1, provide the name of the organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. This should correspond to information reported in Part 1, Chart 2 of the CAPER.

**1. Project Sponsor Agency Name**

--

Complete the following section for each facility being developed or supported through HOPWA funds. Complete Charts 2a Project Site Information and 2b Type of Capital Development Project Units for all development projects, current or previous. Charts 3a and 3b are required for each facility. In Chart 2a, and 2b, indicate the type of facility and number of units in each facility. If no expenditures were reported but the facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs) the project sponsor should complete the "HOPWA Housing Project Certification of Continued Usage Form" at the end of the report.

**2. Capital Development**

**2a. Project Site Information for Capital Development of Projects (For Capital Development Projects only)**

	Type of Development	HOPWA Funds Expended	Non-HOPWA funds Expended	Type of Facility [Check <u>only one</u> box.]
	<input type="checkbox"/> New construction	\$	\$	<input type="checkbox"/> Permanent housing
	<input type="checkbox"/> Rehabilitation	\$	\$	<input type="checkbox"/> Short-term Shelter or Transitional housing
	<input type="checkbox"/> Acquisition	\$	\$	<input type="checkbox"/> Supportive services only facility
a.	Purchase/lease of property:		Date (mm/dd/yy):	
b.	Rehabilitation/Construction Dates:		Date started:	Date Completed:
c.	Operation dates:		Date residents began to occupy: <input type="checkbox"/> Not yet occupied	
d.	Date supportive services began:		Date started: <input type="checkbox"/> Not yet providing services	
e.	Number of units in the facility:		HOPWA-funded units =	Total Units =
f.	Is a waiting list maintained for the facility?		<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year</i>	
g.	What is the address of the facility (if different from business address)?			
h.	Is the address of the project site confidential?		<input type="checkbox"/> Yes, protect information; do not publish list. <input type="checkbox"/> No, can be made available to the public.	

**2b. Type of Capital Development Project Units (For Capital Development Projects only)**

For units entered above (1 a) please list the number of HOPWA units that fulfill the following criteria.

	Designated for the chronically homeless	Designated for assist the homeless	Energy-Star Compliant	504 Accessible	Years of affordability (IN YEARS)
Rental units constructed (new) and/or acquired with or without rehab					
Rental units rehabbed					
Homeownership units constructed (if approved)					

**3. Units assisted in types of housing facility/units leased by sponsor**

Indicate the type and number of housing units in the facility, categorized by the number of bedrooms per unit. *Note: The number of units may not equal the total number of households served. Please complete this page for each housing facility assisted.*

**3a. Check one only.**

- Permanent Supportive Housing Facility/Units  
 Short-term Shelter or Transitional Supportive Housing Facility/Units

**3b. Type of Facility**

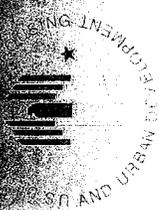
Type of housing facility operated by the project sponsor		Total Number of Units Operated in the Operating Year Categorized by the Number of Bedrooms per Units				
		SRO/0 bdrm	1 bdrm	2bdrm	3 bdrm	4 bdrm
a.	Single room occupancy dwelling					
b.	Community residence					
c.	Project-based rental assistance units or leased units					
d.	Other housing facility. Specify:					

**Send CAPER Beneficiary worksheets to your HUD Field Office and HUD Headquarters at (HOPWA@hud.gov)**

**CITY OF ROCHESTER  
 HUD 108 LOANS  
 SEMI-ANNUAL REPORT  
 6/30/2014**

	<b><u>CLOSING DATE</u></b>	<b><u>LOAN AMOUNT</u></b>	<b><u>PRINCIPAL BALANCE</u></b>	<b><u>REPAYMENTS 10/01/13-3/31/14</u></b>	<b><u>STATUS</u></b>
<b><u>B-00-MC-36-0003A</u></b> HIGH FALLS BREWERY	7/30/02	\$5,000,000.00	\$1,350,000.00	\$193,230.00	CURRENT
<b><u>B-93 MC 36 0003A</u></b> ALLING & CORY	8/5/94	\$2,235,000.00	\$210,000.00	\$202,788.00	CURRENT
<b><u>B-12-MC-36-0003</u></b> COLLEGE TOWN	2/27/2014	\$20,000,000.00	\$11,023,924.00		CURRENT





Count of CDBG Activities with Disbursements by Activity Group & Matrix Code

Activity Group	Activity Category	Underway Count	Underway Activities Disbursed	Completed Count	Completed Activities Disbursed	Program Year Count	Total Activities Disbursed
Acquisition	Clearance and Demolition (04)	0	\$0.00	125	\$0.00	125	\$0.00
	<b>Total Acquisition</b>	<b>0</b>	<b>\$0.00</b>	<b>125</b>	<b>\$0.00</b>	<b>125</b>	<b>\$0.00</b>
Economic Development	CI Building Acquisition, Construction, Rehabilitation (17C)	1	\$3,415,000.00	1	\$0.00	2	\$3,415,000.00
	ED Direct Financial Assistance to For-Profits (18A)	89	\$1,516,828.09	99	\$219,037.79	188	\$1,735,865.88
	ED Technical Assistance (18B)	1	\$0.00	0	\$0.00	1	\$0.00
	Micro-Enterprise Assistance (18C)	3	\$6,069.46	1	\$5,142.93	4	\$11,212.39
	<b>Total Economic Development</b>	<b>94</b>	<b>\$4,937,897.55</b>	<b>101</b>	<b>\$224,180.72</b>	<b>195</b>	<b>\$5,162,078.27</b>
Housing	Rehab; Single-Unit Residential (14A)	20	\$11,913.30	74	\$329,906.82	94	\$341,820.12
	Rehab; Multi-Unit Residential (14B)	3	\$3,967.00	4	\$15,108.48	7	\$19,075.48
	Energy Efficiency Improvements (14F)	0	\$0.00	1	\$0.00	1	\$0.00
	Rehabilitation Administration (14H)	6	\$1,223,925.31	9	\$164,063.80	15	\$1,387,989.11
	Lead-Based/Lead Hazard Test/Abate (14I)	71	\$51,167.25	210	\$324,223.94	281	\$375,391.19
	<b>Total Housing</b>	<b>100</b>	<b>\$1,290,972.86</b>	<b>298</b>	<b>\$833,303.04</b>	<b>398</b>	<b>\$2,124,275.90</b>
Public Facilities and Improvements	Public Facilities and Improvement (General) (03)	5	\$1,396.22	13	\$1,399,726.35	18	\$1,401,122.57
	Street Improvements (03K)	1	\$444,269.08	1	\$0.00	2	\$444,269.08
	Sidewalks (03L)	0	\$0.00	3	\$36,152.89	3	\$36,152.89
	Asbestos Removal (03R)	1	\$62,000.00	0	\$0.00	1	\$62,000.00
	<b>Total Public Facilities and Improvements</b>	<b>7</b>	<b>\$507,665.30</b>	<b>17</b>	<b>\$1,435,879.24</b>	<b>24</b>	<b>\$1,943,544.54</b>
Public Services	Public Services (General) (05)	8	\$292,242.94	21	\$220,398.10	29	\$512,641.04
	Legal Services (05C)	0	\$0.00	1	\$0.00	1	\$0.00
	Youth Services (05D)	1	\$26,368.95	12	\$283,658.31	13	\$310,027.26
	Employment Training (05H)	0	\$0.00	4	\$0.00	4	\$0.00
	Child Care Services (05L)	0	\$0.00	1	\$0.00	1	\$0.00
	<b>Total Public Services</b>	<b>9</b>	<b>\$318,611.89</b>	<b>39</b>	<b>\$504,056.41</b>	<b>48</b>	<b>\$822,668.30</b>
General Administration and Planning	Planning (20)	1	\$276,781.10	5	\$74,500.00	6	\$351,281.10
	General Program Administration (21A)	1	\$182,766.75	1	\$1,302.36	2	\$184,069.11
	Indirect Costs (21B)	1	\$304,863.43	0	\$0.00	1	\$304,863.43

ROCHESTER

Activity Group	Activity Category	Underway Count	Underway Activities Disbursed	Completed Count	Completed Activities Disbursed	Program Year Count	Total Activities Disbursed
General Administration and Planning	Total General Administration and Planning	3	\$764,411.28	6	\$75,802.36	9	\$840,213.64
Repayment of Section 108 Loans	Planned Repayment of Section 108 Loan Principal (19F)	0	\$0.00	1	\$0.00	1	\$0.00
	Total Repayment of Section 108 Loans	0	\$0.00	1	\$0.00	1	\$0.00
<b>Grand Total</b>		<b>213</b>	<b>\$7,819,558.88</b>	<b>587</b>	<b>\$3,073,221.77</b>	<b>800</b>	<b>\$10,892,780.65</b>

Office of Community Planning and Development  
 Integrated Disbursement and Information System  
 CDBG Summary of Accomplishments  
 Program Year: 2013

ROCHESTER

**CDBG Sum of Actual Accomplishments by Activity Group and Accomplishment Type**

Activity Group	Matrix Code	Accomplishment Type	Open Count	Completed Count	Program Year Totals	
Acquisition	Clearance and Demolition (04)	Housing Units	0	450,032	450,032	
	<b>Total Acquisition</b>		<b>0</b>	<b>450,032</b>	<b>450,032</b>	
	Economic Development	CI Building Acquisition, Construction, Rehabilitation (17C)	Jobs	0	265	265
		ED Direct Financial Assistance to For-Profits (18A)	Business	13,264,468	11,494,743	24,759,211
			Jobs	14	232	246
ED Technical Assistance (18B)		Business	420,612	0	420,612	
Housing	Micro-Enterprise Assistance (18C)	Business	210,306	0	210,306	
		Jobs	0	3	3	
	<b>Total Economic Development</b>		<b>13,895,400</b>	<b>11,495,243</b>	<b>25,390,643</b>	
	Rehab; Single-Unit Residential (14A)	Housing Units	18	72	90	
Public Facilities and Improvements	Rehab; Multi-Unit Residential (14B)	Housing Units	3	6	9	
	Energy Efficiency Improvements (14F)	Housing Units	0	7	7	
	Rehabilitation Administration (14H)	Housing Units	841,224	2,313,366	3,154,590	
	Lead-Based/Lead Hazard Test/Abate (14I)	Housing Units	77	269	346	
	<b>Total Housing</b>		<b>841,322</b>	<b>2,313,720</b>	<b>3,155,042</b>	
Public Services	Public Facilities and Improvement (General) (03)	Persons	29,884	32,415	62,299	
		Public Facilities	0	214,918	214,918	
	Street Improvements (03K)	Persons	36,768	4,284	41,052	
	Sidewalks (03L)	Persons	0	210,306	210,306	
Grand Total	Asbestos Removal (03R)	Public Facilities	0	3,108	3,108	
		Jobs	0	0	0	
	<b>Total Public Facilities and Improvements</b>		<b>66,652</b>	<b>465,031</b>	<b>531,683</b>	
	Public Services (General) (05)	Persons	527,589	2,442,415	2,970,004	
	Legal Services (05C)	Persons	0	27	27	
Grand Total	Youth Services (05D)	Persons	21	567	588	
	Employment Training (05H)	Persons	0	630,959	630,959	
	Child Care Services (05L)	Persons	0	0	0	
	<b>Total Public Services</b>		<b>527,610</b>	<b>3,073,968</b>	<b>3,601,578</b>	
<b>Grand Total</b>		<b>15,330,984</b>	<b>17,797,994</b>	<b>33,128,978</b>		

ROCHESTER

**CDBG Beneficiaries by Racial / Ethnic Category**

Housing-Non Housing	Race	Total Persons	Total Hispanic Persons	Total Households	Total Hispanic Households
Housing	White	0	0	148	52
	Black/African American	0	0	307	1
	Asian	0	0	5	0
	American Indian/Alaskan Native	0	0	1	0
	Asian & White	0	0	2	0
	Black/African American & White	0	0	1	0
	Other multi-racial	0	0	2	0
	<b>Total Housing</b>	<b>0</b>	<b>0</b>	<b>466</b>	<b>53</b>
Non Housing	White	718	103	0	0
	Black/African American	667	24	0	0
	Asian	19	1	0	0
	American Indian/Alaskan Native	2	1	0	0
	American Indian/Alaskan Native & White	2	1	0	0
	Asian & White	5	0	0	0
	Black/African American & White	6	1	0	0
	Other multi-racial	18	11	0	0
	<b>Total Non Housing</b>	<b>1,437</b>	<b>142</b>	<b>0</b>	<b>0</b>
Grand Total	White	718	103	148	52
	Black/African American	667	24	307	1
	Asian	19	1	5	0
	American Indian/Alaskan Native	2	1	1	0
	American Indian/Alaskan Native & White	2	1	0	0
	Asian & White	5	0	2	0
	Black/African American & White	6	1	1	0
	Other multi-racial	18	11	2	0
	<b>Total Grand Total</b>	<b>1,437</b>	<b>142</b>	<b>466</b>	<b>53</b>

U.S. Department of Housing and Urban Development  
 Office of Community Planning and Development  
 Integrated Disbursement and Information System  
 CDBG Summary of Accomplishments  
 Program Year: 2013

ROCHESTER

**CDBG Beneficiaries by Income Category**

Income Levels	Owner Occupied	Renter Occupied	Persons
Housing			
Extremely Low (<=30%)	49	42	0
Low (>30% and <=50%)	45	64	0
Mod (>50% and <=80%)	42	8	0
Total Low-Mod	136	114	0
Non Low-Mod (>80%)	0	0	0
Total Beneficiaries	136	114	0
Non Housing			
Extremely Low (<=30%)	0	0	0
Low (>30% and <=50%)	0	0	349
Mod (>50% and <=80%)	0	0	685
Total Low-Mod	0	0	1,034
Non Low-Mod (>80%)	0	0	4
Total Beneficiaries	0	0	1,038



U.S. Department of Housing and Urban Development  
 Office of Community Planning and Development  
 Integrated Disbursement and Information System  
 HOME Summary of Accomplishments  
 Program Year: 2013

ROCHESTER

Home Unit Completions by Racial / Ethnic Category

	Rentals		First Time Homebuyers		Existing Homeowners	
	Units Completed	Hispanics	Units Completed	Hispanics	Units Completed	Hispanics
White	71	41	60	25	24	5
Black/African American	115	2	98	4	23	0
Asian	1	0	2	0	1	0
American Indian/Alaskan Native	0	0	0	0	1	0
Asian & White	0	0	0	0	1	0
Black/African American & White	0	0	0	0	1	0
Other multi-racial	8	5	21	12	1	1
Hispanic (valid until 03-31-04)	2	2	1	1	0	0
<b>Total</b>	<b>197</b>	<b>50</b>	<b>182</b>	<b>42</b>	<b>52</b>	<b>6</b>

	Total, Rentals and TBRA		Total, Homebuyers and Homeowners		Grand Total	
	Units Completed	Hispanics	Units Completed	Hispanics	Units Completed	Hispanics
White	71	41	84	30	155	71
Black/African American	115	2	121	4	236	6
Asian	1	0	3	0	4	0
American Indian/Alaskan Native	0	0	1	0	1	0
Asian & White	0	0	1	0	1	0
Black/African American & White	0	0	1	0	1	0
Other multi-racial	8	5	22	13	30	18
Hispanic (valid until 03-31-04)	2	2	1	1	3	3
<b>Total</b>	<b>197</b>	<b>50</b>	<b>234</b>	<b>48</b>	<b>431</b>	<b>98</b>

ROCHESTER

Home Disbursements and Unit Completions

Activity Type	Disbursed Amount	Units Completed	Units Occupied
Rentals	\$633,000.00	197	197
First Time Homebuyers	\$1,001,552.51	182	182
Existing Homeowners	\$0.00	52	52
<b>Total, Rentals and TBRA</b>	<b>\$633,000.00</b>	<b>197</b>	<b>197</b>
<b>Total, Homebuyers and Homeowners</b>	<b>\$0.00</b>	<b>52</b>	<b>52</b>
<b>Grand Total</b>	<b>\$1,634,552.51</b>	<b>379</b>	<b>379</b>

Home Unit Completions by Percent of Area Median Income

Activity Type	0% - 30%	31% - 50%	51% - 60%	61% - 80%	Total 0% - 60%	Total 0% - 80%
Rentals	93	67	16	21	176	197
First Time Homebuyers	9	45	45	83	99	182
Existing Homeowners	9	17	16	10	42	52
<b>Total, Rentals and TBRA</b>	<b>93</b>	<b>67</b>	<b>16</b>	<b>21</b>	<b>176</b>	<b>197</b>
<b>Total, Homebuyers and Homeowners</b>	<b>18</b>	<b>62</b>	<b>61</b>	<b>93</b>	<b>141</b>	<b>234</b>
<b>Grand Total</b>	<b>111</b>	<b>129</b>	<b>77</b>	<b>114</b>	<b>317</b>	<b>431</b>

Home Unit Reported As Vacant

Activity Type	Reported as Vacant
Rentals	0
First Time Homebuyers	0
Existing Homeowners	0
<b>Total, Rentals and TBRA</b>	<b>0</b>
<b>Total, Homebuyers and Homeowners</b>	<b>0</b>
<b>Grand Total</b>	<b>0</b>

# HOME Match Report

U.S. Department of Housing and Urban Development  
Office of Community Planning and Development

OMB Approval No. 2506-0171  
(exp. 12/31/2012)

<b>Part I Participant Identification</b>		Match Contributions for Federal Fiscal Year (yyyy) 2013	
1. Participant No. (assigned by HUD) MC-36-2504	2. Name of the Participating Jurisdiction City of Rochester	3. Name of Contact (person completing this report) Carol Wheeler	
5. Street Address of the Participating Jurisdiction 30 Church Street, Room 005-A	7. State NY	4. Contact's Phone Number (include area code) 585-428-6152	
6. City Rochester	8. Zip Code 14614		

**Part II Fiscal Year Summary**

1. Excess match from prior Federal fiscal year	\$	5,820,429						
2. Match contributed during current Federal fiscal year (see Part III.9.)	\$	437,810						
3. Total match available for current Federal fiscal year (line 1 + line 2)	\$							6,258,239
4. Match liability for current Federal fiscal year	\$							0-
5. Excess match carried over to next Federal fiscal year (line 3 minus line 4)	\$							6,258,239

**Part III Match Contribution for the Federal Fiscal Year**

1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land / Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
El Camino II Demo		\$154,915						\$154,915
Holy Rosary Demo		\$93,228						\$93,228
Son House Demo		\$189,667						\$189,667



**CR-60 - ESG 91.520(g) (ESG Recipients only)**

**ESG Supplement to the CAPER in *e-snaps*  
For Paperwork Reduction Act**

**1. Recipient Information—All Recipients Complete**

**Basic Grant Information**

Recipient Name	ROCHESTER
Organizational DUNS Number	002465805
EIN/TIN Number	166002551
Identify the Field Office	BUFFALO
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	Rochester/Irondequoit/Greece/Monroe County CoC

**ESG Contact Name**

Prefix	
First Name	Carol
Middle Name	
Last Name	Wheeler
Suffix	
Title	Manager of Housing

**ESG Contact Address**

Street Address 1	30 Church Street, 005A
Street Address 2	
City	Rochester
State	NY
ZIP Code	14614
Phone Number	585 428-6152
Extension	
Fax Number	585 428-6042
Email Address	wheelc@cityofrochester.gov

**ESG Secondary Contact**

Prefix	
First Name	Mary Kay
Last Name	Kenrick
Suffix	
Title	Associate Administrative Analyst
Phone Number	585 428-6309
Extension	
Email Address	kenrickm@cityofrochester.gov

## 2. Reporting Period—All Recipients Complete

**Program Year Start Date** 07/01/2013

**Program Year End Date** 06/30/2014

### 3a. Subrecipient Form – Complete one form for each subrecipient

**Subrecipient or Contractor Name:** Catholic Family Center

**City:** Rochester

**State:** NY

**Zip Code:** 14605, 1122

**DUNS Number:** 140122198

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Faith-Based Organization

**ESG Subgrant or Contract Award Amount:** \$25,250

**Subrecipient or Contractor Name:** Dimitri House

**City:** Rochester

**State:** NY

**Zip Code:** 14607, 1317

**DUNS Number:** 791530470

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Faith-Based Organization

**ESG Subgrant or Contract Award Amount:** \$16,290

**Subrecipient or Contractor Name:** Wilson Commencement Park

**City:** Rochester

**State:** NY

**Zip Code:** 14605, 1911

**DUNS Number:** 807628326

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** \$35,312

**Subrecipient or Contractor Name:** COORDINATED CARE SERVICES, INC.

**City:** Rochester

**State:** NY

**Zip Code:** 14611, 1153

**DUNS Number:** 151624996

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** \$207,758

**Subrecipient or Contractor Name:** Alternatives for Battered Women

**City:** Rochester

**State:** NY

**Zip Code:** 14604, 9601

**DUNS Number:** 094406261

**Is subrecipient a victim services provider:** Y

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** \$26,145

**Subrecipient or Contractor Name:** YWCA of Rochester and Monroe County

**City:** Rochester

**State:** NY

**Zip Code:** 14604, 1109

**DUNS Number:** 160743248

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** \$55,620

**Subrecipient or Contractor Name:** Spiritus Christi Prison Outreach / Neilson

**City:** Rochester

**State:** NY

**Zip Code:** 14609, 7143

**DUNS Number:** 161582433

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Faith-Based Organization

**ESG Subgrant or Contract Award Amount:** \$12,400

**Subrecipient or Contractor Name:** Spiritus Christi Prison Outreach / Jenifer  
**City:** Rochester  
**State:** NY  
**Zip Code:** 14609, 7143  
**DUNS Number:** 161582433  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Faith-Based Organization  
**ESG Subgrant or Contract Award Amount:** \$28,400

**Subrecipient or Contractor Name:** Recovery Houses of Rochester, Inc  
**City:** Rochester  
**State:** NY  
**Zip Code:** 14624, 1841  
**DUNS Number:** 120807545  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** \$15,370

**Subrecipient or Contractor Name:** Rochester Area Interfaith Hospitality Network  
**City:** Rochester  
**State:** NY  
**Zip Code:** 14607, 2013  
**DUNS Number:** 170113752  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Faith-Based Organization  
**ESG Subgrant or Contract Award Amount:** \$19,800

**Subrecipient or Contractor Name:** The Center for Youth Services  
**City:** Rochester  
**State:** NY  
**Zip Code:** 14620, 1707  
**DUNS Number:** 085991974  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** \$38,536

**Subrecipient or Contractor Name:** THE SALVATION ARMY

**City:** Rochester

**State:** NY

**Zip Code:** 14604, 1410

**DUNS Number:** 062517941

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Faith-Based Organization

**ESG Subgrant or Contract Award Amount:** \$27,850

**Subrecipient or Contractor Name:** MERCY COMMUNITY SERVICES

**City:** Rochester

**State:** NY

**Zip Code:** 14609, 6243

**DUNS Number:** 105116123

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Faith-Based Organization

**ESG Subgrant or Contract Award Amount:** \$10,293

**Subrecipient or Contractor Name:** VOLUNTEERS OF AMERICA OF WESTERN NEW YORK

**City:** Rochester

**State:** NY

**Zip Code:** 14608, 1208

**DUNS Number:** 825036361

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** \$44,750

**Subrecipient or Contractor Name:** Spiritus Christi Prison outreach

**City:** Rochester

**State:** NY

**Zip Code:** 14609, 7143

**DUNS Number:** 014825934

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Faith-Based Organization

**ESG Subgrant or Contract Award Amount:** \$10,000

## CR-65 - Persons Assisted

### 4. Persons Served

#### 4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 1 – Household Information for Homeless Prevention Activities

#### 4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	152
Children	144
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>296</b>

Table 2 – Household Information for Rapid Re-Housing Activities

#### 4c. Complete for Shelter

Number of Persons in Households	Total
Adults	2208
Children	996
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>3204</b>

Table 3 – Shelter Information

#### 4d. Street Outreach

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 4 – Household Information for Street Outreach

#### 4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	2288
Children	1081
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>3369</b>

Table 5 – Household Information for Persons Served with ESG

#### 5. Gender—Complete for All Activities

	Total
Male	1915
Female	1449
Transgender	5
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>3369</b>

Table 6 – Gender Information

**6. Age—Complete for All Activities**

	<b>Total</b>
Under 18	1083
18-24	532
25 and over	1754
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>3369</b>

**Table 7 – Age Information**

**7. Special Populations Served—Complete for All Activities**

**Number of Persons in Households**

<b>Subpopulation</b>	<b>Total Persons Served – Prevention</b>	<b>Total Persons Served – RRH</b>	<b>Total Persons Served in Emergency Shelters</b>	<b>Total</b>
Veterans	0	3	80	80
Victims of Domestic Violence	0	27	899	914
Elderly	0	0	26	26
HIV/AIDS	0	0	15	15
Chronically Homeless	0	27	430	448
<b>Persons with Disabilities:</b>				
Severely Mentally Ill	0	46	402	402
Chronic Substance Abuse	0	20	588	600
Other Disability	0	47	351	380
Total (unduplicated if possible)	0	110	1384	1449

**Table 8 – Special Population Served**

## CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

### 8. Shelter Utilization

Number of New Units – Rehabbed	0
Number of New Units – Conversion	2
Total Number of bed - nights available	91,847
Total Number of bed - nights provided	88,267
Capacity Utilization	96%

Table 9 – Shelter Capacity

### 9. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

## CR-75 – Expenditures

### 11. Expenditures

#### 11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	FY 2011	FY 2012	FY 2013
Expenditures for Rental Assistance	\$36,977	\$33,013	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	\$12,316	\$32,250	0
Expenditures for Housing Relocation & Stabilization Services - Services	\$2,000	\$33,232	0
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	\$0		0
<b>Subtotal Homelessness Prevention</b>	<b>\$51,293</b>	<b>\$98,495</b>	<b>0</b>

Table 10 – ESG Expenditures for Homelessness Prevention

#### 11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	FY 2011	FY 2012	FY 2013
Expenditures for Rental Assistance	\$0	\$33,013	\$0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	\$0	\$31,853	\$0
Expenditures for Housing Relocation & Stabilization Services - Services	\$0	\$33,232	\$0
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	\$0	\$0	\$0
<b>Subtotal Rapid Re-Housing</b>	<b>\$0</b>	<b>\$98,098</b>	<b>\$0</b>

Table 11 – ESG Expenditures for Rapid Re-Housing

#### 11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	FY 2011	FY 2012	FY 2013
Essential Services	\$190,227	\$176,887	\$144,060.41
Operations	\$154,223	\$212,028	\$109,104.38
Renovation		\$0	
Major Rehab		\$0	
Conversion		\$0	
<b>Subtotal</b>	<b>\$344,450</b>	<b>\$371,069</b>	<b>\$253,164.79</b>

Table 12 – ESG Expenditures for Emergency Shelter

**11d. Other Grant Expenditures**

	Dollar Amount of Expenditures in Program Year		
	FY 2011	FY 2012	FY 2013
Street Outreach	\$0	\$0	\$0
HMIS	\$0	\$0	\$0
Administration	\$21,147.81	\$37,746.00	\$0

Table 13 - Other Grant Expenditures

**11e. Total ESG Grant Funds**

Total ESG Funds Expended	FY 2011	FY 2012	FY 2013
	\$416,890.81	\$605,408.28	\$253,164.79

Table 14 - Total ESG Funds Expended

**11f. Match Source**

	FY 2011	FY 2012	FY 2013
Other Non-ESG HUD Funds		\$190,775.00	\$0.00
Other Federal Funds	\$275,075.00	\$604,062.60	\$0.00
State Government			\$0.00
Local Government	\$2,071,740.76	\$1,939,747.22	\$230,847.00
Private Funds	\$258,939.51	\$145,502.81	\$57,066.20
Other	\$58,095.00	\$71,284.00	\$316,908.26
Fees	\$65,017.93	\$62,576.88	\$25,023.17
Program Income	\$0	\$0	\$0.00
<b>Total Match Amount</b>	<b>\$2,728,868.20</b>	<b>\$3,013,948.51</b>	<b>\$629,844.63</b>

Table 15 - Other Funds Expended on Eligible ESG Activities

**11g. Total**

Total Amount of Funds Expended on ESG Activities	FY 2011	FY 2012	FY 2013
	\$3,145,759.01	\$3,619,356.79	\$883,009.42

Table 16 - Total Amount of Funds Expended on ESG Activities





