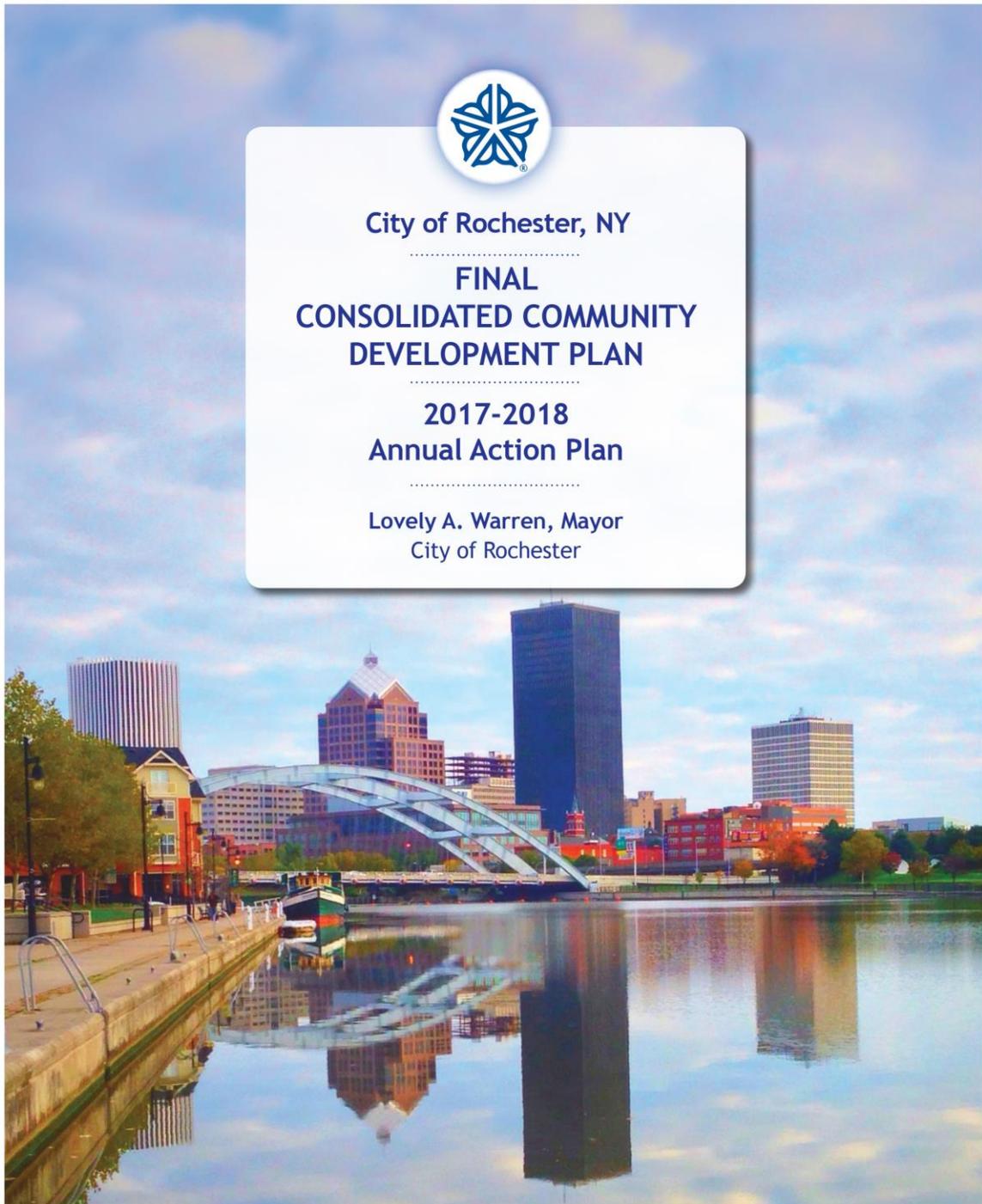




City of Rochester, NY
.....
**FINAL
CONSOLIDATED COMMUNITY
DEVELOPMENT PLAN**
.....

**2017-2018
Annual Action Plan**
.....

Lovely A. Warren, Mayor
City of Rochester



Believe.

 **City of Rochester, NY**
Lovely A. Warren, Mayor
Rochester City Council

Annual Action Plan
2017

Executive Summary

AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

The City of Rochester, New York, submitted a Five Year Strategic Plan for the program years 2015-16 through 2019-20. This is the 2017-18 Annual Action Plan as part of the Consolidated Plan that guides the allocation of federal entitlement funds available through the Community Development Block Grant (CDBG) Program, Home Investment Partnerships (HOME) Program, Emergency Solutions Grants (ESG) Program, and Housing Opportunities for Persons with AIDS (HOPWA) programs. The dates covered in this Annual Action Plan are July 1, 2017 through June 30, 2018.

The Annual Action Plan serves the following functions:

- A planning document that enables the City to view its HUD funding, not in isolation, but as one tool in a comprehensive strategy to address housing, community development, and economic development needs.
- An application for CDBG, HOME, ESG, and HOPWA Program funds under HUD's formula grant.
- A strategy document to be followed in carrying out HUD programs.
- An action plan that provides a basis for assessing performance in carrying out use of CDBG Program funds.

The Consolidated Plan is guided by three overarching goals that are applied according to a community's needs as follows:

- Provide decent housing by preserving affordable housing stock, increasing the availability of affordable housing, reducing discriminatory barriers, increasing the supply of supportive housing for those with special needs, and transitioning homeless persons and families into housing.
- Provide a suitable living environment through safer, more livable neighborhoods, greater integration of low- and moderate-income residents throughout the city, increased housing opportunities, and reinvestment in deteriorating neighborhoods.
- Expand economic opportunities through more jobs paying self-sufficient wages, homeownership opportunities, development activities that promote long-term community viability, and the empowerment of low- and moderate-income persons to achieve self-sufficiency.

Introduction continued

The CDBG, HOME, ESG, and HOPWA programs are the primary federal funding resources in the 2017-18 Annual Action Plan. A brief overview of each program is as follows:

Community Development Block Grant (CDBG): The primary objective of the CDBG program is to develop viable urban communities by providing decent housing, a suitable living environment, and economic opportunities, principally for persons with low- and moderate-incomes. Funds can be used for a wide array of activities, including: housing rehabilitation, homeownership assistance, lead-based paint detection and removal, construction or rehabilitation of public facilities and infrastructure, removal of architectural barriers, public services, rehabilitation of commercial or industrial buildings, and loans or grants to businesses.

HOME Investment Partnership Program (HOME): The HOME program provides federal funds for the development and rehabilitation of affordable rental and ownership housing for low and moderate income households. HOME funds can be used for activities that promote affordable rental housing and homeownership by low and moderate income households, including reconstruction, moderate or substantial rehabilitation, homebuyer assistance, and tenant-based rental assistance.

Emergency Solutions Grant (ESG): A federal grant program designed to help improve the quality of existing emergency shelters for the homeless, to make available additional shelters, to meet the costs of operating shelters, to provide essential social services to homeless individuals, and to help prevent homelessness.

Housing Opportunities for Persons with AIDS (HOPWA): HOPWA funding provides housing assistance and related supportive services. Grantees are encouraged to develop community-wide strategies and form partnerships with area nonprofit organizations. HOPWA funds may be used for a wide range of housing, social services, program planning, and development costs. These include, but are not limited to, the acquisition, rehabilitation, or new construction of housing units; costs for facility operations; rental assistance; and short-term payments to prevent homelessness. HOPWA funds also may be used for health care and mental health services, chemical dependency treatment, nutritional services, case management, assistance with daily living, and other supportive services.

2. Summarize the objectives and outcomes identified in the Plan

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

The Needs Assessment identified significant needs for:

- Affordable housing
- Housing rehabilitation

- Economic development
- Safe neighborhoods
- Job and employment training
- Services for youth and persons with special needs.

3. Evaluation of past performance

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

According to the City's 2015-16 CAPER, the following grant funds were received and expended:

CDBG \$7,791,818 received/\$8,094,857 expended

HOME \$1,758,789 received/\$2,503,915 expended

ESG \$709,872 received/\$624,838 expended

HOPWA \$680,604 received/\$607,878 expended

Total \$10,941,083 received/\$11,831,488 expended

Notes: Grant funds received include program income. Funds expended may include prior year balances.

Additional funds were expended as invoices continued to be presented and processed.

Accomplishments include:

General Community Needs-260,097 people assisted for public facility or infrastructure, 24,379 people assistance for public service activities other than low/mod income housing benefit;

Improve the Housing Stock: 440 people assisted for public services other than low/mod income , 11 rental units constructed, 17 rental units rehabilitated; 27 homeowner housing added, 143 homeowner housing rehabilitated, 101 direct financial assistance to homebuyers, 3,410 persons assisted through homelessness prevention, 230 housing for people with HIV/AIDS added, 122 buildings demolished

Promote Economic Stability: 57,365 people assisted-public service activities other than lo/mod income housing benefit, 357 jobs created/retained, 111 businesses assisted

4. Summary of Citizen Participation Process and consultation process

Summary from citizen participation section of plan.

The citizen participation and consultation process included the following components for the 2015-19 Five Year Strategic Plan:

- Four public meetings, one in each quadrant of the City, to obtain input from residents, neighborhood representatives and stakeholders
- A public survey, available on-line in both English and Spanish, and distributed by mail to more than 900 residents and stakeholders
- A survey of business needs, available on-line and distributed by mail to more than 900 residents and business representatives
- Ten focus groups with representatives of agencies, organizations and stakeholders on the following topics:
 - o Public Housing
 - o Fair Housing
 - o Affordable Housing Development/ Homeownership
 - o Housing Rehabilitation
 - o Homelessness Prevention and Services/ Supportive Housing
 - o Youth and Health Services
 - o Seniors and Persons with Disabilities
 - o Public infrastructure and facilities
 - o Large employers and manufacturers
 - o Small business

The Annual Action Plan Citizen Participation included public meetings held on March 8, 2017, July 13, 2017, and August 10, 2017.

5. Summary of public comments

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

For the Five Year Strategic Plan, the needs mentioned most often were:

- youth employment and the high rate of youth poverty
- housing rehabilitation
- homeownership
- economic development
- infrastructure and facilities

6. Summary of comments or views not accepted and the reasons for not accepting them

All comments received were accepted.

7. Summary

The needs of the community are varied and many. Funds will be used for a variety of goals including promoting economic stability, improving the housing stock and general property conditions, and addressing general community needs. Some funds will be used for planning and administration of the grant programs.

PR-05 Lead & Responsible Agencies – 91.200(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
CDBG Administrator	ROCHESTER	NBD/Administration and Finance
HOPWA Administrator	ROCHESTER	NBD/Business and Housing Development
HOME Administrator	ROCHESTER	NBD/Business and Housing Development
ESG Administrator	ROCHESTER	NBD/Business and Housing Development

Table 1 – Responsible Agencies

Narrative (optional)

The City of Rochester is designated the HOPWA grant recipient for the five county metropolitan area that includes Monroe, Livingston, Orleans, Wayne, and Ontario counties.

Consolidated Plan Public Contact Information

Mary Kay Kenrick, Associate Administrative Analyst

City of Rochester, Department of Neighborhood and Business Development

30 Church Street, 224B

Rochester, NY 14614

AP-10 Consultation – 91.100, 91.200(b), 91.215(l)

1. Introduction

Citizen Participation and Agency Consultation

For the Five Year Strategic Plan, the City of Rochester made the decision to encourage extensive agency consultation in (a) identifying priority needs and (b) engaging the participation of public agencies and nonprofit organizations in a positive and collaborative manner.

The City of Rochester engaged a consulting firm, LaBella Associates, D.P.C., to assist in the preparation of the plan and facilitate the consultation process. City staff worked with the consultants to develop a list of stakeholders, which included public agencies and private nonprofit organizations that provide affordable housing and human services to LMI households and persons as well as business and other community stakeholders. These stakeholders were invited to participate in a series of focus group sessions held for the purpose of identifying needs for the CP and the Annual Plan. During the consultation process, a wide range of organizations provided input on the City's housing and community development needs.

Additionally, public and private agencies which were identified as stakeholders in the process were asked to complete written questionnaires to provide data on special needs populations such as the elderly, youth, persons with HIV/AIDS, public housing residents, persons with disabilities and the homeless.

In an effort to solicit broader citizen participation, the City of Rochester conducted an online survey. The online survey was developed and registered at www.zoomerang.com for a period of approximately one month and was placed prominently on the City of Rochester's web site. Based on the focus group sessions, comments received at the public meetings, the online survey, and input from agencies and organizations, a set of priorities was established by the City of Rochester for the next five years.

In addition, quadrant meetings were held in January 2015 in each of the four quadrant areas of the city. These meetings were designed to gather input from area residents on neighborhood issues of concern. The meetings were held on January 14, two on January 20, and January 22, 2014. The City Council held a public hearing on the draft CP on May 19, 2015. It is the City's practice to advertise meetings in the Democrat & Chronicle. There were no speakers.

For the Annual Action Plan there were meetings held with community partners on housing related and other issues. In addition, the City held a public meeting held on March 8, 2017 to gather community input. A public hearing was held on July 13, 2017 and August 10, 2017 regarding the Annual Action Plan.

Provide a concise summary of the jurisdiction’s activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(I))

Rochester staff meets periodically with representatives of the Rochester Housing Authority to coordinate in planning housing projects and providing employment training to public housing residents. The City of Rochester, Monroe County and the Rochester Housing Authority continue to explore ways to enhance our Section 3 efforts. Two new projects were approved and are expected to be under construction in the 2017-18 program year. RHA and the City are participants on the Rochester Health and Housing Committee that focuses on health and wellness in addressing housing.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.

The City participates in the Rochester/Monroe County Continuum of Care (CoC). City staff is the immediate past Chair of the CoC Board of Directors. The CoC coordinates the allocation of federal funding to facilities and programs within the CoC's service area. City staff consult on a regular basis with the organizations that participate in the CoC and the Homeless Services Network. City staff serves on the executive committee of HSN. City staff also serve on the Chronically Homeless Work Group that plans, coordinates, and implements activities and strategies for servicing the chronically homeless.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

City staff work closely with the CoC and other agencies to develop funding applications and determine the best use of ESG funds. By working with the CoC, City staff are involved in improving coordination among agencies, facilitating data collection through HMIS and allocating funds. Previously, the CoC Coordinator, now the CoC Executive Director serves on the ESG proposal review team along with staff from Monroe County and the City of Rochester.

The City's ESG funding is coordinated with the CoC. The CoC Team has 20 ex-officio members appointed by public and private organizations deemed necessary to develop, maintain, monitor and continuously improve a comprehensive, coordinated and flexible system of homeless housing and support services. The represent the City, Monroe County, Greece, RHA, HSN (also representing the Continuum's Stakeholder Group), three formerly homeless community members, a domestic violence advocacy organization member, and a health services representative. In addition, there are elected general members from both public and private groups from the community (business sector, legal field, faith based organizations, veteran organizations, schools, law enforcement, criminal justice, advocacy

groups.Â This diverse team is the primary planning and coordinating body for homeless housing and services in this community. The CoC recently became a 501c3 not for profit organization that was approved by the IRS in 2015 to enable it to move towards greater autonomy and potentially a Unified Funding agency and a High Performing Community. The CoC has been a long time participant in the ESG planning process for the City and County. Proposals in response to the CoC annual NOFA are reviewed by the CoC team that includes City/County representation as well as the CoC Executive Director. ESG community priorities and planning have been discussed at both CoC and HSN meetings, especially in terms of policy priorities and community objectives. The CoC staff also analyzes the ESG proposals for fidelity to the ESG requirements and new information set forth by HUD via policy briefs (e.g. evolving chronic homeless definition as it impacts ESG delivery of prevention services.) ESG funding continues to be a critical issue for local homeless service providers. Facilitated discussions of ESG community priorities are held at the HSN meetings. CoC members and community stakeholders are strongly encouraged to participate. Significantly, CoC members have participated in community discussions to reduce the number of homeless families referred to hotels/motels for shelter without on site supportive services and enhance the Single Point of Assessment and Access systems for homeless services.Â The CoC members of HSN and housing staff from the City and County have also collectively participated in planning for the allocation of 2017-18 ESG funding. The CoC also created matrices of funding sources, housing and service needs/gaps, and best practices with the input of the community via HSN meetings, and made this information available to the ESG priorities planning committee members to inform the allocation of ESG funding.

2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction's consultations with housing, social service agencies and other entities

Table 2 – Agencies, groups, organizations who participated

2	Agency/Group/Organization	Housing Council in the Monroe County Area, Inc.
	Agency/Group/Organization Type	Housing Services - Housing Service-Fair Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public Meeting on Con Plan
3	Agency/Group/Organization	IBERO-AMERICAN DEVELOPMENT CORPORATION
	Agency/Group/Organization Type	Housing Services-Employment
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Economic Development Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting on Con Plan, 2 meetings regarding development of affordable rental housing and community resources.
4	Agency/Group/Organization	City East Transition
	Agency/Group/Organization Type	Housing Services - Housing Services-homeless Services-Employment

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Economic Development Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting on Con Plan
5	Agency/Group/Organization	PathStone Development Corporation
	Agency/Group/Organization Type	Housing Services - Housing Service-Fair Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting on Con Plan, monthly project meetings for the development of affordable rental housing (Wedgepoint)
6	Agency/Group/Organization	The Rochester/Monroe County Homeless Continuum of Care
	Agency/Group/Organization Type	Housing PHA Services - Housing Service-Fair Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Anti-poverty Strategy

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting on Con Plan
7	Agency/Group/Organization	Vineyards
	Agency/Group/Organization Type	Housing Services - Housing Services-Employment Neighborhood Organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Economic Development Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting on Con Plan
8	Agency/Group/Organization	NENCC Northeast-
	Agency/Group/Organization Type	Housing Services - Housing Services-Employment Neighborhood Organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Economic Development Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting on Con Plan

9	Agency/Group/Organization	Chronic Homeless Pilot
	Agency/Group/Organization Type	Housing PHA Services - Housing Services-Persons with HIV/AIDS Services-homeless
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Special project that involved RHA, RPD, NYSDOT and 8 other service providers and landlords to help rapidly rehouse and service a specific population of homeless that were living under a bridge. The group met 10 times.
10	Agency/Group/Organization	Developer Roundtable
	Agency/Group/Organization Type	Housing Services - Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Convene meetings of local developer community to discuss City priorities and how to support and plan for affordable housing development. One large meeting and 5 individual meetings.
11	Agency/Group/Organization	Rochester Housing Authority
	Agency/Group/Organization Type	Housing PHA Services - Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Deputy Commissioner and Housing Manager meet regularly with the leadership of RHA to coordinate and strategize on mutual concerns and projects. Met 6 times.
12	Agency/Group/Organization	NEIGHBORWORKS ROCHESTER
	Agency/Group/Organization Type	Housing Community Development Financial Institution
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Neighborhood Revitalization
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Weekly meetings to inform planning and development of our neighborhood partnership initiative and regular meetings to discuss opportunities to better integrate community development and health/healthy housing strategies.
13	Agency/Group/Organization	ABC Streets Neighborhood Association
	Agency/Group/Organization Type	Housing Neighborhood Organization
	What section of the Plan was addressed by Consultation?	Housing Opportunities
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	6 members of neighborhood group discussed participation in Celebrate City Living to promote housing opportunities in their neighborhood.
14	Agency/Group/Organization	Rochester Coalition for Neighborhood Living
	Agency/Group/Organization Type	Services - Housing Business and Civic Leaders
	What section of the Plan was addressed by Consultation?	Housing Opportunities

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Coalition designed to promote housing opportunities within the city of Rochester. Monthly meetings for planning, 6-12 people in attendance at each meeting.
15	Agency/Group/Organization	Community on East Main
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	1 public meeting to gain input from community about the proposed housing project-15 people attended
16	Agency/Group/Organization	RocCity Coalition
	Agency/Group/Organization Type	Planning organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	3 discussions with 5-60 people about housing, primarily homeownership
17	Agency/Group/Organization	DePaul Housing
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Affordable Housing Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Regular meetings to help advance 150 unit affordable/supportive rental housing project in the CONEA neighborhood.

18	Agency/Group/Organization	Collective Action Project Neighborhood
	Agency/Group/Organization Type	Housing Neighborhood Organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Neighborhood Development/Revitalization
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Attend meetings quarterly to check in on progress planned and made towards implementing Marketview Heights Urban Renewal District plan priorities and activities.
19	Agency/Group/Organization	URBAN LEAGUE OF ROCHESTER ECONOMIC DEV. CORP.
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Meeting to discuss affordable rental housing development
20	Agency/Group/Organization	CHARLES SETTLEMENT HOUSE
	Agency/Group/Organization Type	Housing Neighborhood Organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Anti-poverty Strategy Neighborhood Development and Revitalization; Affordable Housing Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Regular meetings to help advance 45 unit infill affordable rental housing project for which Charles Settlement serves as the non-profit sponsor. Attendance of weekly neighborhood meetings they co-lead to continue neighborhood development/revitalization in the JOSANA neighborhood.

21	Agency/Group/Organization	Changing of the Scenes Neighborhood Association
	Agency/Group/Organization Type	Housing Neighborhood Organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Housing Rehabilitation
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Meetings on neighborhood improvement strategy and Focused Investment Strategy, including housing rehabilitation. Met six times.
22	Agency/Group/Organization	Flower City Habitat for Humanity
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Discussion and planning for new construction of single family homes for below 80% AMI households-2 meetings
23	Agency/Group/Organization	Southwest Common Council
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Economic Development Anti-poverty Strategy Lead-based Paint Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	3 meetings-Quarterly attendance at community meetings to provide information on programs and activities and receive feedback on community needs.

24	Agency/Group/Organization	Jefferson Avenue Childhood Development Center
	Agency/Group/Organization Type	Housing Services-Children
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Economic Development Anti-poverty Strategy Lead-based Paint Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	2 discussions regarding expansion of child care services and development of affordable rental housing.
25	Agency/Group/Organization	CONEA Neighborhood
	Agency/Group/Organization Type	Housing Neighborhood Organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Economic Development Anti-poverty Strategy Neighborhood Development/Revitalization
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Meeting regularly (every few weeks to month) to help implement large infill, affordable supportive rental housing project (150 units) and ensure as many local workers from the neighborhood are hired. Also met to implement a corridor vision planning design charrette and develop a neighborhood master plan.
26	Agency/Group/Organization	Rochester's Cornerstone Group
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Affordable Housing Development

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Regular meetings to help advance 45 unit infill affordable rental housing project.
27	Agency/Group/Organization	CHNA NEIGHBORHOOD
	Agency/Group/Organization Type	Housing Neighborhood Organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Neighborhood Development/Revitalization
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Weekly neighborhood meetings to prioritize implementation actions from neighborhood master plan and update plan to identify and take on new priorities.
28	Agency/Group/Organization	Connected Communities
	Agency/Group/Organization Type	Planning organization Civic Leaders
	What section of the Plan was addressed by Consultation?	Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Group of 10 people meet regularly to create housing strategy to become a Purpose Built Community (National model). Met 3 times.
29	Agency/Group/Organization	Chronically Homeless Workgroup
	Agency/Group/Organization Type	Housing Services - Housing Services-Persons with HIV/AIDS Services-homeless

	What section of the Plan was addressed by Consultation?	Homeless Needs - Chronically homeless
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	A subcommittee of the Rochester/Monroe County Continuum of Care, it meets once a month (12 times) to review and coordinate services for the chronically homeless.
30	Agency/Group/Organization	Southwest Quad Team
	Agency/Group/Organization Type	Housing Neighborhood and Business Associations, Block Clubs
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Economic Development Anti-poverty Strategy Lead-based Paint Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Monthly stakeholder meetings for SW Rochester area. Attendees share information on housing and neighborhood initiatives, including availability of programs. Housing staff attended 4 times. The City's Neighborhood Service Center hosts the meetings.
31	Agency/Group/Organization	FIS Community Meetings
	Agency/Group/Organization Type	Services - Housing Civic Leaders Citizens/Residents
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Neighborhood Revitalization Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	In October and November 2016, and February 2017, staff met with quadrants and FIS stakeholder group to gain input on finalizing FIS investments. October-1 meeting, November-4 meetings, February-6 meetings.

32	Agency/Group/Organization	Homeless Services Network
	Agency/Group/Organization Type	Services-homeless
	What section of the Plan was addressed by Consultation?	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Consulted HSN regarding homeless services priorities o best meet greatest/most pressing community needs. Attended meetings in October and November 2016.
33	Agency/Group/Organization	Lifespan of Greater Rochester Inc.
	Agency/Group/Organization Type	Services-Elderly Persons
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Safety
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Regularly communicate with contracted service provider to obtain service delivery information and identify service trends.
34	Agency/Group/Organization	CATHOLIC CHARITIES
	Agency/Group/Organization Type	Services-Persons with HIV/AIDS
	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs HOPWA Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	2 meetings with HOPWA service providers to analyze service delivery patterns and plan for next funding cycle.

35	Agency/Group/Organization	Trillium Health
	Agency/Group/Organization Type	Services-Persons with HIV/AIDS
	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs HOPWA Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	2 meetings with HOPWA service providers to analyze service delivery patterns and plan for next funding cycle.

Identify any Agency Types not consulted and provide rationale for not consulting

Rochester compiled an extensive list of agencies and organizations and requested their input into the Consolidated Plan. A list of agencies contacted and those who participated in focus group meetings is an appendix to the Five Year Strategic Plan for 2015-16 through 2019-20. No agencies or organizations were deliberately excluded from the consultation process.

Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	Coordinated Care Services, Inc.	See narrative

Table 3 – Other local / regional / federal planning efforts

Narrative (optional)

The preparation of the Consolidated Plan was coordinated with several other local, regional, state and federal planning efforts. The strategies in the Consolidated Plan align with those of the Rochester-Monroe County Anti-Poverty Initiative, which is supported by Governor Cuomo's Opportunity Agenda and involves numerous private and public organizations.

In its emphasis on homelessness prevention, housing and services for homeless and persons at risk of becoming homeless, the Consolidated Plan advances the recommendations of:

Homelessness Resolution Strategy for Rochester and Monroe County , prepared in 2012; Housing Options for All: A Strategy to End Homelessness in Rochester/Monroe County (2007) ; Continuum of Care Plans (2010 and 2011) prepared for the US Department of Housing and Urban Development (2010 and 2011); Quadrant Strategic Plans for each of the four quadrants (Southwest, Southeast, Northeast, Northwest); Findings from charrettes and retreats facilitated by neighborhood associations and Neighborhood Service Centers; Housing Market Study and Housing Policy

AP-12 Participation – 91.105, 91.200(c)

**1. Summary of citizen participation process/Efforts made to broaden citizen participation
Summarize citizen participation process and how it impacted goal-setting**

In addition to a variety of meetings throughout the year with numerous community groups and not-for-profits, we also had a series of meetings with the business community. On 2/16/17, 3/2/17, 3/16/17, 3/30/17, 4/13/17, and 4/27/17, the City held sessions with city business owners. This was an opportunity for businesses to learn about the many tools the City offers to help support the health and growth of local businesses.

Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Public Meeting	Non-targeted/broad community Residents of Public and Assisted Housing	8	See attachment 030817 public comments		

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
2	Public Meeting	Non-targeted/broad community	2 speakers	Speakers from Legal Aid and Catholic Charities thanked Council for support of their programs and asking Council for continued support for Landlord/Tenant and HOPWA programs.		
3	Public Meeting	Non-targeted/broad community	0 speakers	0 comments received		

Table 4 – Citizen Participation Outreach

Expected Resources

AP-15 Expected Resources – 91.220(c)(1,2)

Introduction

The City of Rochester has identified a wide range of resources that may be used to support the City’s affordable housing initiative. A summary of these resources, focusing on housing, is as follows:

Federal Resources: Community Development Block Grant, HOME Investment Partnership, Emergency Solutions Grant, Section 8 Housing Choice Vouchers, Section 202 Supportive Housing for the Elderly, Public Housing HOPE VI Grants, Public Housing Capital Funds, Tax Credit Assistance Program (TCAP)/ Credit Exchange Funds, Low Income Housing Tax Credits, New Market Tax Credits, Economic Development Administration Public Works Program, Empowerment Zone Bonds, Lead Hazard Control, Neighborhood Stabilization Program Funds

State Resources: Housing Trust Fund Program Homes for Working Families Program, State Low Income Housing Tax Credits, Affordable Housing Program State of NY Mortgage Agency, Homeless Housing Assistance Program.

Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 3				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 3				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	7,487,072	750,000	0	8,237,072	17,000,000	Community Development Block Grant (CDBG): The primary objective of the CDBG program is to develop viable urban communities by providing decent housing, a suitable living environment, and economic opportunities, principally for persons with low- and moderate-incomes. Funds can be used for a wide array of activities, including: housing rehabilitation, homeownership assistance, lead-based paint detection and removal, construction or rehabilitation of public facilities and infrastructure, removal of architectural barriers, public services, rehabilitation of commercial or industrial buildings, and loans or grants to businesses.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 3				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOME	public - federal	Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA	1,839,492	100,000	0	1,939,492	3,400,000	HOME Investment Partnership Program (HOME): The HOME program provides federal funds for the development and rehabilitation of affordable rental and ownership housing for low and moderate income households. HOME funds can be used for activities that promote affordable rental housing and homeownership by low and moderate income households, including reconstruction, moderate or substantial rehabilitation, homebuyer assistance, and tenant-based rental assistance.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 3				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOPWA	public - federal	Permanent housing in facilities Permanent housing placement Short term or transitional housing facilities STRMU Supportive services TBRA	785,820	0	0	785,820	1,260,000	Housing Opportunities for Persons With AIDS (HOPWA): HOPWA funding provides housing assistance and related supportive services. Grantees are encouraged to develop community-wide strategies and form partnerships with area nonprofit organizations. HOPWA funds may be used for a wide range of housing, social services, program planning, and development costs. These include, but are not limited to, the acquisition, rehabilitation, or new construction of housing units; costs for facility operations; rental assistance; and short-term payments to prevent homelessness. HOPWA funds also may be used for health care and mental health services, chemical dependency treatment, nutritional services, case management, assistance with daily living, and other supportive services.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 3				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
ESG	public - federal	Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing	987,067	0	0	987,067	1,400,000	Emergency Solutions Grant (ESG): A federal grant program designed to help improve the quality of existing emergency shelters for the homeless, to make available additional shelters, to meet the costs of operating shelters, to provide essential social services to homeless individuals and to help prevent homelessness.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 3				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
Other	public - local	Acquisition Admin and Planning Economic Development Financial Assistance Homebuyer assistance Public Services Services	300,000	0	0	300,000	350,000	City Development Funds

Table 5 - Expected Resources – Priority Table

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

Rochester will provide matching funds as required and will continue to seek funding from other Federal sources as well as State, County and private funding.

If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

Rochester may make publicly owned land available for construction of new housing and public facilities where it would meet the goals of this plan.

Discussion

These much needed federal funds will be utilized to support a variety of vital projects and programs in the city of Rochester.

Annual Goals and Objectives

AP-20 Annual Goals and Objectives

Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Promote Economic Stability	2015	2019	Non-Housing Community Development	City-wide	Brownfield Remediation Economic Devlpmnt Asstnce to For-Profit Businesses Commercial Nodes and Corridors Economic Development Technical Assistance	CDBG: \$3,254,498 CDF: \$185,000	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 50000 Persons Assisted Facade treatment/business building rehabilitation: 48 Business Rental units rehabilitated: 0 Household Housing Unit Jobs created/retained: 162 Jobs

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
2	General Community Needs	2015	2019	Non-Housing Community Development	City-wide	Street Improvements Sidewalk Improvements Streetscape/ROW Improvements Youth Recreation and Empowerment Employment/Job Training Services Family Support and Skill Development Housing Services Tenant/Landlord Mediation, Education & Counseling Landlord Education	CDBG: \$948,300	Public service activities other than Low/Moderate Income Housing Benefit: 2973 Persons Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
3	Improve the Housing Stock & General Property Con	2015	2019	Affordable Housing Homeless Non-Homeless Special Needs	City-wide Targeted Area for Targeted Rehab Program	New Affordable Housing Housing Condition Homeownership Lead Paint Hazards Accessible Housing Tenant/Landlord Education and Counseling Mixed Income Housing Homelessness-Homelessness Prevention Homelessness-Rapid Rehousing Homelessness-Emergency Shelter & Shelter Diversion Homelessness-Transitional Supportive Housing Homelessness-Coordinated Access Housing & Supportive Services-People with HIV/AIDS Non-Homeless Special Needs-Home Safety Tenant/Landlord Mediation, Education & Counseling	CDBG: \$2,819,774 HOPWA: \$785,820 HOME: \$1,939,492 ESG: \$987,067 CDF: \$115,000	Public service activities other than Low/Moderate Income Housing Benefit: 100 Persons Assisted Public service activities for Low/Moderate Income Housing Benefit: 1151 Households Assisted Rental units constructed: 16 Household Housing Unit Homeowner Housing Added: 5 Household Housing Unit Homeowner Housing Rehabilitated: 104 Household Housing Unit Direct Financial Assistance to Homebuyers: 109 Households Assisted Tenant-based rental assistance / Rapid Rehousing: 125 Households Assisted Homeless Person Overnight Shelter: 1585 Persons Assisted Housing for People with HIV/AIDS added: 128 Household Housing Unit HIV/AIDS Housing Operations: 0 Household Housing Unit Buildings Demolished: 32 Buildings Other: 0 Other
OMB Control No: 2506-0117 (exp. 06/30/2018)					Annual Action Plan 2017			

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
4	Other	2015	2019	Affordable Housing Homeless Non-Homeless Special Needs Non-Housing Community Development	City-wide	Citywide Planning and Studies	CDBG: \$1,214,500	Other: 0 Other

Table 6 – Goals Summary

Goal Descriptions

1	Goal Name	Promote Economic Stability
	Goal Description	Projects funded under this goal work towards promoting economic stability. Programs include the Business Development Financial Assistance Program and the Neighborhood Commercial Assistance Program.
2	Goal Name	General Community Needs
	Goal Description	Funds will be utilized to support and meet a variety of general community needs. Among these are residential street rehabilitation, job creation and youth development, youth empowerment and engagement, family support, landlord/tenant services, and foreclosure prevention.

3	Goal Name	Improve the Housing Stock & General Property Con
	Goal Description	Funds will be used for a variety of activities that will improve the housing stock, provide for affordable housing opportunities as well as homeownership opportunities. Also included under this goal is providing services to the homeless and those with HIV/AIDS.
4	Goal Name	Other
	Goal Description	Projects funded under this goal are for program management, planning, and indirect costs.

Projects

AP-35 Projects – 91.220(d)

Introduction

The projects included in the 2017-18 Annual Action Plan are listed below. Funds will be used for a variety of activities that work towards the goals of promoting economic stability, improving the housing stock, and providing for general community needs. In addition, some funds will be used for other activities such as program management.

Projects

#	Project Name
1	Business Development Financial Assistance Program
2	Neighborhood Commercial Assistance
3	Neighborhood and Business Program Delivery
4	Homeownership Fund-Foreclosure Prevention
5	Rental Market Fund-Landlord/Tenant Services
6	Job Creation/Youth Development
7	Demolition-Commercial/Industrial
8	Employment Opportunity Job Training Support
9	Youth Leadership and Civic Engagement (YVOV)
10	Housing Development Fund-Aging in Place
11	Housing Development Fund-Emergency Assistance Repair Program
12	Housing Development Fund-Community Housing Development Organization
13	Housing Development Fund-New Housing Construction
14	Housing Development Fund-Affordable Housing Fund
15	Homeownership Promotion Fund-Buyer Assistance
16	Homeownership Promotion Fund-Homebuyer Training
17	Homeownership Promotion Fund-Home Rochester
18	Housing Choice Fund-Emergency Solutions Grants
19	Housing Choice Fund-HOPWA
20	Other-Planning Staff
21	Other-Indirect Costs
22	Fire Department Small Equipment
23	Seniors Program-Legal Services
24	STEAM Engine
25	Project Development
26	Celebrate City Living
27	Housing Development Fund-Housing Development Support

#	Project Name
28	Housing Development Fund-Demolition
29	Other-Program Management
30	Focused Investment Strategy
31	Fair Housing Study
32	Homeownership Assistance Program for Vacant Houses
33	Housing Repair Programs-Application Intake Services
34	DRYS Infrastructure-Play Apparatus

Table 7 - Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

The needs of the community are varied and many. Funds will be used for a variety of goals including promoting economic stability, improving the housing stock and general property conditions, and addressing general community needs. Some funds will be used for planning and administration of the grant programs.

AP-38 Project Summary
Project Summary Information

1	Project Name	Business Development Financial Assistance Program
	Target Area	City-wide
	Goals Supported	Promote Economic Stability
	Needs Addressed	Brownfield Remediation Economic Devlpmnt Asstnce to For-Profit Businesses Economic Development Technical Assistance
	Funding	CDBG: \$1,004,398 CDF: \$85,000
	Description	The program provides loans, grants, and interest rate subsidies to city businesses which are expanding and creating jobs. Funding can be used for purchase and renovation of real estate, purchase of machinery and equipment, predevelopment services including environmental testing, targeted support to MWBEs, and working capital including payroll, insurance, utilities, lease/mortgage, inventory, supplies, and accounting services. Funds may also be used in environmental remediation associated with projects in development.
	Target Date	6/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	CDBG-24 businesses, 160 jobs created/retained CDF-2 businesses assisted, 2 jobs created
	Location Description	City-wide
	Planned Activities	All activities focus on facilitating business growth for established and new businesses which are creating and retaining jobs. Funds for building construction, expansions and facades improve the appearance of neighborhood commercial corridors and industrial parks. Funds are utilized to recruit a diverse set of new businesses and increase the number of entrepreneurial firms.
2	Project Name	Neighborhood Commercial Assistance
	Target Area	City-wide
	Goals Supported	Promote Economic Stability
	Needs Addressed	Streetscape/ROW Improvements Safe Neighborhoods Economic Devlpmnt Asstnce to For-Profit Businesses Commercial Nodes and Corridors
	Funding	CDBG: \$250,000

	Description	This program supports three activities: small business matching grant program for essential neighborhood service businesses and micro-enterprises, and businesses that create jobs for low and moderate income individuals, business association support, and the street liaison program.
	Target Date	6/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	50,000 people 21 businesses
	Location Description	City-wide
	Planned Activities	All activities focus on facilitation of business growth for established and new businesses which are creating and retaining jobs. Funding for this program is aligned with the strategic plan to facilitate business growth and enhance neighborhoods by retaining anchor businesses, growing existing businesses, increasing the capacity of business associations, and creating and retaining jobs.
3	Project Name	Neighborhood and Business Program Delivery
	Target Area	City-wide
	Goals Supported	Promote Economic Stability Improve the Housing Stock & General Property Con
	Needs Addressed	Housing Services Economic Development Technical Assistance
	Funding	CDBG: \$2,000,100 HOPWA: \$23,574 HOME: \$183,949

	Description	The allocation provides funds for the development of overall economic development policies and provides support services, coordination of real estate development, management of feasibility and consultant studies, monitoring of legal and professional service agreements, preparation of site and financial analyses, performing market research, outreach to businesses, preparation of loan packages and support, pre-development services, outreach, financial, technical and site selection assistance to businesses, organization of neighborhood commercial business assistance programs. HOPWA funds are used for the administration of the HOPWA program. Funds are used for staff involved in the planning, coordinating, and monitoring of the City's housing initiatives. Funds also support the goal of Promote Economic Stability.
	Target Date	6/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	Not Applicable
	Planned Activities	Development of overall economic development policies and provides support services, coordination of real estate development, management of feasibility and consultant studies, monitoring of legal and professional service agreements, preparation of site and financial analyses, performing market research, outreach to businesses, preparation of loan packages and support, pre-development services, outreach, financial, technical and site selection assistance to businesses, organization of neighborhood commercial business assistance programs. HOPWA funds are used for the administration of the HOPWA program. Funds are used for staff involved in the planning, coordinating, and monitoring of the City's housing initiatives. Funds also support the goal of Promote Economic Stability. ESG funds for staff are included in the project titled Housing Choice Fund-Emergency Solutions Grant as only one activity can be listed for this funding source.
4	Project Name	Homeownership Fund-Foreclosure Prevention
	Target Area	City-wide
	Goals Supported	Improve the Housing Stock & General Property Con
	Needs Addressed	Homeownership Cost-Burdened Homeowners Housing Services

	Funding	CDBG: \$195,000
	Description	Two projects work interdependently to assist low to moderate income households to avoid foreclosures due to mortgage default, predatory loans, or tax default. The Housing Council program analyzes the financial and household situation and work with the client, mortgage lender, realtors, lawyers, and other appropriate parties to prevent the loss of the property to foreclosure. Strategies include budget restructuring, forbearance options, reinstatement, sale and bankruptcy, and assistance related to New York State mandated settlement conferences. Empire Justice helps households avoid foreclosure with direct legal services and/or representation for mandatory settlement conferences or to combat predatory/unfair lending and servicing practices. Additional households receive expert advice on loans and referrals to appropriate agencies.
	Target Date	6/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	138 Households
	Location Description	City-wide
	Planned Activities	Funds go to a HUD-certified counseling agency (The Housing Council) or statewide legal agency (Empire Justice), both with decades of experience, to provide direct counseling services to households at risk of mortgage or tax default.
5	Project Name	Rental Market Fund-Landlord/Tenant Services
	Target Area	City-wide
	Goals Supported	Improve the Housing Stock & General Property Con
	Needs Addressed	Tenant/Landlord Education and Counseling Housing Services Tenant/Landlord Mediation, Education & Counseling Landlord Education
	Funding	CDBG: \$95,000

	Description	Services to low/mod income tenants who face eviction due to improperly issued eviction notices, loss of income, or warrant habitability issues receive legal representation, workshops proactively educate tenants on rights and responsibilities. Landlords seeking immediate assistance regarding their rental property and tenant management receiving counseling on a one-one-one basis to maintain their properties and businesses to the highest standards.
	Target Date	6/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	213 Households assisted
	Location Description	City-wide
	Planned Activities	Legal advocacy, assistance in avoidance of immediate eviction, alternative dispute resolution and counseling to landlords and tenants, tenant workshops, landlord assistance including the housing hotline.
6	Project Name	Job Creation/Youth Development
	Target Area	City-wide
	Goals Supported	General Community Needs
	Needs Addressed	Youth Recreation and Empowerment Employment/Job Training Services
	Funding	CDBG: \$350,000
	Description	Funds will be used to lend support to programs or activities that are identified as providing an integrated environment for the provision of job readiness, work awareness, and basic occupational skills development for low/mod income city residents seeking employment or vocational or career exploration services. It will also provide asset development opportunities for youth and skills development for adults.
	Target Date	6/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	300 Persons
	Location Description	City-wide

	Planned Activities	The activity will include programs and activities that provide job readiness, work readiness, basic occupational skills development, asset development opportunities and skills development. Both youth and adults may be served by the activity.
7	Project Name	Demolition-Commercial/Industrial
	Target Area	City-wide
	Goals Supported	Improve the Housing Stock & General Property Con
	Needs Addressed	Clearance and Demolition
	Funding	CDBG: \$700,000
	Description	Demolition of privately owned large vacant industrial/commercial buildings.
	Target Date	6/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	Demolition of 4 blighted properties
	Location Description	City-wide
	Planned Activities	Demolitions of blighted properties.
8	Project Name	Employment Opportunity Job Training Support
	Target Area	City-wide
	Goals Supported	General Community Needs
	Needs Addressed	Youth Recreation and Empowerment Employment/Job Training Services
	Funding	CDBG: \$51,100
	Description	This program will fund staff to coordinate employment readiness and career awareness training to city students, ages 14-20, as well as leadership skills development including social and interpersonal skills, conflict resolution, team building, and decision making. Additionally, students will participate in internships with the City and/or local employers. Funding will provide workforce development support to low/mod income city youth participating in the program in the form of resume consultation and development, interview skills development, and job placement assistance.
	Target Date	6/30/2018

	Estimate the number and type of families that will benefit from the proposed activities	80 persons
	Location Description	City-wide
	Planned Activities	The focus of the program is to train youth ages 14-20 on the soft and hard skills needed to obtain and maintain a job, exposure to community members who can talk to the importance of education and how it is a direct link to picking a career, and moving out of poverty and giving back to the community through community service. The youth who participate in the program move on to apply for the Youth Employment Training and/or Summer of Opportunity Program for job placement.
9	Project Name	Youth Leadership and Civic Engagement (YVOV)
	Target Area	City-wide
	Goals Supported	General Community Needs
	Needs Addressed	Youth Recreation and Empowerment
	Funding	CDBG: \$62,200
	Description	Provide youth-led civic engagement support to low/mod income youth ages 10-25 participating in DRYS leadership training and youth development programs. Funding will support staff to coordinate youth civic engagement and entrepreneurship opportunities and leadership skills development including social and interpersonal skills, conflict resolution, event planning, service learning, team building, decision making, and work readiness. It will support the Youth Voice, One Vision, Mayor's Youth Advisory Council, and other youth development programming. Each R-Center will have its own YVOV council of at least 10 members.
	Target Date	6/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	60 persons
	Location Description	City-wide

	Planned Activities	Youth engaged in this program will work to alleviate problems within their neighborhoods. Youth learn life skills by attending workshops and by developing positive peer relationships and mentoring relationships with adults. Youth members learn concrete skills related to marketing and media production, civic engagement and advocacy, politics and government, and producing community events that prepare them for planning for future life events, college and careers. They see real improvements to their communities as they carry out community service projects thus gaining confidence in their personal efficacy.
10	Project Name	Housing Development Fund-Aging in Place
	Target Area	City-wide
	Goals Supported	Improve the Housing Stock & General Property Con
	Needs Addressed	Housing Condition Non-Homeless Special Needs-Home Safety
	Funding	CDBG: \$30,000
	Description	This program provides support services to keep elders productive in the community. This project offers a safety assessment of the home environment and makes a variety of minor improvements and repairs such as bathroom grab bars, stairway hand rails and door grips, all designed to avoid accidents and maintain household safety. In addition, Lifespan provides consumer education sessions and if necessary, consumer support case management around home repairs.
	Target Date	6/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	100 people
	Location Description	City-wide
	Planned Activities	Households for elderly are surveyed and minor modifications are made. Consumer education sessions are held and cases involving consumer support for home repair problems are conducted and closed.
11	Project Name	Housing Development Fund-Emergency Assistance Repair Program
	Target Area	City-wide
	Goals Supported	Improve the Housing Stock & General Property Con
	Needs Addressed	Housing Condition

	Funding	CDBG: \$200,000
	Description	This program will provide relief to owner occupants that have an emergency concerning heat, hot water, or running water. Funds will also be used to pay an agency for intake services for the program. There will also be funds set aside for this program for contingency.
	Target Date	6/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	78
	Location Description	City-wide
	Planned Activities	Repair/replacement of furnaces, hot water heaters, and water service lines for low/mod owner occupants.
12	Project Name	Housing Development Fund-Community Housing Development Organization
	Target Area	City-wide
	Goals Supported	Improve the Housing Stock & General Property Con
	Needs Addressed	Housing Condition
	Funding	HOME: \$275,924
	Description	Subsidy funds for development and rehabilitation assistance for property owners.
	Target Date	6/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	6 Homeowner Housing Units
	Location Description	City-wide
Planned Activities	Development and rehabilitation assistance.	
13	Project Name	Housing Development Fund-New Housing Construction
	Target Area	City-wide
	Goals Supported	Improve the Housing Stock & General Property Con
	Needs Addressed	New Affordable Housing
	Funding	HOME: \$95,000

	Description	Provides infrastructure subsidies for Habitat and other developers of affordable housing.
	Target Date	6/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	5 Household Housing Units
	Location Description	City-wide
	Planned Activities	Development of affordable housing.
14	Project Name	Housing Development Fund-Affordable Housing Fund
	Target Area	City-wide
	Goals Supported	Improve the Housing Stock & General Property Con
	Needs Addressed	New Affordable Housing
	Funding	HOME: \$759,619
	Description	Provides gap financing for the development of affordable housing
	Target Date	6/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	19 Household Housing Units-16 Rental new construction, 3 Homeowner Rehabilitation
	Location Description	City-wide
	Planned Activities	Gap financing for the development of affordable housing.
15	Project Name	Homeownership Promotion Fund-Buyer Assistance
	Target Area	City-wide
	Goals Supported	Improve the Housing Stock & General Property Con
	Needs Addressed	Homeownership
	Funding	HOME: \$300,000 CDF: \$57,000
	Description	Provides down payment and closing cost assistance of up to \$6,000.
	Target Date	6/30/2018

	Estimate the number and type of families that will benefit from the proposed activities	HOME-100 Households will be assisted CDF-9 Households will be assisted
	Location Description	City-wide
	Planned Activities	Down payment and closing cost assistance
16	Project Name	Homeownership Promotion Fund-Homebuyer Training
	Target Area	City-wide
	Goals Supported	Improve the Housing Stock & General Property Con
	Needs Addressed	Homeownership
	Funding	HOME: \$45,000 CDF: \$40,000
	Description	Provides pre- and post-purchase training for first time homebuyers to the city.
	Target Date	6/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	The households that are assisted with HOME and CDF funds from this program are already counted in the homeownership program.
	Location Description	City-wide
Planned Activities	Pre- and post-purchase training for first time homebuyers in the city.	
17	Project Name	Homeownership Promotion Fund-Home Rochester
	Target Area	City-wide
	Goals Supported	Improve the Housing Stock & General Property Con
	Needs Addressed	Homeownership
	Funding	HOME: \$280,000
	Description	Provides subsidy funds for the development of homeownership opportunities through the acquisition and rehabilitation of vacant properties.
	Target Date	6/30/2018

	Estimate the number and type of families that will benefit from the proposed activities	7 Household Housing Units
	Location Description	City-wide
	Planned Activities	Acquisition and rehabilitation of vacant properties for home ownership opportunities
18	Project Name	Housing Choice Fund-Emergency Solutions Grants
	Target Area	City-wide
	Goals Supported	Improve the Housing Stock & General Property Con
	Needs Addressed	Homelessness-Homelessness Prevention Homelessness-Rapid Rehousing Homelessness-Emergency Shelter & Shelter Diversion Homelessness-Transitional Supportive Housing Homelessness-Coordinated Access
	Funding	ESG: \$987,067
	Description	Street outreach, rapid rehousing, homelessness prevention, operational support to shelters, support services to low-income at-risk households, coordinated access.
	Target Date	6/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	City-wide
	Planned Activities	Street outreach, rapid rehousing, homelessness prevention, operational support to shelters, support services to low-income, at-risk households, coordinated access. \$74,030 of the funds will be used for the City's administration of the Emergency Solutions Grants Program. That amount is included in this project as only one activity can be listed for this funding source.
19	Project Name	Housing Choice Fund-HOPWA
	Target Area	City-wide
	Goals Supported	Improve the Housing Stock & General Property Con

	Needs Addressed	Non-Homeless Special Needs-Perm Supportive Housing Housing & Supportive Services-People with HIV/AIDS
	Funding	HOPWA: \$762,246
	Description	Provides rental assistance and other supportive services to low income households where a member of the household is diagnosed with HIV/AIDS.
	Target Date	6/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	115 households will be assisted with STRMU and TBRA.
	Location Description	City-wide
	Planned Activities	Rental assistance and other supportive services to those with HIV/AIDS.
20	Project Name	Other-Planning Staff
	Target Area	City-wide
	Goals Supported	Other
	Needs Addressed	Citywide Planning and Studies
	Funding	CDBG: \$249,000
	Description	Funds are used for Planning staff.
	Target Date	6/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	City-wide
	Planned Activities	Planning staff
21	Project Name	Other-Indirect Costs
	Target Area	City-wide
	Goals Supported	Other
	Needs Addressed	Citywide Planning and Studies
	Funding	CDBG: \$450,000
	Description	This project provides funds for City services such as legal and financial services that benefit more than one activity.

	Target Date	6/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	City-wide
	Planned Activities	Indirect costs
22	Project Name	Fire Department Small Equipment
	Target Area	City-wide
	Goals Supported	General Community Needs
	Needs Addressed	Non-Homeless Special Needs-Home Safety Fire Stations/Equipment
	Funding	CDBG: \$55,000
	Description	The RFD provides and installs free smoke and carbon monoxide detectors to low and moderate income families living in residential properties. The RFD coordinates and implements fire prevention and education programs to reach at-risk target populations.
	Target Date	6/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	2000 persons
	Location Description	City-wide
	Planned Activities	Distribution of smoke detectors and carbon monoxide detectors
23	Project Name	Seniors Program-Legal Services
	Target Area	City-wide
	Goals Supported	General Community Needs
	Needs Addressed	Non-Homeless Special Needs-Home Safety
	Funding	CDBG: \$20,000
	Description	Provides assistance with legal services for the elderly that would improve their quality of life. This includes but is not limited to wills and estates, elder abuse, consumer fraud, home repair complaints, accessing medical services, and foreclosure prevention.

	Target Date	6/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	33 Persons
	Location Description	City-wide
	Planned Activities	Services will include identifying the best approach to assisting this vulnerable population and engaging the most appropriate service provider(s).
24	Project Name	STEAM Engine
	Target Area	City-wide
	Goals Supported	General Community Needs
	Needs Addressed	Youth Recreation and Empowerment
	Funding	CDBG: \$110,000
	Description	The S.T.E.A.M. (Science, Technology, Engineering, Arts and Math) Engine is a mobile education and recreation program that will engage youth in free, high quality STEM and visual/performing arts activities in parks, playgrounds, recreation centers, and cultural art institutions. The program will be delivered using the Rec on the Move model, which brings activities, equipment, staff, and all of the benefits of R Centers right to youth and families in their neighborhoods.
	Target Date	6/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	500 persons
	Location Description	City-wide
	Planned Activities	The program will make scheduled visits to a variety of neighborhoods providing STEM and arts activities.
25	Project Name	Project Development
	Target Area	City-wide
	Goals Supported	Promote Economic Stability

	Needs Addressed	New Affordable Housing Economic Devlpmnt Asstnce to For-Profit Businesses Economic Development Technical Assistance
	Funding	CDF: \$100,000
	Description	The project provides assistance in the form of low interest loans, grants and land assembly activities for mixed use, commercial, retail, industrial and housing development.
	Target Date	6/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	1 redevelopment project-1 property to be assisted. Other accomplishments to be determined.
	Location Description	City-wide
	Planned Activities	Assistance in the form of low interest loans, grants and land assembly activities.
26	Project Name	Celebrate City Living
	Target Area	City-wide
	Goals Supported	Improve the Housing Stock & General Property Con
	Needs Addressed	Homeownership Housing Services Safe Neighborhoods
	Funding	CDF: \$18,000
	Description	City-Community partnership that promotes a range of housing opportunities to a diverse universe of residents. Provides information on purchase and rental opportunities throughout the city's neighborhoods and downtown. Also provides information on financial services, grant programs, and nearby amenities and businesses unique to Rochester, all with the goal of encouraging financial stability, community engagement, and neighborhood revitalization.
	Target Date	6/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	800 households
	Location Description	City-wide

	Planned Activities	Year round website and social media campaign to describe and promote city neighborhoods, housing options, and community events. One signature city-wide housing expo and 3-4 neighborhood level events to provide an in-person opportunity to highlight the new for-purchase and rental opportunities downtown and the nearby stable, diverse, mixed income neighborhoods with long time residents and solid businesses and services.
27	Project Name	Housing Development Fund-Housing Development Support
	Target Area	City-wide
	Goals Supported	Improve the Housing Stock & General Property Con
	Needs Addressed	Housing Condition Homeownership
	Funding	CDBG: \$174,774
	Description	Funds provide operating support to facilitate development (RHDFC/Greater Rochester Housing Development Fund Corporation)
	Target Date	6/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	City-wide
	Planned Activities	Funds for operating support to facilitate development
28	Project Name	Housing Development Fund-Demolition
	Target Area	City-wide
	Goals Supported	Improve the Housing Stock & General Property Con
	Needs Addressed	Clearance and Demolition
	Funding	CDBG: \$700,000
	Description	Funds will be used to perform demolitions of properties.
	Target Date	6/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	28
	Location Description	City-wide

	Planned Activities	Demolitions of blighted properties
29	Project Name	Other-Program Management
	Target Area	City-wide
	Goals Supported	Other
	Needs Addressed	Citywide Planning and Studies
	Funding	CDBG: \$365,500
	Description	This program provides funds to partially finance the cost of planning, management, coordination, contract development, and monitoring of federal programs.
	Target Date	6/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	City-wide
	Planned Activities	Provides funds to partially finance the cost of planning, management, coordination, contract development, and monitoring of federal programs.
30	Project Name	Focused Investment Strategy
	Target Area	City-wide
	Goals Supported	Improve the Housing Stock & General Property Con
	Needs Addressed	Housing Condition Parks, Recreation & Other Neighborhood Facilities Street Improvements Sidewalk Improvements Streetscape/ROW Improvements Economic Devlpmnt Asstnce to For-Profit Businesses
	Funding	CDBG: \$500,000

	Description	Improvements to City owned and public structures, including infrastructure and beautification projects will be funded to support grants to incent business owners, landlords and owner occupants to maintain and improve physical structures and promote community cohesiveness resulting in community stability and economic development. Funds may also be used for rehabilitation grants to homeowners as well as business development loans and grants to businesses located in the FIS areas.
	Target Date	6/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	To be determined
	Location Description	City-wide in previously designated Focused Investment Areas
	Planned Activities	Improvements to City owned and public structures including infrastructure and beautification projects, rehabilitation grants to homeowners, and business development grants and loans to businesses located in FIS areas.
31	Project Name	Fair Housing Study
	Target Area	City-wide
	Goals Supported	Other
	Needs Addressed	Accessible Housing Citywide Planning and Studies
	Funding	CDBG: \$150,000
	Description	Assessment of Fair Housing Study. This is a new HUD required process to analyze the fair housing landscape and set locally determined priorities and goals. It replaces the Analysis of Impediments to Fair Housing (AI) process.
	Target Date	6/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	Not applicable
	Location Description	Not applicable

	Planned Activities	Complete a HUD required community planning and engagement process to gather input and data, analyze it, and identify opportunities and strategies within the city and region to affirmatively further fair housing.
32	Project Name	Homeownership Assistance Program for Vacant Houses
	Target Area	City-wide
	Goals Supported	Improve the Housing Stock & General Property Con
	Needs Addressed	Housing Condition Homeownership
	Funding	CDBG: \$125,000
	Description	The funds will be used to provide rehabilitation assistance to homebuyers to correct lead based pain hazards and property code violations to allow potential first time homebuyers to acquire vacant properties from the City of Rochester.
	Target Date	6/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	10 Household Housing Units
	Location Description	City wide
	Planned Activities	Rehabilitation of vacant homes for sale to first time homebuyers
33	Project Name	Housing Repair Programs-Application Intake Services
	Target Area	City-wide
	Goals Supported	Improve the Housing Stock & General Property Con
	Needs Addressed	Housing Condition
	Funding	CDBG: \$100,000
	Description	Funding for professional service agreements with non-profit housing agencies to provide application intake services for the City's housing repair programs.
	Target Date	6/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	The households assisted from this activity are counted in other programs as this is the intake service.
	Location Description	City-wide

	Planned Activities	Intake for City housing repair programs.
34	Project Name	DRYS Infrastructure-Play Apparatus
	Target Area	City-wide
	Goals Supported	General Community Needs
	Needs Addressed	Parks, Recreation & Other Neighborhood Facilities
	Funding	CDBG: \$300,000
	Description	Includes design and construction of play apparatus at several parks and playgrounds projected for but not limited to First Street, Genesee Valley West, Tacoma.
	Target Date	6/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	To be determined
	Location Description	City-wide
	Planned Activities	Play apparatus at various City parks and playgrounds

AP-50 Geographic Distribution – 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

The City generally targets community development activities in census tracts where 51% or more of the households have incomes that are 80% or less of the median family income.

Geographic Distribution

Target Area	Percentage of Funds
City-wide	100
Targeted Area for Targeted Rehab Program	
South West Area for South West Youth Organizing Program	

Table 8 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

Funds are used City-wide.

Discussion

The funding priorities and decisions for the City of Rochester are based in a process of neighborhood engagement that provides the basis for funding. The Quadrant Team approach was developed, in part, to assist in the process of identifying neighborhood issues and responding through a cross-functional team approach. The Quad Team approach is threefold: communication, short-term problem-solving, and prioritization of longer-term projects. The long-term goal is to create a process of ongoing issue identification, resource allocation, and prioritization that continually informs the City on economic development, housing, and social issues. The City consults with community groups, nonprofit groups and City department staff on an ongoing basis in the preparation of the Annual Action Plan. The City used statistical data compiled from a variety of sources to prepare a community profile, housing market analysis, and housing needs assessment that is included in the Five Year Consolidated Plan. The City's federal funding priorities will also adhere to the following guidelines: At least 70% of CDBG expenditures will be for activities that benefit low- and moderate-income families. The amount of funds proposed for public service activities will not exceed 15% of the annual CDBG amount, including program income. The amount of funds proposed for planning and administration activities will not exceed 20% of the annual CDBG amount, including program income. The City's federal CDBG funds are intended to provide low- and moderate-income households with viable communities, including decent housing, a suitable living environment, and expanded economic opportunities. Eligible activities include community facilities and improvements, housing rehabilitation and preservation, affordable housing development activities, public services, economic development, planning, and administration. The system for establishing the priority for the selection of these projects is predicated upon the following criteria: Meeting the

statutory requirements of the CDBG Program; Meeting the needs of low- and moderate-income residents; Focusing on low- and moderate-income areas or neighborhoods; Coordination and leveraging of resources; Response to expressed needs; Sustainability and/or long-term impact, and The ability to demonstrate measurable progress and success. The City of Rochester also utilizes economic development tools to promote revitalization and growth. These include the Empire Zone Tax Incentive. Although this program expired June 30, 2010 for new entrants into the program, the current zone certified businesses must comply with program requirements to assure continuation of their benefits.

Affordable Housing

AP-55 Affordable Housing – 91.220(g)

Introduction

One Year Goals for the Number of Households to be Supported	
Homeless	1,710
Non-Homeless	166
Special-Needs	128
Total	2,004

Table 9 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households Supported Through	
Rental Assistance	128
The Production of New Units	21
Rehab of Existing Units	16
Acquisition of Existing Units	16
Total	181

Table 10 - One Year Goals for Affordable Housing by Support Type

Discussion

AP-60 Public Housing – 91.220(h)

Introduction

As previously discussed in the strategic portion of this document, RHA is in the process of developing its Five Year and Strategic Plan. As the strategic plan is developed, new action items will be identified and become part of the overall plan.

Actions planned during the next year to address the needs to public housing

RHA will continue to provide quality affordable housing and services for its residents. RHA meets with our resident councils, resident Commissioners, staff and neighborhood associations to address needs and discuss upcoming projects. We take all suggestions and recommendations from these sources into consideration when preparing the five year Capital Improvement plan. Due to ever changing conditions, items are prioritized and can fluctuate within the plan from year to year. There are currently multiple projects in place and more are being planned for the upcoming year. We will also undertake multiple roof replacement projects at several of our properties. RHA is planning a major renovation at Federal Street as well as the Atlantic Townhouse complex. RHA will be entering into a window replacement project for Kennedy Towers which will benefit the residents and will promote energy conservation. RHA will be working with development partners to assess and determine the best next steps for several of our Public Housing complexes/units. This partnership will add much needed funds, which will in turn allow RHA to improve our units while having a positive impact on the surrounding neighborhood. RHA's goal is to change the face of public housing. This will be accomplished by renovating and constructing new units that residents will be proud to call home. We will be working to reduce unit turnaround time for vacated public housing units to that they can be filled with applicants from our wait list. RHA is in the process of reviewing and assessing our energy performance and may enter into a contract to upgrade some of our mechanical and other energy use items/systems.

The RHA Resident Service Department is also working hard to partner with other agencies that can assist our residents in self-sufficiency initiatives and goals. Training, employment, and life skills are a focus in the upcoming year.

Actions to encourage public housing residents to become more involved in management and participate in homeownership

RHA will continue to develop and promote its Section 3 program, resident councils and advisory board. RHA's Resident Services department will continue to assist with resident needs and actively engage in homeownership opportunities and program development. RHA is committed to improving communication between management and its residents and program participants and continues to work with area partners to provide services.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

RHA has never been nor plans on being a troubled housing authority.

Discussion

AP-65 Homeless and Other Special Needs Activities – 91.220(i)

Introduction

Rochester will continue to work with the Continuum of Care to coordinate services to the homeless and to provide outreach and other services, emergency shelter, transitional housing and permanent supportive housing to persons with special needs. The CoC has incorporated the goals of Opening Doors into its strategic planning. To end chronic homelessness, the CoC is obtaining commitment from PSH providers to give chronically homeless persons priority when a unit vacancy occurs. It is also supporting the Housing First model. To end veteran homelessness, the CoC is partnering with the Veterans Administration and supporting housing targeted to veterans. In 2016, the Rochester/Monroe County CoC reached functional zero toward ending veteran homelessness and will continue until veteran homelessness is eliminated. To end homelessness for families and dependent children, the CoC is emphasizing a rapid rehousing approach. To end homelessness for unaccompanied youth, the CoC is stressing outreach. The Coordinated Access system implemented in 2014 will aid in reaching these goals by employing diversion and screening to ensure that the homeless are referred to the appropriate programs. We continue to work to end homelessness for families, youth, and children by 2020. Consistent with the 2012 Homelessness Resolution Strategy, Rochester will: Continue to implement diversion as the first response to a housing crisis; Emphasize a rapid exit/housing first approach for the entire system; Increase Rapid Rehousing; Use Progressive Engagement in Providing Services; Implement a Housing Stabilization Case Management Approach using Critical Time Intervention (CTI); Improve practice and capacity in Permanent Supportive Housing (PSH) model by targeting PSH to people with the highest need, building PSH provider capacity, integrating supported employment in PSH programs, and implementing “Moving on from PSH” interventions; Implementing data-driven decision-making and evaluation; Ensuring leadership and accountability.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The Rapid Engagement Demonstration (RED) Team will continue to identify and engage the unsheltered homeless are identified and engaged by using outreach conducted one to two nights a month. Veteran and mental health case managers will visit soup kitchens, libraries, and public places that unsheltered homeless adults frequent. Youth street outreach workers will continue to regularly engage homeless and at-risk youth. The objective is to link the unsheltered homeless with housing, services, and mainstream benefits.

Youth service providers will continue to utilize street outreach workers who use a mobile unit to conduct ongoing, regular outreach efforts with homeless and at-risk youth, including LGBT youth. Services include medical screenings (including HIV testing), condom distribution, and linking youth to

community-based services and income streams. Homeless youth who agree to placement are transported to youth emergency shelters. Veterans outreach workers visit shelters, soup kitchens, and other locations in an effort to identify homeless veterans and link them to the VA and community-based services. Street outreach “sweeps” are conducted bi-monthly to engage the unsheltered homeless in the locations where they are known to congregate (e.g., parking garages). Specialized Office of Mental Health (OMH) outreach workers connect with homeless persons experiencing serious mental and/or substance abuse issues. Outreach workers who speak Spanish and other languages participate in all of these efforts.

Addressing the emergency shelter and transitional housing needs of homeless persons

Rochester works with the CoC and its members to carry out outreach and services to homeless persons and to provide transitional housing to youth, veterans, victims of domestic violence, persons with drug or alcohol additions and other homeless individuals and families.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

To end homelessness among households with dependent children, the CoC is investing in rapid rehousing so that those who enter emergency shelters exit quickly. It is also providing diversion assistance for those who have a viable alternative to a shelter, but require some support. In 2011, Rochester Housing Authority implemented a “Moving On” preference for its Housing Choice Voucher program. This allowed families that no longer need case management to transition to the Voucher (Section 8) program, freeing up PSH units for homeless families. The CoC will be intensifying its outreach efforts to identify and provide support for unsheltered families with dependent children. The CoC plans to increase the number of homeless households with children assisted through RRH projects by working with TH projects serving families to help them restructure using a RRH model and by soliciting housing organizations without such programs to create new RRH projects. ESG funding supports a new Rapid Rehousing Partnership (RRP) that includes Coordinated Care Services Inc, Willow Center for Domestic Violence (Willow CDV) formerly Alternatives for Battered Women, Spiritus Christi Prison Outreach, The Center for Youth Services, The Housing Council at Pathstone, Monroe County DHS, and YWCA. RRH responds quickly, secures appropriate PH, and uses an array of mainstream benefits and supports to maximize resources. The RRP is being expanded to serve as a forum for the broader implementation of a local RRH system. Training/published tool kits will help agencies, including those with EH, to understand the RRH approach and best practices. RRH projects have sought and been awarded funding from other sources (e.g., NYS OTDA, DOJ), and this effort will continue. The needs of domestic violence victims, including their families, will continue to be broadly addressed. The Rochester/Monroe County Domestic

Violence Consortium, with 50 member organizations from human services and the legal system, promotes a coordinated community response to domestic violence. In addition, staff of housing providers who serve domestic violence victims are trained in trauma counseling. Willow DVC serves people who are victims of domestic violence (or at risk) along with their children, offering counseling, education, and a secure shelter, whose location is not publicly identified. A 24-hour hotline provides information on housing and services for domestic violence victims. Willow DVC has stringent policies to ensure the safety and privacy of its clients, and names and other identifying data are not entered into HMIS. Willow DVC and the YWCA recently received New York State funding for a joint program. The Monroe County Department of Human Services has a Domestic Violence Liaison. The Center for Youth Services, Hillside Children's Center, The Salvation Army, and the Monroe County Youth Bureau continues to provide housing and services for unaccompanied homeless youth. CoC will continue to offer a range of outreach, emergency and transitional housing and support services are available through CoC and other funding resources. Outreach and drop-in center activities focus on diverting youth from the homeless system. Youth providers have a common intake form, work together closely, and meet on a monthly basis to ensure that homeless youth have access to safe housing and services. In all cases, before a youth leaves a program, the youth is linked to a family member or other responsible, supportive adult. Youth providers will continue to work with Monroe County Office of Mental Health (OMH) to ensure access to mental health services and ease transition from the youth to the adult mental health system. Youth ages 16-17 and 18-24 will be targeted separately and offered age-appropriate services, while youth as young as 12 will also be served.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.

Youth being discharged from foster care or other institutional settings typically return to their family of origin or another responsible family member or adult. When no such adult can be located, the youth is placed in a community program specifically designed to prepare youth for independent living, such as The Villa of Hope or Hillside Children's Center. The CoC will continue to educate homeless providers about the importance of ensuring that youth are not discharged into homelessness. Shelters have been instructed to immediately contact the Monroe County Department of Human Services to report the failed discharge plan if a youth is attempting to access homeless services. The youth is then connected to a caseworker who attempts to re-unite the youth with his/her family or other responsible adult and link him/her to appropriate services. If such a re-uniting is not possible, the youth is referred again to a community program that prepares youth to transition to independent living. Through HSN, the CoC will continue to work with hospital staff to develop protocols for those occasional times when appropriate stable housing cannot be located at discharge from the hospital. Hospital social work staff have been provided with information on local emergency shelters (e.g., physical layouts, staffing, hours open) so a

referral is made to the shelter that can best meet the person's needs. Hospital staff calls the shelter to confirm a bed is available, any required follow-up care is communicated to shelter staff, and the patient is provided with a sufficient supply of medication. Inpatient facilities licensed or operated by the NYS Office of Mental Health are encouraged to refer individuals to housing consistent with the level of care required by the patient and to not discharge patients until a comprehensive discharge plan is in place. Prior to discharge, individuals in need of supervised housing, and who agree to a referral, are referred to the Monroe County Single Point of Access (SPOA). The SPOA facilitates housing assistance for eligible individuals and connects persons to mental health care coordination services. Through HSN, the CoC will continue to educate stakeholders about the primary providers of licensed mental health residential beds (DePaul Community Services, East House, Housing Options Made Easy, Rochester Psychiatric Center), intensive case management programs (Strong Memorial Hospital, Rochester General Health System, Unity Health System), and care coordination services (ACT Team, Project Link, MICA Net). Ex-offenders are most frequently discharged to their families or to independent living under the supervision of parole. New York State Parole has contracts with several community agencies to place ex-offenders when no other housing can be located (e.g., Salvation Army Adult Rehabilitation Center, Altamont House, Grace House, and DuBois Re-Entry Program). The State is implementing a pilot project in Monroe County for effectively linking eligible individuals from this population to Health Homes, which will provide enhanced care management, ensuring that enrollees are linked to housing and social services supports. The CoC Team and the Monroe County Re-Entry Task Force will continue to work closely together, utilizing common members, to assist ex-offenders to successfully transition back into the community. Linking ex-offenders to mainstream resources will reduce the number of ex-offenders from entering the homeless system.

Discussion

Continued from above: The Veterans Administration (VA) and the Veterans Outreach Center (VOC) will continue to exclusively serve veterans in the CoC geography. The VA and RHA are partners for the HUD VASH program and have developed the local VASH referral/application process and coordinated support services. The VA also partners with two Salvation Army programs-Booth Haven and Safe Haven-that have beds for veterans through the Grant and Per Diem program. The VOC was awarded a SSVF grant to provide prevention and rapid re-housing services to veterans and their families. The VOC and the VA will continue to operate programs for veterans returning from Iraq and Afghanistan to welcome them home and link them with employment and other mainstream resources to secure and stabilize their income. The YWCA has a MOU with the VOC to provide services to female veterans, and a TH program for female veterans, Zion House, which operates in a neighboring county will serve female veterans from Monroe County. The average length of time participants remain in Emergency Shelter (ES) is 17 days, Transitional Housing (TH) 121 days, and Permanent Supportive Housing (PSH) 63 days. To reduce this time of homelessness, the CoC has encouraged PSH providers to adopt a Housing First approach, which has resulted in eliminating many preconditions (e.g., sobriety, minimum income threshold) that were previously barriers to housing entry. In addition, ESG funds have been used for rapid rehousing efforts, especially for families, to keep them from becoming homelessness. Data on the length of time that

homeless individuals and families spend in CoC and ESG-funded ES, TH, and SH programs are included in HMIS and will be used to track changes over time. Non-HUD funded projects are represented among the over 70 community agencies in the HSN, where members are educated on best practices and tools that can be used to reduce the length of time individuals stay homeless. To reduce the extent to which individuals and families leaving homelessness experience additional homeless episodes, the CoC will utilize prevention, diversion, and short-term rental assistance, and arrears payments. The Monroe County DHS Diversion Unit will continue to assist those at risk of homelessness with payment for such costs as back taxes, mortgage payments, auto repairs, and tools/uniforms for jobs. Returns to homelessness are also decreased as the result of less stringent compliance demands for participants, automatic renewals of leases, and ensuring that discharges are in accordance with fair housing requirements. Data in HMIS can be used to determine if and when individuals and families leaving TH, RRH, and PSH experience another episode of homelessness in those cases where either they exit to homelessness or they exit to permanent housing, but subsequently re-enter the homeless system in the CoC geography. To improve the housing stability of persons in permanent supportive housing, the CoC will utilize the local Coordinated Access System established in 2014, to improve the likelihood of placing individuals and families in housing where they can either stay longer or move more quickly to self-sufficiency. Special attention is given to increasing access to mainstream supportive services and enhancing case/care management options to ensure effective integration of homeless housing & community-based services and supports. In addition, agencies are encouraged to adopt a housing first model, which would allow more participants to remain in their units and reduce the number exiting a project because of alcohol or drug related noncompliance. The CoC will use HMIS data each quarter to monitor the number of participants who remain in PSH or exit to a PH destination.

AP-70 HOPWA Goals– 91.220 (I)(3)

One year goals for the number of households to be provided housing through the use of HOPWA for:	
Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family	67
Tenant-based rental assistance	61
Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds	0
Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds	0
Total	128

AP-75 Barriers to affordable housing – 91.220(j)

Introduction:

The barriers to affordable housing include:

- Insufficient income to purchase a home or rent a suitable housing unit.
- Cost of safe, decent housing may not be affordable
- Housing that is affordable may require significant rehabilitation
- Poor credit, lack of financial literacy
- Lack of savings for down payment and closing costs for house purchase
- Expensive and complex process faced by affordable housing developers
- Discriminatory practices from lenders and providers in the housing market on the basis of race, ethnicity, or familial status
- High rates of home purchase loan denials for Black and Hispanic applicants, despite documented instances of Black and Hispanic applicants having similar financial qualifications to non-minority applicants that were approved

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

Rochester will pursue the following strategies to ameliorate barriers to affordable housing: Job training/employment assistance; financial literacy counseling & training; training in home maintenance & minor home repairs; homeownership counseling & assistance; housing rehabilitation assistance; weatherization/energy conservation assistance to reduce utility costs. In addition, the City will expand the supply of affordable rental & home ownership housing including mixed-income development whenever possible; increase homeownership among low & moderate income prospective home buyers; preserve & improve the existing stock of affordable housing; ensure equal access to housing; assist in designing a more dynamic & strategic outreach campaign to educate city residents, landlords, developers, lenders, insurance providers, & other housing professionals on fair housing rights & obligations & the continued existence of certain forms of discrimination; support enhanced outreach, education, & enforcement related to fair housing requirements, compliance, & best practices to lenders, landlords, property owners, tenants, etc.; work with the County & State to explore the feasibility of raising the shelter allowance rate provided to tenants through the County's Dept. of Social Services; develop a program for rehabilitating homes to bring them up to RHA standards, enabling homes eligibility for rapid rehousing for RHA's Section 8 vouchers; support the efforts of the Rochester Land Bank Corp. to acquire properties that may be developed into affordable units. To implement these objectives, the City will offer first time homebuyer assistance, housing rehabilitation,

tenant-landlord counseling, fair housing programs and develop new affordable housing. The City will continue to make capital improvements in low & moderate income areas. The Dept. of Neighborhood & Business Development will continue to monitor the following policies to & practices to identify & remove those that are a barrier to affordable housing:

Discussion:

Cont from above: Tax policies affecting land & other property; Land use controls; Zoning Ordinance; Building Code; Fees & Charges; Growth Limits; restrictions on the return on residential development. Building & zoning codes will be evaluated to remove barriers to the development of alternative housing types such as micro-units & modular construction. To ensure that rental units remain affordable to residents, Rochester will continue to offer property tax exemptions & payments in lieu of taxes to ensure that rental units remain affordable & to support applications by property owners who are seeking state & federal assistance to improve rental properties.

Cont from AP65: To increase participants' non-employment income, the CoC will continue to educate providers and supporting community outreach efforts. SSI/SSDI Outreach, Access, and Recovery (SOAR) program training is offered several times a year to provider staff members so that they are knowledgeable about the disability benefits programs administered by the SSA (e.g., SSI, SSDI) for eligible adults who are homeless or at risk of homelessness and have a mental illness or other qualifying disability. Staff is then prepared to assist participants navigate the complex application system, ensure that the application is complete before submission, and make it more likely that disability benefits are approved. In addition, the CoC will work to increase the percentage of all participants who increase employment income through individual-level and systemic change. The City will increase use of Section 3, in cooperation Monroe County, and Rochester Housing Authority, the Urban League of Rochester Youth Build Project, Rochester Works, and other local training providers. Employment services for those with disabilities (e.g., East House, DePaul) are highlighted at Homeless Services Network meetings, and Rochester Rehabilitation has a New York State-funded Adult Career and Continuing Education Services-Vocational Rehabilitation (ACCESVR) will continue to provide vocational training and employment readiness program to persons with disabilities.

AP-85 Other Actions – 91.220(k)

Introduction:

Actions planned to address obstacles to meeting underserved needs

The primary obstacle to meeting underserved needs for affordable housing in the city of Rochester is the gap in what households can afford to pay for housing and the price of housing. The City has a significant affordable housing stock, yet the income level for individual households such as single parent, elderly, disabled, or others of limited economic means, is insufficient to afford even the lowest of the market rate units. The City will continue to work on economic development to provide better job opportunities and with social service providers to assist such households. In addition, the City will work with for-profit and non-profit developers to create more affordable housing.

Another obstacle to meeting the needs of underserved households is the limited amount of funding received by the City. The City of Rochester will partner with other public agencies and nonprofit organizations, when feasible, to leverage resources and maximize outcomes in housing and community development.

A primary obstacle to meeting underserved economic development needs is the ability of developers to secure the necessary financing to undertake and complete projects. It is typically the role of the public sector to fill the gaps of these financing needs. However, on occasion, these gaps exceed the assistance capabilities of the City. Another obstacle is the ability to secure inexpensive and convenient parking options for Center City businesses and development projects.

Crime has been a major obstacle to the continued revitalization of neighborhood commercial areas. This Annual Action Plan contains funding for projects that provide security cameras and exterior lighting to help address the obstacles.

Actions planned to foster and maintain affordable housing

The Housing Needs Assessment documents a large percentage of households that experience one or more housing problems in 2000, including housing cost burden, overcrowding, and inadequate housing. A significant amount of the City's housing funds are directed toward addressing underserved needs.

Actions planned to reduce lead-based paint hazards

The City will continue to manage its Lead Hazard Control program with funding received from HUD's Office of Healthy Homes and Lead Hazard Control, and CDBG. As at-risk children primarily reside in rental housing built before 1978, the City will continue to target this housing stock as it carries out its lead hazard control efforts. Rochester's Lead Hazard Control Program will continue to proactively respond to lead paint hazards in the city. The program includes window, entry doors, porch repair or replacement, paint stabilization, bare soil treatment and general rehabilitation for both landlords and

owner-occupants. Eligible property owners may receive assistance of up to \$14,000 for a single-family to correct lead-based paint hazards, with an additional \$6,000 to be made available for each additional unit. Landlords are required to contribute 10% matching funds. Owner-occupants are required to occupy the home for at least five years. Through the Lead Hazard Control Program, property owners receive a combined lead-based paint inspection/risk assessment. The City has partnered with several lead hazard evaluation firms for these services. The assessment identifies lead hazards throughout the unit(s), a report is produced identifying the hazards, and cost estimates are included for remediating the hazards. Based on the completed report, a City Rehabilitation Specialist develops a scope of work, which is bid out to lead-certified contractors. All assisted units must pass a clearance examination before the unit can be occupied. It is a requirement of the Lead Hazard Control Program that all assisted property owners attend a one-day leadsafe work practices/property maintenance course. This requirement helps to educate property owners on how to undertake lead work using work safe practices and also helps to ensure long-term lead safety of the assisted unit. In addition, the City will undertake an outreach and education programs designed to reach at-risk populations. This program focuses on reaching populations least likely to have access to media and other resources that provide awareness. Rochester's local "Lead Based Paint Poisoning Prevention" law, which took effect July 1, 2006, requires inspections for lead paint hazards as part of the City's existing housing inspection process.

In addition, the City will undertake the following activities: Continue to integrate a "Healthy Homes" intervention for units served through the lead hazard control program; Continue in partnership with the Monroe County Department of Public Health to identify and enroll families with lead-poisoned children; and; Seek non-traditional funding for lead hazard control

Actions planned to reduce the number of poverty-level families

Rochester will focus on economic development, job creation, youth service and supportive services to reduce the number of families in poverty. Programs to ensuring safe neighborhoods and improve public facilities will help to create economic opportunities for residents.

Consistent with the work groups formed to carry out the Rochester-Monroe County Anti-Poverty Initiative, Rochester's Anti-Poverty Strategy will address:

- • Education and training, particularly for youth
- • Job retention and creation
- • Decent, affordable housing
- • Health and nutrition
- • Safe neighborhoods
- • Improving public policy and the systems dealing with poverty

Actions planned to develop institutional structure

To enhance the City's housing systems, staff will work with internal departments to streamline and increase efficiencies by reviewing current procedures compared to best practices and implement changes where necessary.

Actions planned to enhance coordination between public and private housing and social service agencies

Plans to coordinate between public and private housing social services agencies is a high priority. To this end, Housing staff will participate with the County, local and state housing and social service agency networks to ensure housing programs for the homeless and non-homeless populations are well coordinated to help ensure their success.

Discussion:

Program Specific Requirements

AP-90 Program Specific Requirements – 91.220(I)(1,2,4)

Introduction:

Community Development Block Grant Program (CDBG) Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	600,000
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	33,000
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
Total Program Income:	633,000

Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	70.00%

Reference 24 CFR 91.220(I)(2)

1. A description of other forms of investment being used beyond those identified in Section 92.205 is as follows:

The City's investment of HOME funds is consistent with the forms of assistance included in 24 CFR 92.205(b). The City leverages its HOME funds with other funding secured by its housing partners to develop affordable homeownership and rental housing. Community Development Housing

Development Organizations (CHDOs) and other non-profit housing developers apply for and receive funding from state and local resources to support the City's housing programs, projects and goals.

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

HOME PROGRAM-HOMEBUYER RESALE AND HOME SUBSIDY RECAPTURE GUIDELINES-The City of Rochester will comply with the following HOME regulations (24 CFR Part 92.254(a)(5) regarding resale and recapture of HOME subsidies. In addition, prescribed language for both resale and recapture provisions is also provided below.

It should be noted that the City of Rochester operations regarding Resale and Recapture are as follows: The chart below delineates the programs for Resale and Recapture and provides the tools to be used including the mechanisms for affordability.

Home Rochester Write down subsidy for the acquisition/rehabilitation for owner occupants Resale

Community Development Organization (CHDO) Write down subsidy for the acquisition/rehabilitation for owner occupants Resale

New Construction Infrastructure for Habitat for Humanity and other developers of affordable homeowner-housing Resale

Employer Assisted Housing Initiative Provides a match of up to \$3,000 of down payment and closing cost assistance to employees of participating employers purchasing homes Recapture

Home Purchase Assistance Provides up to \$3,000 of down payment and closing cost assistance to buyers purchasing homes on the private market Recapture

Homebuyer Assistance Provides up to \$6,000 of down payment and closing cost assistance to buyers purchasing CHDO/Home Rochester homes Recapture

Resale and Recapture guidelines are attached below.

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

See above

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is

rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

At this point there is no plan to use HOME funds to refinance existing debt secured by multi-family housing that is rehabilitated with HOME funds. All of our funds will be used for acquisition, construction, and permanent financing.

**Emergency Solutions Grant (ESG)
Reference 91.220(l)(4)**

1. Include written standards for providing ESG assistance (may include as attachment)

The City, Continuum of Care (CoC) and HSN (a collaboration of more than 60 local homeless services legal, primary care, mental health, substance abuse, re-entry providers and community stakeholders) have met throughout each program year to jointly plan for ESG. In keeping with the City's past practice of providing the Emergency Shelter Grants (ESG) Program Desk Guide March 2001 to all sub-recipients, the City has provided sub-recipients and community providers that coordinate with them the Emergency Solutions Grant Rules and Regulations, and tools available to date including Definition of Homelessness (Criteria for Defining Homeless and Record Keeping Requirements), and Emergency Solutions Grants (ESG) Program Components and Activities. The City provided sub-recipients with information about the new homeless definition and eligibility, documentation and record requirements and HMIS participation. The CoC provides technical assistance and licenses to the City's sub-recipients.

2. If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.

The Homelessness Strategy study provided the evidence basis of the Coordinated Access system. As the study was being conducted, CoC members including the City participated in community discussions led by the consultants who engaged stakeholders in implementing plans to reduce the number of homeless families referred to hotel/motels for shelter without on site supportive services and develop a coordinated access system for homeless services. The development of a coordinated assessment system is underway. ESG funding in 2015 and 2016 supported a Coordinated Assessment System with Coordinated Care Services, Inc., Lifeline, 2-1-1 Call Center, and Catholic Family Services. This group of providers began an approach to overcome barriers for clients by systematically communicating, coordinating, and collaborating in the area of front door services (at the Monroe County Department of Social Services, Division of Human Services (homeless intake) during the daytime hours and by phone at 2-1-1 on weekends and evening hours. High needs cases

and non-HMIS agency support is provided by Catholic Family Services. Use of the Vulnerability Index-Service Prioritization Decision Assistance Tool (VI-SPDAT) was implemented and is now utilized at all emergency shelters and involves their input at Emergency Shelter team meetings coordinated by CCSI, Inc. via ESG support. The system may be further clarified in future cycles to use a no wrong door approach rather than a front door approach since Coordinated Access in the Continuum already has separate entrances to services for specialized clients (e.g. experiencing domestic violence, unaccompanied youth, transitioning age youth, re-entry populations).

By centralizing intake and utilizing the VI-SPDAT tool to inform program admissions decisions, a coordinated entry process makes it more likely that families will be served by the right intervention more quickly. (*One Way In: The Advantages of Introducing a System-Wide Coordinated Entry for Homeless Families* - National Alliance to End Homelessness) The City engaged the services of the consultants identified above, to assist the community in developing and implementing a single point of access system (SPOA) for homeless services. The consultants worked with the CoC, providers and stakeholders to develop a system based on the specific needs of the Rochester/Monroe County community. The focus of the Coordinated Access system is to ensure that eligible persons and priority groups are served, clients needs and the services received are consistent and stewardship of community resources and exceptions for victim service providers are accommodated. Common elements of the CA include an application and assessment process, eligibility and intake standards, determination process, prioritization of needs, a housing referral process and oversight to ensure compliance.

3. Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations).

Awards for 2016-17 were based upon a 2016 Request for Proposal (RFP) process in which the City published by Legal Notice in The Daily Record; announced availability on its established website for Proposals; distributed via networks including those of the CoC, HSN and Housing Choice listserv. Awardees were chosen by a committee of City, County, CoC administrative board, and a formerly homeless community member. Services under this proposal cycle will run through June 30, 2017. The 2017-18 ESG RFP was publicized and distributed following the same procedure.

4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.

In compliance with Section 576.405(a) of the Interim Rule, the City has engaged persons who are currently or formerly homeless in their planning process. Four formerly homeless individuals have participated in our planning process and continue to be engaged.

5. Describe performance standards for evaluating ESG.

The City utilizes the Integrated Disbursement and Information System (IDIS) to document program progress and monitor program performance on an ongoing basis. The City requires all ESG sub-recipients to submit data to HMIS as required by HUD. The CoC began participation in the HUD PULSE system, which provides point-in-time shelter counts of homeless persons on a quarterly basis, in the 4th quarter of 2012.

A Consolidated Annual Performance and Evaluation Report (CAPER) is prepared annually by the City. It contains a summary of resources and programmatic accomplishments, the status of actions taken in concert with the CoC to implement the strategies contained in the Consolidated Plan, and evaluation of progress made in addressing identified priority needs and objectives.

Performance Standards are in alignment with the new measures implemented with the HEARTH Act including: reducing the duration of homelessness; reducing recidivism; reducing the number of people who become homeless; increasing employment and income; ending homelessness

Attachments

Citizen Participation Comments

Participants provided input their thoughts on programs such as rehabilitation/demolition, Recreation and youth services, economic development, neighborhood/quadrants, housing, and infrastructure. Some expressed concern regarding funding cuts to HUD programs. There was a discussion of moving Coordinated Access initiative forward in the greater Rochester community. Participants provide their opinion on what is working well (homebuyer assistance, homebuyer education, lead education and lead program, affordable rental housing development, Home Rochester program). Participants expressed in their opinion there are challenges/opportunities re: owner occupied housing rehabilitation, assistance for senior owner occupants, code violation fines, renter/tenant education, neighborhood outreach, a concern over building new affordable housing when the perceived need is for existing properties, tree lawns, surveys to residents, thank you letters to those who repair/renovate their homes, and a housing resource listing/directory.

Grantee Unique Appendices

Consolidated Community Development Plan

Citizen Participation Plan

Background

In 1995, the United States Department of Housing and Urban Development (HUD) consolidated into a single submission the planning and application aspects of the following four HUD community development formula grant programs: Community Development Block Grant (CDBG), Emergency Shelter (now Solutions) Grant (ESG), Home Investment Partnerships (HOME), and Housing Opportunities for Persons with AIDS (HOPWA). The reporting requirements for these programs were also consolidated.

The Department of Neighborhood and Business Development (NBD), Office of the Commissioner is the lead agency responsible for the consolidated plan submission and reporting to HUD. NBD's Bureau of Business and Housing Development is responsible for CDBG and the HOME Programs housing activities, ESG and HOPWA programs, and manages CDBG assisted business development activities. NBD's Bureau of Neighborhood Preservation assists CDBG public service and public improvement projects. The Department of Recreation and Youth Services administers CDBG assisted public service projects. The Department of Environmental Services is responsible for CDBG assisted public facilities and improvements.

The City of Rochester encourages citizens to participate in the planning and implementation of the Consolidated Community Development Plan. Public meetings are held at times convenient for citizens, and at locations within the program target areas that are accessible to the disabled. In addition, the City provides opportunities for citizens to submit written comments, proposals, and recommendations. It also publishes and distributes material in both English and Spanish upon request.

HUD requires the City to adopt a citizen participation plan for the consolidated planning, application, and reporting processes. The Plan also covers the Section 108 Loan Guarantee Program.

Purpose

The Citizen Participation Plan establishes policies and procedures to encourage citizen involvement in planning for the use of federal funds that are available under the Community Development Block Grant (CDBG), Emergency Solutions Grant (ESG), Home Investment Partnership (HOME), and Housing Opportunities for Persons with AIDS (HOPWA). The City promotes citizen participation in the following activities:

- Development of the Consolidated Community Development Plan which contains a five-year strategic plan that outlines strategies and goals for use of federal funds;
- Development of each Annual Action Plan, which describes specific projects and activities that will be undertaken during the year with federal funds to address priority needs;
- Review and comment on substantial amendments to the Consolidated Community Development Plan and/or Annual Action Plan;
- Review and comment on the annual Consolidated Annual Performance and Evaluation Report which describes the process of implementing the Plan through the proposed actions identified in the Annual Action Plan; and,
- Review and comment on substantial amendments to the Citizen Participation Plan.

The City of Rochester encourages participation by low- and moderate-income persons, particularly those living in slum and blighted areas, and in areas where CDBG funds are proposed to be used, and by residents of predominantly low- and moderate-income neighborhoods. Predominantly low- and moderate-income neighborhoods are defined as those where 51% or more of the residents have incomes that are 80% or less of the median family income. Actions are taken to encourage participation by minorities, non-English speaking persons and persons with disabilities. Residents of public and assisted housing and other low-income residents of targeted revitalization areas in which public and assisted housing is located are also encouraged to participate.

Consolidated Community Development Plan and Action Plan

The City submits a Consolidated Community Development Plan every five years and an Action Plan each year to the U.S. Department of Housing and Urban Development (HUD).

The Consolidated Community Development Plan consists of a strategic plan and an annual action plan. The strategic plan contains a community development needs assessment, a housing market analysis, and long term strategies to meet priority needs. The annual action plan describes specific projects and activities that will be undertaken in the coming year with federal funds to address priority needs. The Consolidated Plan covers the period from July 1, 2015 through June 30, 2020.

The City of Rochester may also participate in the Section 108 Loan Guarantee Program. Under the program, the City of Rochester may borrow from the federal government an amount equal to five times its annual Community Development Block Grant, using the grant as security. The City may then utilize these funds for eligible activities such as acquisition, rehabilitation, and economic development.

Among other input, the development of strategic plans for the four quadrant areas provides context and direction to the Consolidated Community Development Plan.

The Goals and Policy Priorities adopted by the Administration also guide the development of the plan. The Mayor's goals are to improve educational outcomes for city students, increase economic development, improve public safety and community/police relations and address the stark economic disparities that plague many parts of the city.

The City consults with local public agencies that assist low and moderate income persons, including City staff, State and federal agencies, and neighboring local government and regional agencies. The City also consults with private agencies, including local nonprofit service providers and advocates such as the local public housing agency, health agencies, homeless service providers, non-profit housing developers, social service agencies (including those focusing on services to children, the elderly, persons with disabilities, persons with HIV/AIDS, and persons with substance abuse problems).

There are also opportunities for citizens, nonprofit organizations, and other interested parties to review and comment on a draft Annual Action Plan before its implementation.

The City's Housing Policy guides the allocation of CDBG and HOME resources available to the City of Rochester to address priority housing needs and specific objectives.

The City will hold a general meeting to provide information on the plan and solicit input on community development and housing needs. The meeting will be held on March 8, 2017 6-7:30 PM in City Council Chambers, 30 Church Street.

A Draft Annual Action Plan will be prepared and published on or around April 18, 2017. In addition to the activities proposed to be undertaken, the Plan will detail the amount of federal assistance expected to be received (including grant funds and program income) and the range of activities that may be undertaken, including the estimated amount that will benefit persons of low and moderate income and the plans to minimize displacement of persons and to assist any persons displaced.

If, as a result of a program activity, any residential displacement and relocation must occur, the City of Rochester ensures that it will develop an Anti-Displacement and Relocation Plan in connection with that project in accordance with federal regulations. Specifically, the City will comply with the anti-displacement and relocation requirements of the Uniform Relocation Act and the Housing and Community Development Act of 1974, as amended, and implementing regulations of 24 CFR Part 42.

The City will publish a notice in the Democrat & Chronicle which will summarize the Consolidated Community Development Plan/Annual Action Plan. It will contain a description of the contents and purposes of the Plan and a list of locations where copies of the entire proposed Plan may be reviewed.

The Plan will be available at public libraries and copies will be available in the Department of Neighborhood and Business Development, and the Bureau of Communications in City Hall, which are accessible to the disabled. It will also be posted on the City's website. The City will make a reasonable number of free copies of the Plan available to citizens and groups that request it. The Plan will be available for 30 days for comment.

The Draft Plan will be submitted to City Council for its consideration. The City will hold a public hearing on the draft Consolidated Community Development Plan. At this hearing, the public is invited to comment on all phases of the Plan, including housing and community development needs, proposed activities, and program performance. The City will consider any comments or views of citizens received in writing, or orally at public hearings in preparing the final Plan.

After the City Council adopts the Plan, it will be submitted to the United States Department of Housing and Urban Development for approval. Copies of the adopted Plan will be available in the Department of Neighborhood and Business Development, and the Communications Bureau in City Hall. A summary of all comments received within the 30-day comment period will be included in the Final Plan that is submitted to HUD.

Submission of Comments and Proposals

The public is invited to make its views on needs and priorities known, as well as proposals for specific activities. These can be submitted in writing to Attention: Mary Kay Kenrick, Associate Administrative Analyst, Department of Neighborhood & Business Development, Room 224B, City Hall, 30 Church Street, Rochester, NY 14614. Responses to written submissions will be provided.

Technical Assistance

City staff is available upon request to help citizens and groups adequately participate in all aspects of the planning of the Consolidated Community Development Program. All requests for technical assistance should be directed to Attention: Mary Kay Kenrick, Associate Administrative Analyst, Department of Neighborhood & Business Development, Room 224B, City Hall, 30 Church Street, Rochester, NY 14614.

Bilingual Services

This year, as in the past, bilingual services will be provided to the Hispanic community. Information handouts will be made available in Spanish, if requested. Bilingual assistance is also available upon request to help interpret information.

Comments and Complaints

The City will consider any comments or views of citizens received in writing or orally at public hearings in preparing the final Consolidated Community Development Plan, amendments to the Plan or the Consolidated Annual Performance and Evaluation Report. A summary of these comments or views and a summary of any comments or views not accepted and the reasons will be attached to the final Consolidated Community Development Plan, amendments to the Plan or Performance Report.

Any complaints regarding this Citizen Participation Plan or the development or implementation of the Consolidated Community Development Plan should be addressed to Attention: Mary Kay Kenrick, Associate Administrative Analyst, Department of Neighborhood & Business Development, Room 224B, City Hall, 30 Church Street, Rochester, NY 14614. The City will make every reasonable effort to provide written responses within 15 days.

Publicity

The meetings and public hearings will be publicized through the use of newspaper ads, press releases, posting on the City's website, or direct mailings to sector committees. Adequate advance notice with sufficient information about the subject will be provided to permit informed comment.

2017-18 Consolidated Community Development Plan/Implementation Phase

The implementation phase of the Plan will begin on July 1, 2017. There are also opportunities for participation in the implementation and evaluation of the Plan's performance.

Plan Implementation and Community Involvement

The first step in implementing the various activities funded by the Consolidated Community Development Plan is appropriation of funds by City Council. Such action will take place throughout the program year.

Amendments

The need may arise during implementation to modify or amend the approved Annual Plan. Certain changes will be minor in nature and will not require public notification or citizen participation prior to the implementation of such changes. Other changes, defined as substantial, will require public

notification and public review. The following changes are considered substantial amendments to the Consolidated Community Development Plan/Annual Action Plan:

- To make a substantial change in its allocation priorities or a substantial change in the method of distribution of funds;
- To carry out an activity, using funds from any program covered by the Consolidated Plan (including program income) not previously described in the action plan;
- Increasing or decreasing an activity's budget by more than 25%;
- Providing interim financing such as a "float loan";
- Transferring funds from a contingency;
- To change the purpose, scope, location or beneficiaries of an activity; or
- A Section 108 Loan that was not described in the Plan.

The City will publish a notice which will inform the public of the proposed changes and locations where a copy of the draft amendment may be reviewed. Interested parties will have 30 days to provide written comments on the proposed amendment. The City Council will hold a public hearing prior to considering amendments to the Plan. A description of the amendment(s) will be available at the hearing. A summary of all comments received within the 30 day period will be included in the substantial amendment to the Consolidated Community Development Plan/Annual Action Plan that is finally submitted to HUD.

Program Performance

The City of Rochester converted to the Integrated Disbursement and Information System in December 1996. The system enables the City to review a program's progress and monitor its performance on an ongoing basis.

A written Consolidated Annual Performance and Evaluation Report will be prepared annually. The report will contain a summary of resources and programmatic accomplishments, the status of actions taken to implement the strategy contained in the Consolidated Community Development Program, and evaluation of progress made during the year in addressing identified priority needs and objectives.

The City will publish a notice in the Democrat and Chronicle which will inform the public of the availability of the CAPER for review and comment and the locations where a copy of the draft document may be reviewed. Copies of the report will be available in the Department of Neighborhood and Business Development and the Communications Bureau in City Hall.

Interested parties will have 15 days to provide written comments on the report. A summary of all comments received within the 15-day period will be included in the CAPER that is finally submitted to HUD.

Records

The City of Rochester will provide reasonable and timely access to information and records relating to the Consolidated Plan and use of funds during the preceding five years, if available. Due to staff limitations, the City may not be able to analyze information and prepare specialized reports.

Copies of the following documents pertaining to the Consolidated Community Development Program and Annual Action Plan will be on file as they become available for public examination during regular

business hours at the Rundel Library (Local History Division) located at 115 South Avenue and the Department of Neighborhood and Business Development (City Hall, Room 224 B, 30 Church Street, Rochester, NY):

1. Citizen Participation Plan
2. Draft Consolidated Community Development Plan
3. Final Consolidated Community Development Plan
4. Performance and Evaluation Report

Copies of these documents are also available by request.

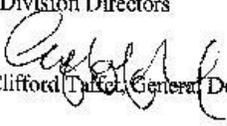


U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
WASHINGTON, DC 20410-7000

OFFICE OF THE ASSISTANT SECRETARY FOR
COMMUNITY PLANNING AND DEVELOPMENT

MAY 10 2017

MEMORANDUM FOR: All Community Planning and Development Field Office
Division Directors

FROM: 
Clifford Taffel, General Deputy Assistant Secretary, D

SUBJECT: Waiver of 24 CFR 91.105(b)(4) and 24 CFR 91.115(b)(4)
for FY 2017 Action Plans for Community Planning and
Development Program Funds

With the enactment of a FY 2017 appropriations act, HUD is issuing revised procedures to govern the submission and review of action plans for FY 2017 funding. Pursuant to Community Planning and Development (CPD) Notice 16-18, any grantee with a program year start date prior to, or up to 60 days after, the Department's announcement of the FY 2017 formula program funding allocations was advised not to submit its consolidated plan or action plan until the FY 2017 formula allocations have been announced. Given the delay in the enactment of HUD's annual appropriations, implementation of this policy for FY 2017 may have negative consequences for CPD formula grantees: Community Development Block Grant (CDBG), Home Investment Partnerships (HOME), Emergency Solutions Grant (ESG) and Housing Opportunities for Persons with AIDS (HOPWA) grantees. Under 24 CFR 91.15(a)(2), HUD cannot accept a submission later than August 16, 2017. If HUD does not receive a consolidated plan or action plan by August 16, 2017, a grantee automatically loses its FY 2017 CDBG funding.

Section 104(a)(2) of the Housing and Community Development Act of 1974, as amended (the Act), requires that grantees implement a citizen participation process as a prelude to the allocation and expenditure of funds. A grantee's citizen participation plan and proposed allocation of funds to eligible activities are components of its consolidated plan required pursuant to 24 CFR Part 91. The regulations at 24 CFR 91.105 and 91.115 describe the standards for citizen participation plans applicable to the programs administered by the Office of Community Planning and Development. Of immediate concern are the provisions in 24 CFR 91.105(a)(4) and 91.115(a)(4) requiring that grantees provide a period of not less than 30 days during which affected citizens may review and comment on the FY 2017 consolidated plan or action plan prior to its implementation. The timing of the enactment of the FY 2017 appropriations act leaves insufficient time for grantees to complete the pre-submission or pre-amendment citizen participation publication and comment process before the August 16, 2017, deadline.

www.hud.gov

espanol.hud.gov

While grantees must still provide citizens with a public comment period, the Department can provide significant relief from the 30-day minimum public comment standard in 24 CFR 91.105(a)(4) and 91.115(a)(4). Rather than a 30-day public comment period, HUD has determined that a minimum 14 calendar day public comment period is acceptable under the circumstances. Given the delay in appropriations, and the need for HUD to award funds so that grantees may begin to address their housing and community development needs, I hereby find that there is good cause pursuant to 24 CFR 91.600 to waive the 30-day public comment standard in 24 CFR 91.105(b)(4) and 24 CFR 91.115(b)(4) and institute a minimum 14 calendar day comment period. This waiver ensures grantees do not lose their FY 2017 funding, while also affording citizens a meaningful public comment period.

Any affected grantee taking advantage of this waiver must document in writing the conditions for the need to utilize this waiver and must maintain such documentation for HUD's review. This waiver authority is in effect until August 16, 2017.

Grantee SF-424's and Certification(s)

**APPLICATION FOR
FEDERAL ASSISTANCE**

OMB Approved No. 3078-0006

Version 7/03

1. TYPE OF SUBMISSION: Application		2. DATE SUBMITTED July 27, 2017	Applicant Identifier
<input type="checkbox"/> Construction <input checked="" type="checkbox"/> Non-Construction		3. DATE RECEIVED BY STATE	State Application Identifier
Pre-application: <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction		4. DATE RECEIVED BY FEDERAL AGENCY	Federal Identifier
5. APPLICANT INFORMATION			
Legal Name: City of Rochester		Organizational Unit: Department: Neighborhood and Business Development Division: Office of the Commissioner/Administration and Finance	
Organizational DUNS: 002488805		Name and telephone number of person to be contacted on matters involving this application (give area code)	
Address: Street: 30 Church Street, 224B		Prefix: Ms.	First Name: Mary
City: Rochester		Middle Name: Kay	
County: Monroe		Last Name: Kerrick	
State: New York	Zip Code: 14614	Suffix:	
Country: U.S.A.		Email: marykay.kerrick@cityofrochester.gov	
6. EMPLOYER IDENTIFICATION NUMBER (EIN): 16-6002581		Phone Number (give area code): 585 428-6309	Fax Number (give area code): 585 428-7899
8. TYPE OF APPLICATION: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) (See back of form for description of letters.) Other (specify):		7. TYPE OF APPLICANT: (See back of form for Application Types) C- Municipal Other (specify):	
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: TITLE (Name of Program): Community Development Block Grant		9. NAME OF FEDERAL AGENCY: United States Department of Housing and Urban Development;	
12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): City of Rochester, NY		11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: Various Projects	
13. PROPOSED PROJECT Start Date: 7/1/17		14. CONGRESSIONAL DISTRICTS OF: a. Applicant 28	
Ending Date: 6/30/18		b. Project 28	
15. ESTIMATED FUNDING:		16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?	
a. Federal	\$ 7,487,072.00	a. Yes <input type="checkbox"/> This PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON DATE:	
b. Applicant	\$.00	b. No <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372	
c. State	\$.00	<input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW	
d. Local	\$ 300,000.00	17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?	
e. Other	\$.00	<input type="checkbox"/> Yes If "Yes" attach an explanation. <input checked="" type="checkbox"/> No	
f. Program Income	\$ 750,000.00	18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.	
g. TOTAL	\$ 8,537,072.00	a. Authorized Representative	
		Prefix: Ms.	Middle Name: A.
		First Name: Lovely	Suffix:
		Last Name: Warren	b. Title: Mayor
		c. Telephone Number (give area code): 585 428-7045	d. Signature of Authorized Representative: <i>Mary Kay Kerrick</i>
		c. Date Signed: July 27, 2017	

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Standard Form 424 (Rev. 9-2003)
Prescribed by OMB Circular A-102

APPLICATION FOR FEDERAL ASSISTANCE

OMB Approved No. 3076-0006

Version 7/03

1. TYPE OF SUBMISSION: Application <input type="checkbox"/> Construction <input checked="" type="checkbox"/> Non-Construction		2. DATE SUBMITTED July 29, 2017	Applicant Identifier _____																					
<input type="checkbox"/> Pre-application <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction	3. DATE RECEIVED BY STATE _____	State Application Identifier _____	4. DATE RECEIVED BY FEDERAL AGENCY _____ Federal Identifier _____																					
5. APPLICANT INFORMATION Legal Name: City of Rochester Organizational DUNS: 002465805 Address: Street: 30 Church Street, 224B City: Rochester County: Monroe State: New York Zip Code: 14614 Country: U.S.A.		Organizational Unit: Department: Neighborhood and Business Development Division: Office of the Commissioner/Administration and Finance Name and telephone number of person to be contacted on matters involving this application (give area code) Prefix: Ms. First Name: Mary Middle Name: Kay Last Name: Kennick Suffix: _____ Email: marykay.kennick@cityofrochester.gov Phone Number (give area code): 585 428-6309 Fax Number (give area code): 585 428-7899																						
6. EMPLOYER IDENTIFICATION NUMBER (EIN): 13-6002551		7. TYPE OF APPLICANT: (See back of form for Application Types) <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) (See back of form for description of letters.) Other (specify): _____																						
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: TITLE (Name of Program): HOME 12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): City of Rochester, NY		9. NAME OF FEDERAL AGENCY: United States Department of Housing and Urban Development 11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: Various Projects																						
13. PROPOSED PROJECT Start Date: 7/1/17 Ending Date: 6/30/18		14. CONGRESSIONAL DISTRICTS OF: a. Applicant 28 b. Project 28																						
15. ESTIMATED FUNDING: <table border="1"> <tr><td>a. Federal</td><td>\$</td><td>1,839,492⁰⁰</td></tr> <tr><td>b. Applicant</td><td>\$</td><td>0⁰⁰</td></tr> <tr><td>c. State</td><td>\$</td><td>0⁰⁰</td></tr> <tr><td>d. Local</td><td>\$</td><td>0⁰⁰</td></tr> <tr><td>e. Other</td><td>\$</td><td>0⁰⁰</td></tr> <tr><td>f. Program Income</td><td>\$</td><td>100,000⁰⁰</td></tr> <tr><td>g. TOTAL</td><td>\$</td><td>1,939,492⁰⁰</td></tr> </table>		a. Federal	\$	1,839,492 ⁰⁰	b. Applicant	\$	0 ⁰⁰	c. State	\$	0 ⁰⁰	d. Local	\$	0 ⁰⁰	e. Other	\$	0 ⁰⁰	f. Program Income	\$	100,000 ⁰⁰	g. TOTAL	\$	1,939,492 ⁰⁰	16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS? a. Yes. <input type="checkbox"/> THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON DATE: _____ b. No. <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372 <input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW	
a. Federal	\$	1,839,492 ⁰⁰																						
b. Applicant	\$	0 ⁰⁰																						
c. State	\$	0 ⁰⁰																						
d. Local	\$	0 ⁰⁰																						
e. Other	\$	0 ⁰⁰																						
f. Program Income	\$	100,000 ⁰⁰																						
g. TOTAL	\$	1,939,492 ⁰⁰																						
17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT? <input type="checkbox"/> Yes If "Yes" attach an explanation. <input checked="" type="checkbox"/> No		18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.																						
a. Authorized Representative Prefix Ms. First Name Lovely Middle Name A. Last Name Warren Suffix _____		b. Title Mayor c. Telephone Number (give area code) 585 428-7045 d. Signature of Authorized Representative <i>M Warren</i> e. Date Signed July 27, 2017																						

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 Prescribed by OMB Circular A-102

APPLICATION FOR FEDERAL ASSISTANCE

OMB Approved No. 3076-0006

Version 7/03

1. TYPE OF SUBMISSION: Application		2. DATE SUBMITTED July 28 2017	Applicant Identifier	
<input type="checkbox"/> Construction	Pre-application	3. DATE RECEIVED BY STATE		State Application Identifier
<input checked="" type="checkbox"/> Non-Construction	<input type="checkbox"/> Construction	4. DATE RECEIVED BY FEDERAL AGENCY		Federal Identifier
5. APPLICANT INFORMATION		Organizational Unit:		
Legal Name: City of Rochester		Department: Neighborhood and Business Development		
Organizational DUNS: 002465805		Division: Office of the Commissioner/Administration and Finance		
Address: Street: 30 Church Street, 224B		Name and telephone number of person to be contacted on matters involving this application (give area code)		
City: Rochester		Prefix: Ms.		
County: Monroe		First Name: Mary		
State: New York		Middle Name: Kay		
Zip Code: 14814		Last Name: Kenrick		
Country: U.S.A.		Suffix:		
6. EMPLOYER IDENTIFICATION NUMBER (EIN): 16-002651		Email: marykay.kenrick@cityofrochester.gov		
		Phone Number (give area code): 585 428-6309		Fax Number (give area code): 585 428-7899
8. TYPE OF APPLICATION: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es). (See back of form for description of letters.) Other (specify) <input type="checkbox"/> <input type="checkbox"/>		7. TYPE OF APPLICANT: (See back of form for Application Types) C- Municipal Other (specify)		
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: TITLE (Name of Program): Housing Opportunities for Persons with AIDS 12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): City of Rochester, NY		9. NAME OF FEDERAL AGENCY: United States Department of Housing and Urban Development		
		11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: Various Projects		
13. PROPOSED PROJECT Start Date: 7/1/17		14. CONGRESSIONAL DISTRICTS OF: a. Applicant 28		
Ending Date: 8/30/18		b. Project 28		
16. ESTIMATED FUNDING:		16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?		
a. Federal	\$ 785,820 ⁰⁰	a. Yes. <input type="checkbox"/> THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON DATE:		
b. Applicant	\$ ⁰⁰	b. No. <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372		
c. State	\$ ⁰⁰	<input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW		
d. Local	\$ ⁰⁰	17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?		
e. Other	\$ ⁰⁰	<input type="checkbox"/> Yes If "Yes" attach an explanation. <input checked="" type="checkbox"/> No		
f. Program Income	\$ ⁰⁰			
g. TOTAL	\$ 785,820 ⁰⁰			
18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.				
a. Authorized Representative				
Prefix Ms.	First Name Lovely	Middle Name A.		
Last Name Warren	Suffix			
b. Title Mayor	c. Telephone Number (give area code) 585 428-7045			
d. Signature of Authorized Representative	e. Date Signed July 28, 2017			

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APPLICATION FOR FEDERAL ASSISTANCE

OMB Approved No. 2076-2004

Version 7/03

1. TYPE OF SUBMISSION: Application <input type="checkbox"/> Construction <input checked="" type="checkbox"/> Non-Construction		2. DATE SUBMITTED July 28, 2017	Applicant Identifier
<input type="checkbox"/> Pre-application <input checked="" type="checkbox"/> Construction <input type="checkbox"/> Non-Construction	3. DATE RECEIVED BY STATE	4. DATE RECEIVED BY FEDERAL AGENCY	State Application Identifier Federal Identifier
5. APPLICANT INFORMATION Legal Name: City of Rochester Organizational DUNS: 002465805 Address: Street: 30 Church Street, 224B City: Rochester County: Monroe State: New York Zip Code: 148-4 Country: U.S.A.		Organizational Unit: Department: Neighborhood and Business Development Division: Office of the Commissioner/Administration and Finance Name and telephone number of person to be contacted on matters involving this application (give area code) Prefix: Ms. Middle Name: Key Last Name: Kenrick Suffix: Email: marykey.kenrick@cityofrochester.gov Phone Number (give area code): 585 428-6309 Fax Number (give area code): 585 428-7699	
6. EMPLOYER IDENTIFICATION NUMBER (EIN): 18-0002551		7. TYPE OF APPLICANT: (See back of form for Application Types) C- Municipal Other (specify)	
8. TYPE OF APPLICATION: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) (See back of form for description of letters.) Other (specify)		9. NAME OF FEDERAL AGENCY: United States Department of Housing and Urban Development	
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: TITLE (Name of Program): Emergency Solutions Grant 14-231		11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: Various Projects	
12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): City of Rochester, NY		14. CONGRESSIONAL DISTRICTS OF: a. Applicant: 28 b. Project: 28	
13. PROPOSED PROJECT Start Date: 7/1/17 Ending Date: 6/30/18		15. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS? a. Yes: <input type="checkbox"/> THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON DATE: b. No: <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372 <input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW	
15. ESTIMATED FUNDING: a. Federal \$ 887,067 ⁰⁰ b. Applicant \$ c. State \$ d. Local \$ e. Other \$ f. Program Income \$ g. TOTAL \$ 987,067 ⁰⁰		17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT? <input type="checkbox"/> Yes If "Yes" attach an explanation. <input checked="" type="checkbox"/> No	
18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.			
a. Authorized Representative Prefix: Ms. First Name: Lovely Middle Name: A. Last Name: Warren Suffix: b. Telephone Number (give area code): 585 428-7045 c. Date Signed: July 28, 2017 d. Signature of Authorized Representative:			

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CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

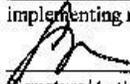
Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.


Signature/Authorized Official
Mayor

Date

7/27, 2017

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available;
2. **Overall Benefit.** The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) 2017, 2018 (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its

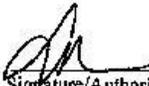
jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K and R;

Compliance with Laws -- It will comply with applicable laws.



Signature/Authorized Official

7/27 , 2017
Date

Mayor

Title

Specific HOME Certifications

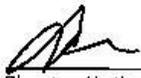
The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

Eligible Activities and Costs -- It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

Appropriate Financial Assistance -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;



Signature/Authorized Official

7/27, 2017

Date

Mayor

Title

ESG Certifications

The Emergency Solutions Grants Program Recipient certifies that:

Major rehabilitation/conversion – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation. If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion. In all other cases where ESG funds are used for renovation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the jurisdiction will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the jurisdiction serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The jurisdiction will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal State, local, and private assistance available for such individuals.

Matching Funds – The jurisdiction will obtain matching amounts required under 24 CFR 576.201.

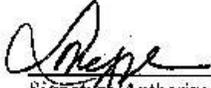
Confidentiality – The jurisdiction has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement – To the maximum extent practicable, the jurisdiction will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

Consolidated Plan – All activities the jurisdiction undertakes with assistance under ESG are consistent with the jurisdiction's consolidated plan.

Discharge Policy – The jurisdiction will establish and implement, to the maximum extent practicable and where appropriate policies and protocols for the discharge of persons from

publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.



Signature/Authorized Official

7/27, 2017

Date

Mayor

Title

HOPWA Certifications

The HOPWA grantee certifies that:

Activities -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

Building -- Any building or structure assisted under that program shall be operated for the purpose specified in the plan:

1. For at least 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility.
2. For at least 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.



Signature/Authorized Official

7/27 2017
Date

Mayor

Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING:

A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.